

PLEASE REMOVE THE INSTRUCTIONS BEFORE SUBMITTING FORM.

WHO SHOULD USE THIS FORM?

Cloud Service Providers (CSPs) with systems that have an existing FedRAMP authorization, who intend to implement a significant change within the systems' authorization boundary.

ABOUT THIS FORM

CSPs are required to submit this completed form to FedRAMP and receive FedRAMP approval prior to implementing a significant change to a system with an existing FedRAMP authorization.

For more information about significant changes, see the *FedRAMP Continuous Monitoring Strategy Guide, Section 3.2, Change Control*.

FORM AND ATTACHMENT INSTRUCTIONS

1. Complete the form and attach additional pages if necessary.
 - a. The 3PAO must sign page 2 as an indication that they have reviewed this form, including the controls, and agree it is accurate to the best of their knowledge.
 - b. If changing the system's FIPS-199 categorization level from Moderate to High, please also complete all of Attachment A and include it with your submission.
2. Upload either a digitally signed copy or a physically signed and scanned copy to OMB MAX.
3. Send a notification message to info@fedramp.gov - include the OMB MAX location of the document.

NOTE: FedRAMP must also review your 3PAO's security assessment plan (SAP) prior to implementing the change. Please include this plan with the form if it is available at the time of submission.

FedRAMP ACRONYMS

The *FedRAMP Master Acronyms & Glossary* contains definitions for all FedRAMP publications, and is available on the FedRAMP website [Documents](#) page under FedRAMP Program Documents.

(<https://www.fedramp.gov/documents/>)

Please send suggestions about corrections, additions, or deletions to info@fedramp.gov.

HOW TO CONTACT US

Questions about FedRAMP or this form should be directed to info@fedramp.gov.

For more information about FedRAMP, visit the website at <https://www.fedramp.gov>.



FedRAMP

FedRAMP Significant Change Request Form

Instructions:

1. Complete the form and attach additional pages if necessary.
2. Upload either a digitally signed copy or a physically signed and scanned copy to OMB MAX.
3. Send a notification message to info@fedramp.gov - include OMB MAX location of the document.

CSP Contact Information

Company Name

System Name

System Owner

Name

Title

Primary POC

Name

Title

Phone

Email

System Information

Type of System *(Please choose from the drop down menu.)*

Choose an item.

System Description

List of current and pending Federal customers

3PAO Information *(Required)*

3PAO Company Name

3PAO Primary POC

Name

Title

Phone

Email

Currently on contract for significant change proposed?

Yes No

Security Assessment Plan attached?

Yes No

Nature of Change

Change Details

(Please provide background and brief description. Attach additional pages if necessary.)



FedRAMP Significant Change Request Form

Type of Change <i>(Check all that apply.)</i>	<input type="checkbox"/> Authentication or access control <input type="checkbox"/> Storage <input type="checkbox"/> New code release <input type="checkbox"/> Replacement of COTS product <input type="checkbox"/> Change in services offered <input type="checkbox"/> Change in FIPS 199 Categorization Level (Moderate to High requires Attachment A) <input type="checkbox"/> Other (Please Specify):	<input type="checkbox"/> Backup mechanism or process <input type="checkbox"/> SaaS or PaaS changing underlying provider <input type="checkbox"/> Changing alternate or compensating control <input type="checkbox"/> Removal of security control(s) <input type="checkbox"/> Change in system scope
System Component(s) Impacted <i>(List all.)</i>		
Security Control(s) Impacted <i>(List all.)</i>		
Has the 3PAO validated above control list?	<input type="checkbox"/> Yes <input type="checkbox"/> No	Signature
Status of Change		
Is there a date by which this change must be operational?	<input type="checkbox"/> Yes <input type="checkbox"/> No	If yes, what is the date?
	If yes, why?	
Validation		
Please describe how the impacted controls will be validated. <i>(Attach additional pages if necessary.)</i>		



FedRAMP Significant Change Request Form

Demand/Justification

Which customers are driving this change? *(Required for changes to service, scope, or FIPS 199 Level)*

Justification for Change *(Attach additional pages if necessary.)*

Is the change required because a previous version is reaching end of life or end of support?

Yes No

If yes, what is the end of life date?

Is this change intended to enhance ConMon performance?

Yes No

CSP Signature *(Must be signed by an individual with the authority to represent the CSP to FedRAMP)*

Name *(Printed)*

Title

Signature

Date

FedRAMP Standing *(To be completed by FedRAMP)*

Annual Assessment

Was the last assessment completed?

Yes No

When is the next annual assessment due?

Is CSP currently overdue on its annual assessment?

Yes No

If yes, why?

ConMon Performance

Was CSP on a corrective action plan in the past six months?

Yes No



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FedRAMP Significant Change Request Form: Attachment A – Part 1

Attachment A Instructions:

This attachment is only required if changing the system’s FIPS 199 categorization level from Moderate to High. If this is the case, please complete all subsequent pages. Otherwise, remove these pages before submission.

Table A-1 Instructions:

Table A-1, below, lists all additional controls that do not exist in the Moderate baseline, but must be addressed as part of the High baseline.

Please provide the status of each control in the table below.

