



# **OFFICE OF CAMPAIGN FINANCE**

## **FY 2023 PERFORMANCE ACCOUNTABILITY REPORT**

**JANUARY 16, 2024**

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# 1 OFFICE OF CAMPAIGN FINANCE

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*Mission:* The mission of the Office of Campaign Finance (OCF) is to regulate and provide public disclosure and transparency to protect and ensure public trust in the integrity of the election process and government service.

*Services:* The Office of Campaign Finance processes and facilitates the public disclosure of financial reports, which are required by law to be filed with the OCF. OCF also performs desk reviews and develops statistical reports and summaries of the financial reports; encourages voluntary compliance by providing information and guidance on the application of the District of Columbia Campaign Finance Act of 2011 (the Act), as amended, through educational seminars, interpretative opinions, and the OCF Web Site; and enforces the Act through the conduct of audits, investigations, and the informal hearing process.

## 2 2023 ACCOMPLISHMENTS

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Accomplishment	Impact on Agency	Impact on Residents
<p>The Office of Campaign Finance published the OCF FEP 2022 Post-Election Cycle Report on September 1, 2023, at the OCF Website, <a href="http://www.ocf.dc.gov">www.ocf.dc.gov</a>. The Report presents data based on the financial transactions reported in the Reports of Receipts and Expenditures filed with the OCF covering the start of the campaigns of the Candidates who were certified to participate in the Fair Elections Program through December 10, 2022. The Report is presented in four parts: the Overview of the 2022 Election Cycle; the OCF Review of the National Trends in Public Financing Programs; Statistical Data of the 2022 Election Cycle; and the Lists of Qualified Small Dollar Contributions and Expenditures for all FEP Candidates.</p>	<p>The accomplishment of this Initiative ensured the Agency's compliance with D.C. Official Code Section 1-1163.32j, which requires the publication of the Report, and aided the Agency in the performance of its continuing responsibility to provide full disclosure and transparency to campaign operations.</p>	<p>The publication of the Post-Election Report for the 2022 Election Cycle provided the District Residents with an overview of the second election cycle during which the Fair Elections Program was administered by the Office of Campaign Finance, and the specific data underlying the disbursement of public funds to the Candidates certified to participate in the Fair Elections Program during the 2022 Election Cycle.</p>

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Accomplishment	Impact on Agency	Impact on Residents
<p>The Office of Campaign Finance successfully administered the Fair Elections Program during the 2022 Election Cycle, the second cycle of the Program. The 2022 Election Cycle closed on November 8, 2022, the date of the General Election in the District of Columbia. There were Forty-Two (42) Candidates certified as "Participating Candidates" in the Program in the November 2022 General Election (11) and the June 2022 Primary Election (31). The Agency authorized the total sum of \$12,358,606.95 from the Fair Elections Fund in Base Amount and Matching Fund Payments to the Forty-Two Candidates certified to participate in the 2022 Election Cycle. Of significance, the FEP Candidates were elected and certified by the Board of Elections on November 22, 2022, in Ten (10) of the Thirteen (13) seats for Public Office available for election in the November 8, 2022, General Election.</p>	<p>The Fair Elections Program is established within the Office of Campaign Finance, and it is the responsibility of the Agency to accurately, effectively, and efficiently administer the Program which provides public funding to qualified campaign operations.</p>	<p>The Fair Elections Program once again met all of its goals, including to provide an incentive for more candidates to compete in the electoral process, to encourage District Residents to have greater participation in the electoral process, and to amplify the voices of District residents by matching qualified small dollar contributions.</p>
<p>The Office of Campaign Finance continued the expansion of the OCF Educational Outreach Program to inform a wider audience about the Traditional Campaign Finance and the Fair Elections Programs through new and existing partnerships by having a presence in communities of the District of Columbia from May 2023 through September 2023 at the Constituent Meetings of Four (4) Advisory Neighborhood Commissions, the Twelve (12) Beat the Streets Community Outreach Events organized by the Metropolitan Police Department and held in Wards 3, 4, 5, 6, 7, and 8, and at Six (6) Seminars offered at Branches of the DC Public Library located in the various Wards.</p>	<p>The dissemination of information by the Office of Campaign Finance at events in the Community enabled the Agency to expand its reach and inform a wider audience about the programs in the Agency, including the fairly new Fair Elections Program, which provides for the public funding of campaign operations in the District of Columbia.</p>	<p>The dissemination of information pertaining to the Programs of the Office of Campaign Finance will ensure the residents of the District of Columbia are informed of the reporting and participatory requirements for the upcoming 2024 Election Cycle during which the Board of Elections will conduct the June 4, 2024, Primary Election and the November 5, 2024, General Election.</p>

### 3 2023 OBJECTIVES

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#### Strategic Objective

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Provide fair, effective, and timely enforcement programs and activities to increase and support the full, accurate, and complete disclosure of documents and actions relevant to the Campaign Finance Act.

