



**FY 2015 PERFORMANCE PLAN
Office of the Inspector General**

MISSION

The mission of the Office of the Inspector General (OIG) is to conduct independent audits, investigations, and inspections to detect and prevent fraud, waste, and mismanagement, and to help the District of Columbia Government improve its programs and operations by promoting economy, efficiency, and effectiveness.

SUMMARY OF SERVICES

- Initiate and conduct independent financial and performance audits, inspections, and investigations of District government operations.
- Conduct other special audits, assignments, and investigations.
- Audit procurement and contract administration on a continual basis.
- Forward to the appropriate authorities evidence of criminal wrongdoing that is discovered as the result of audits, inspections, or investigations conducted by the Office.
- Enter into a contract with an outside audit firm to perform the annual audit of the District government’s financial operations with the results published in the Comprehensive Annual Financial Report (CAFR) as well as chairing the CAFR oversight committee.
- Serve as the principal liaison between the District government and the US Government Accountability Office.

PERFORMANCE PLAN DIVISIONS

- Accountability, Control, and Compliance Program
- Law Enforcement and Compliance Program

AGENCY WORKLOAD MEASURES

Measure	FY 2012 Actual	FY 2013 Actual	FY 2014 YTD¹
Number of complaints received in the Investigation Division.	790	659	629
Number of administrative referrals for the Investigation Division.	485	387	447
Number of complaints received, reviewed and processed in the Medicaid Fraud Control Unit	3,216	1,965	1,711
Number of investigations initiated in the Medicaid Fraud Control Unit	191	156	163
Number of agencies/offices provided audit coverage	20	27	22



Accountability, Control, and Compliance Program

SUMMARY OF SERVICES

The Accountability, Control, and Compliance Program provides audits and inspections for the District government that focus efforts on mitigating risks that pose the most serious challenges to District agencies and other stakeholders. Through this work, District government entities can better maintain fiscal integrity and operational readiness to reduce fraud, waste, and mismanagement.

OBJECTIVE 1: Use the Accountability, Control, and Compliance Program to conduct audits and inspections for the District government, focusing efforts on mitigating risks that pose the most serious challenges to District agencies and other stakeholders.

INITIATIVE 1.1: Publish and Distribute Internal Control Guidance to promote internal control awareness.

The Audit Division will develop and publish *Internal Control Guidance* – a publication designed to educate District employees on how effective internal controls help District agencies achieve objectives, operate effectively and efficiently, protect assets from loss, provide reliable reports, and comply with applicable laws and regulations. Promoting internal control awareness not only enhances accountability and transparency in District government operations, but also helps in proactive mitigation of risks that pose the most serious challenges to District agencies and other stakeholders. This guidance will be posted on the OIG website and distributed to District officials at entrance and exit conferences, and during fieldwork. **Completion date: September 2015.**

INITIATIVE 1.2: Launch an outreach program of on-site briefings.

The Inspections and Evaluations Division will launch an outreach program of monthly on-site briefings to District agency officials and employees to educate them on how inspections and evaluations can help them improve and enhance their day-to-day operations. The first phase of this initiative will include 5-10 agencies, and will be completed by September 2015. The initiative will be ongoing during subsequent fiscal years. **Completion date: September 2015.**

KEY PERFORMANCE INDICATORS – *Accountability, Control, and Compliance*

Measure	FY 2013 Actual	FY 2014 Target	FY 2014 YTD	FY 2015 Projection	FY 2016 Projection	FY 2017 Projection
Number of final audit reports issued (financial/performance)	37	28	34	28	28	28
Potential monetary benefits resulting from audits (in millions)	\$30.30	\$25	\$41.82	\$25	\$25	\$25
Number of final inspections/evaluation reports issued	10	10	10	10	10	10



Law Enforcement and Compliance Program

SUMMARY OF SERVICES

The Law Enforcement and Compliance Program conduct investigations of allegations of waste, fraud, and abuse relating to the programs and operations of the District government.

OBJECTIVE 2: Use the Law Enforcement and Compliance program to conduct investigations into allegations of waste, fraud, and abuse relating to the programs and operations of the District Government.

INITIATIVE 2.1: Conduct integrity lectures with District government employees.

The Investigations Division will implement an outreach program of conducting integrity lectures to educate District government employees about the OIG's mission and the criminal, ethical, and administrative rules that District government employees are required to follow – to include the obligation to report crime, corruption, and conflicts of interest appropriately. This initiative will be ongoing during subsequent fiscal years.

Completion date: September 2015.

INITIATIVE 2.2: Implement a student intern program with D.C. area law schools.

The Medicaid Fraud Control Unit (MFCU) will extend an offer for students from D.C. area law school programs to obtain practical experience in investigating and prosecuting healthcare fraud. The students will perform a variety of investigative tasks, to include: collecting and scanning documents; preparing reports and/or Excel spreadsheets; interviewing witnesses, taking statements; attending court hearings; and attending team meetings with other law enforcement agencies. Each student's time commitment will range from 8-16 hours/week, per semester. This initiative will cross fiscal years.

Completion date: September 2015.

INITIATIVE 2.3: Implement social media searches.

Social media searches are vital to criminal investigations. The MFCU will assign one staff member the responsibility of searching social media sites for information regarding individuals and Medicaid providers that may be pertinent to ongoing investigations. This initiative will cross fiscal years. **Completion date: September 2015.**



KEY PERFORMANCE INDICATORS – Law Enforcement and Compliance

Measure	FY 2013 Actual	FY 2014 Target	FY 2014 YTD	FY 2015 Projection	FY 2016 Projection	FY 2017 Projection
Percentage of complaints evaluated within ten days of receipt in investigations	91.89%	85%	100%	85%	85%	85%
Number of criminal/civil resolutions obtained in Medicaid Fraud Control Unit cases.	23	24	17	26	24	24
Percentage of referral letters sent to District department of agency within ten work days of complaint being assigned to investigations. ²	85%	85%	100%	85%	85%	85%

² This is a new key performance indicator in FY15.