Table A-1 – New controls required when changing from Moderate to High

Control	Applicability <i>(Check one per row.)</i>			Implementation Status Notes <i>(If "Pending Implementation," provide implementation date. If "Not Applicable," explain why.)</i>
	Implemented	Pending Implementation	Not Applicable	
AC-2 (11)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
AC-2 (13)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
AC-4 (8)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
AC-6 (3)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
AC-6 (7)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
AC-6 (8)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
AC-7 (2)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
AC-12 (1)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
AC-18 (3)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
AC-18 (4)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
AC-18 (5)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
AT-3 (3)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
AT-3 (4)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
AU-3 (2)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
AU-5 (1)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

Control	Applicability <i>(Check one per row.)</i>			Implementation Status Notes <i>(If "Pending Implementation," provide implementation date. If "Not Applicable," explain why.)</i>
	Implemented	Pending Implementation	Not Applicable	
AU-5 (2)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
AU-6 (4)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
AU-6 (5)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
AU-6 (6)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
AU-6 (7)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
AU-6 (10)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
AU-9 (3)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
AU-10	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
AU-12 (1)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
AU-12 (3)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
CA-7 (3)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
CM-3 (1)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
CM-3 (2)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
CM-3 (4)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
CM-3 (6)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
CM-4 (1)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
CM-5 (2)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
CM-6 (2)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
CM-8 (2)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
CM-8 (4)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
CM-11 (1)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
CP-2 (4)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

Control	Applicability <i>(Check one per row.)</i>			Implementation Status Notes <i>(If Pending Implementation, provide implementation date. If "Not Applicable," explain why.)</i>
	Implemented	Pending Implementation	Not Applicable	
CP-2 (5)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
CP-3 (1)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
CP-4 (2)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
CP-6 (2)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
CP-7 (4)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
CP-8 (3)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
CP-8 (4)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
CP-9 (2)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
CP-9 (5)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
CP-10 (4)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
IA-2 (4)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
IA-2 (9)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
IA-5 (8)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
IA-5 (13)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
IR-2 (1)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
IR-2 (2)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
IR-4 (2)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
IR-4 (3)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
IR-4 (4)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
IR-4 (6)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
IR-4 (8)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
IR-5 (1)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

Control	Applicability <i>(Check one per row.)</i>			Implementation Status Notes <i>(If "Pending Implementation," provide implementation date. If "Not Applicable," explain why.)</i>
	Implemented	Pending Implementation	Not Applicable	
MA-2 (2)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
MA-4 (3)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
MA-4 (6)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
MP-6 (1)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
MP-6 (3)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
PE-3 (1)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
PE-6 (4)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
PE-8 (1)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
PE-11 (1)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
PE-13 (1)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
PE-15 (1)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
PE-18	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
PS-4 (2)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
RA-5 (4)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
RA-5 (10)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
SA-12	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
SA-15	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
SA-16	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
SA-17	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
SC-3	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
SC-7 (10)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
SC-7 (20)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

Control	Applicability <i>(Check one per row.)</i>			Implementation Status Notes <i>(If "Pending Implementation," provide implementation date. If "Not Applicable," explain why.)</i>
	Implemented	Pending Implementation	Not Applicable	
SC-7 (21)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
SC-12 (1)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
SC-23 (1)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
SC-24	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
SI-2 (1)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
SI-4 (11)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
SI-4 (18)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
SI-4 (19)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
SI-4 (20)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
SI-4 (22)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
SI-4 (24)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
SI-5 (1)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
SI-7 (2)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
SI-7 (5)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
SI-7 (14)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	



FedRAMP

FedRAMP Significant Change Request Form: Attachment A – Part 2

Attachment A Instructions:

This attachment is only required if changing the system’s FIPS-199 categorization level from Moderate to High. If this is the case, please complete all subsequent pages. Otherwise, remove these pages before submission.

Table A-2 Instructions:

The controls listed in Table A-2, below, exist in both the Moderate and High baselines; however, the FedRAMP prescribed parameter is different in the High baseline.

When transitioning from Moderate to High, the CSP must update these parameters appropriately in their System Security Plan (SSP). The revised parameter changes the control requirement. The CSP must also revise the control implementation within the system, and the control description within the SSP to align with the new parameter.

Please provide the status of each in the table below.

Table A-2 – Controls with different FedRAMP parameters when changing from Moderate to High

Control	Applicability <i>(Check one per row.)</i>			Implementation Status Notes <i>(If "Parameter Pending," provide implementation date. If "Not Applicable," explain why.)</i>
	Parameter & Control Updated	Parameter & Control Update Pending	Not Applicable	
AC-1	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
AC-2	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
AC-2 (2)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
AC-2 (3)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
AC-7	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
AC-8	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
AC-17 (9)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
AT-1	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
AT-4	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
AU-1	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
AU-2	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
AU-3 (1)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
AU-11	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
CA-1	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

Control	Applicability <i>(Check one per row.)</i>			Implementation Status Notes <i>(If "Parameter Pending," provide implementation date. If "Not Applicable," explain why.)</i>
	Parameter & Control Updated	Parameter & Control Update Pending	Not Applicable	
CA-2 (3)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
CA-6	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
CM-1	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
CM-7 (5)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
CM-8 (3)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
CP-1	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
CP-9 (1)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
IA-1	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
IA-4	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
IA-4 (4)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
IA-5 (1)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
IR-1	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
IR-3	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
MA-1	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
MP-1	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
MP-4	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
MP-5	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
MP-6 (2)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
PE-1	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
PE-2	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
PL-1	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

Control	Applicability <i>(Check one per row.)</i>			Implementation Status Notes <i>(If "Parameter Pending," provide implementation date. If "Not Applicable," explain why.)</i>
	Parameter & Control Updated	Parameter & Control Update Pending	Not Applicable	
PL-4	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
PS-1	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
PS-2	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
PS-4	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
PS-5	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
PS-6	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
PS-7	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
RA-1	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
RA-3	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
RA-5	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
SA-1	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
SA-4 (2)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
SC-1	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
SC-7 (4)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
SC-10	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
SI-1	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
SI-2	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
SI-3	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

Additional Guidance

If the significant change is to increase the FIPS-199 system categorization level from Moderate to High, FedRAMP will not approve the change until all High vulnerability findings in the significant change SAR are mitigated to a lower level or remediated.