

D.C. Board of Ethics and Government Accountability FY2018

FY2018 Performance Accountability Report

The Performance Accountability Report (PAR) measures each agency's performance for the fiscal year against the agency's performance plan and includes major accomplishments, updates on initiatives, and key performance indicators (KPIs).

Mission

The Board of Ethics and Government Accountability (BEGA) is responsible to administer and enforce the District of Columbia Code of Conduct and enforce government-wide compliance with the D.C. Freedom of Information Act and the Open Meetings Act.

Summary of Services

Specifically, BEGA is responsible for seven main areas. Investigating alleged violations of the Code of Conduct, holding adversarial hearings and, where appropriate, levying sanctions; Issuing Advisory Opinions, providing safe-harbor for good-faith reliance on these opinions; Issuing Advisory Opinions on its own initiative; Conducting mandatory ethics training for District government employees; Updating and maintaining the District Ethics Manual; Receiving and reviewing public financial disclosure statements from public officials, except Advisory Neighborhood Commissioners, and, as of January 1, 2015, candidates for nomination for election, or election, to public office; Receiving and reviewing public financial disclosure certifications from Advisory Neighborhood Commissioners, and as of January 1, 2015, from candidates for nomination for election, or election, to public office; Receiving and auditing lobbyist registration forms, termination forms, and lobbyist activity reports; Enforcing the Open Meetings Act; Monitoring the District's compliance with the Freedom of Information Act; and Assisting government agencies in the implementation of open government practices.

FY18 Top Accomplishments

What is the accomplishment that your agency wants to highlight?	How did this accomplishment impact residents of DC?	How did this accomplishment impact your agency?
For the second consecutive year, we hosted our Annual Ethics Day program for the District government, where we offered a full day of ethics training programs.	This helped to ensure that District employees received training required under the Ethics Act.	We tailored a slate of ethics-related training sessions for our target audience of District employees, concurrently delivering multiple sessions each hour to give employees more content choices, and we received prompt and detailed feedback from attendees to help us improve our future training offerings.
The agency regularly held joint meetings with the staff of the Office of the Inspector General (OIG), to discuss progress on shared cases and to ensure that each agency's staff members received appropriate guidance and feedback regarding case management, coordination and development.	The District's residents benefited from having two oversight agencies with related responsibilities coordinate their operations to ensure that government resources were used efficiently by preventing duplication of investigative efforts.	BEGA benefited from this coordination by being able to focus its limited resources on non-criminal matters that were not being investigated by the OIG, which is equipped with more investigators and resources than our agency. Adopting this "divide-and-conquer" approach has helped improve the efficiency of both agencies' operations.

2018 Strategic Objectives

Objective Number	Strategic Objective
1	Issue Ethics advice in an expeditious and consistent fashion.

Objective Number	Strategic Objective
2	Conduct timely and appropriate investigations and enforcement actions.
3	Conduct mandatory training on the Code of Conduct and produce ethics training materials.
4	Create and maintain a highly efficient, transparent and responsive District government.**

2018 Key Performance Indicators

Measure	Freq	Target	Q1	Q2	Q3	Q4	FY2018	KPI Status	Explanation
1 - Issue Ethics advice in an expeditious and consistent fashion. (3 Measures)									
Percent of formal written Advisory Opinions appealed to the Ethics Board.	Quarterly	85%	No applicable incidents	No applicable incidents	No applicable incidents	No applicable incidents	No applicable incidents	Unmet	There were no appeals this year.
Percent of formal written Advisory Opinions issued within 30 days of receipt of complete information from requester.	Annually	85%	Annual Measure	Annual Measure	Annual Measure	Annual Measure	100%	Met	
Percent of advice queries received that were handled as informal rather than formal advice.	Quarterly	10%	100%	99.5%	100%	100%	99.9%	Met	
2 - Conduct timely and appropriate investigations and enforcement actions. (3 Measures)									
Percent of investigations resolved by dismissal, negotiated disposition, or issuance of Notice of Violation within 120 days of initiation.	Quarterly	80%	77.8%	83.3%	100%	75%	80.8%	Met	
Percent of enforcement actions that result in a sanction.	Quarterly	85%	100%	100%	100%	100%	100%	Met	
Percent of final Ethics Board Orders issued within 45 days of close of hearing record.	Quarterly	85%	100%	100%	100%	100%	100%	Met	
3 - Conduct mandatory training on the Code of Conduct and produce ethics training materials. (3 Measures)									
Percent of evaluations completed by attendees with an overall positive rating of "3" or higher on	Quarterly	80%	99%	100%	100%	91.3%	97.6%	Met	

Measure	Freq	Target	Q1	Q2	Q3	Q4	FY2018	KPI Status	Explanation
the BEGA training evaluation form.									
Percent of agency trainings held within 90 days of agency making the request.	Quarterly	90%	100%	100%	100%	100%	100%	Met	
Percent of off site agency training requests granted.	Quarterly	90%	100%	100%	100%	100%	100%	Met	

**We've revisited a project to standardize District wide measures for the Objective "Create and maintain a highly efficient, transparent and responsive District government." New measures will be tracked in FY18 and FY19 and published starting in the FY19 Performance Plan.

2018 Workload Measures

Measure	Freq	Q1	Q2	Q3	Q4	FY 2018
1 - Render Timely Advice. Respond to requests for informal ethics advice in a substantive and useful manner. (3 Measures)						
Number of requests for informal ethics advice	Quarterly	136	210	289	170	805
Number of formal written advisory opinions issued pursuant to a request	Quarterly	0	1	0	0	1
Number of formal written advisory opinions issued on the agency's own initiative	Quarterly	0	0	0	0	0
2 - Monitor and support ongoing investigations to ensure timely completion. (11 Measures)						
Number of complaints received	Quarterly	31	26	24	42	123
Number of preliminary investigations opened based on tips to the hotline	Quarterly	0	0	0	0	0
Number of preliminary investigations dismissed	Quarterly	8	0	2	2	12
Number of preliminary investigations opened based on information provided by means other than the hotline	Quarterly	3	17	13	4	37
Number of preliminary investigations converted to formal investigations	Quarterly	1	2	1	1	5
Number of preliminary investigations resolved with a negotiated disposition	Quarterly	3	1	0	0	4
Number of preliminary investigations resolved after an evidentiary hearing	Quarterly	0	0	0	1	1
Number of formal investigations initiated on intake	Quarterly	0	3	1	5	9
Number of formal investigations dismissed	Quarterly	2	3	1	5	11
Number of formal investigations resolved with a negotiated disposition	Quarterly	1	0	0	0	1

Measure	Freq	Q1	Q2	Q3	Q4	FY 2018
Number of formal investigations resolved after an evidentiary hearing	Quarterly	0	0	0	0	0
3 - Increase Training Sessions. Increase number of trainings available to District government employees. (1 Measure)						
Number of trainings conducted	Quarterly	23	27	28	23	101
4 - Ensure that every request for advice or information is acknowledged within 24 hours of receipt. (1 Measure)						
Number of formal advisory opinions issued	Quarterly	0	1	0	0	1

2018 Strategic Initiatives

Title	Description	Complete to Date	Status Update	Explanation
No strategic initiatives found				