Provide high quality educational outreach services (entrance conferences, training seminars, publications, and online tutorials) to increase full disclosure and voluntary compliance with the Campaign Finance Act.

Provide fair, effective, and efficient audit programs and activities to increase and support the full, accurate, and complete disclosure of documents and actions relevant to the Campaign Finance Act.

Provide a high quality web-internet based public disclosure system to receive the online submission of financial reports, and to ensure the availability of campaign finance data and information in a manner that is easy to navigate, search, sort, and retrieve at the OCF Website.

Provide a fair, effective, and efficient public financing program for candidates who qualify to participate in the program and agree to abide by its requirements

Create and maintain a highly efficient, transparent, and responsive District government.

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## 4 2023 OPERATIONS

Operation Title	Operation Description
<p><b>Provide fair, effective, and timely enforcement programs and activities to increase and support the full, accurate, and complete disclosure of documents and actions relevant to the Campaign Finance Act.</b></p>	
Enforcement Program: Daily Service	The Office of the General Counsel provides legal advice and enforcement through the conduct of investigations and informal hearings, and the recommendation of decisions on charges of violations of the Campaign Finance Act; the issuance of interpretative opinions and expedited legal advice; the drafting of regulations and the review of OCF Forms for changes; the conduct of training seminars to promote voluntary compliance; and the coordination of site visits to the election precincts and early vote centers operated by the Board of Elections, and to campaign offices.
<p><b>Provide high quality educational outreach services (entrance conferences, training seminars, publications, and online tutorials) to increase full disclosure and voluntary compliance with the Campaign Finance Act.</b></p>	
Educational Outreach Services: Daily Service	The OCF Educational Outreach Program assists with the coordination of the mandatory training conferences for new registrants, the onsite training seminars, the development of online brochures, interactive tutorials, and online tutorials, and the employee training program. The OCF Training Program also manages and utilizes the OCF Facebook Page as a training tool to disseminate information to the public. The Training Program distributes and evaluates surveys to training participants to assess the content and performance of the trainer.
<p><b>Provide fair, effective, and efficient audit programs and activities to increase and support the full, accurate, and complete disclosure of documents and actions relevant to the Campaign Finance Act.</b></p>	
Audit Programs: Daily Service	The Reports Analysis and Audit Division conducts audit analysis and reviews of all financial reports and statements received in the Agency; issues requests for additional information to filers where deficiencies are noted during the desk review process; conducts full field audits of the campaign operations of newly elected public officials, investigative audits of financial operations based on the receipt of complaints or based upon desk reviews; conducts periodic random audits of the reports filed by the constituent service programs, committees active during an election cycle, and continuing committees; and recommends the issuance of final audit reports based on the findings of full field and random periodic audits. Participates in site visits to election precincts, early voting centers, and to the offices of principal campaign committees.
<p><b>Provide a high quality web-internet based public disclosure system to receive the online submission of financial reports, and to ensure the availability of campaign finance data and information in a manner that is easy to navigate, search, sort, and retrieve at the OCF Website.</b></p>	
Public Information collection and dissemination online and onsite: Daily Service	The Public Information and Records Management Division publishes campaign finance data and information online at the OCF Website, and makes information available in the OCF Offices; compiles listings and reports of contribution and expenditure information published at the website and in the Biennial Report; manages the electronic filing and data entry of financial reports; oversees the registration of new candidates and committees, and coordinates the mandatory training of the new registrants; oversees the OCF e-mail subscription service; makes all public reports and statements available for the public online within 24 hours of receipt; and conducts the Filer Pre-Notification and Failure to File Programs.

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Operation Title	Operation Description
<b>Provide a fair, effective, and efficient public financing program for candidates who qualify to participate in the program and agree to abide by its requirements</b>	
Fair Elections Program: Daily Service	The Fair Elections Program Division provides public financing to candidates who elect to participate in the program; determines whether candidates qualify for certification and public funds based on the verification of threshold requirement; authorizes the distribution of base amount payments and matching payments; and conducts post-election audits of all campaign operations for compliance with the Fair Elections Amendment Act.



## 5 2023 STRATEGIC INITIATIVES

In FY 2023, Office of Campaign Finance had 6 Strategic Initiatives and completed 83.33%.

Title	Description	Update
Site Visits to Early Voting Centers and Election Precincts	The Office of the General Counsel will organize Site Visits by Members of the OCF Legal and Audit Divisions to the Early Voting Centers and to the Election Day Vote Centers operated by the Board of Elections in each of the Eight (8) Wards during the November 8, 2022, General Election to provide counsel and guidance where necessary concerning the application of the Campaign Finance Laws.	Completed to date: Complete Site Visits were conducted by the Office of Campaign Finance to 14 of the Early Voting Centers operated by the Board of Elections from October 31, 2022, through November 4, 2022, and to 51 of the Vote Centers on November 8, 2022. The OCF Teams observed in general during this period that campaign disclaimers were appropriately placed on literature and posters, that activity at the polls adhered to the electioneer marker restrictions, and that there were no complaints from the public concerning campaign related activities.
Candidate and Community Outreach	The Office of Campaign Finance will develop and publish at the OCF Website Brochures, Fact Sheets, and Educational Materials for the 2024 Election Cycle; and formulate the funding requirements for the 2024 Election Cycle using the expenditure totals of the successful candidates in the 2020 and the 2022 Election Cycles.	Completed to date: Complete The Office of Campaign Finance presented information on both the Traditional Campaign Finance and the Fair Elections Programs in its continuing efforts to reach a wider audience during the Months of July, August, and September 2023 at Twelve (12) Beat the Streets community outreach events organized by the Metropolitan Police Department in Wards 3, 4, 5, 6, 7, and 8; and partnered with the DC Public Library to provide training about the OCF Programs at three of the Branch Libraries.

Full Field Audits

The Office of Campaign Finance will select for full field audit the campaign operations of the principal campaign committees of Candidates registered in the Traditional Campaign Finance Program and newly elected to Office in the November 8, 2022, General Election conducted by the Board of Elections. The audit will require the selected reporting entity to submit all bank records, invoices, loan documents, and receipts maintained in support of the financial transactions reported during the coverage period of the audit. The Audit Division will verify report entries against the submitted records to ensure the accuracy of the transactions.

Completed to date: Complete  
The Office of Campaign Finance initiated four (4) Full Field Audits in February 2023 of the campaign operations of Candidates newly elected to Office during the 2022 Election Cycle who participated in the Traditional Campaign Finance Program, and of one other Committee active during the 2022 Cycle. The Agency completed three (3) of the Audits and issued Final Audit Reports for OYE for US Representative Principal Campaign Committee (PCC) on April 4, 2023; ERIC GOULET for SBOE PCC on May 26, 2023; and Mendelson for Chairman 2022 PCC on August 7, 2023. All Audits were Compliance Audits. The full field audit of the Kenyan McDuffie 2022 Principal Campaign Committee is ongoing.

Development of E-Filing System

The Office of Campaign Finance will develop the OCF E-Filing and Disclosure System to provide an application to fully implement the provisions of the Campaign Finance Reform Act, as amended, to enable the OCF to identify prohibited contributions through a cross reference of the lists of covered contractors and their principals when made publicly available by District contracting authorities, of contracts above a certain amount, and to notify the contractors and prohibited recipients' about potential violations.

Completed to date: 50-74%  
Updates to the OCF E-Filing System to fully implement the Covered Contractor Provisions remain in a hold pattern for the final touches to the application the Office of the Chief Technology Officer (OCTO) is creating for the Office of Contracting and Procurement (OCP). The OCP has made initial updates to the OCP System to allow for the registration of the entities identified in the new law, however, the System is not complete. Once complete, the OCF is prepared to proceed with the upgrades of the Agency System. The upgrade of the OCF E-Filing System is dependent upon the enhancement of the Procurement Database which will capture the information that the OCF System must interact with. The OCP System is near completion, and once completed the OCF will proceed with the procurement of the upgrades in Fiscal Year 2024.

Fair  
Elections  
Program  
Audits

The Office of Campaign Finance will initiate full field audits of the campaign operations of the principal campaign committees of all Candidates certified to participate in the Fair Elections Program following the certification of the Results of the November 8, 2022, General Election by the Board of Elections. The audits will require the submission of all financial documents maintained in support of the transactions reported during the coverage period of the audit. This will enable the verification of the receipt, expenditure, and remission of public funds in accordance with the provisions of the Fair Elections Act, through the substantiation of report entries against the submitted documentation, to ensure the accuracy of the transactions.

Completed to date: Complete

The Office of Campaign Finance issued forty-two (42) Post-Election Audit Letters for the June 21, 2022, Primary Election and the November 8, 2022, General Election to Candidates who were certified to participate in the 2022 Election Cycle on March 13, 2023, and on March 24, 2023. To date, the Fair Elections Program has completed the field work in Seventeen (17) of the initiated Audits and issued Seventeen (17) Preliminary Statements of Audit Findings in these matters for which Committee responses are due; and issued Ten (10) Final Audit Reports for the 2022 Election Cycle. The Final Audit Reports are published at the OCF Website, [www.ocf.dc.gov](http://www.ocf.dc.gov).

Publication  
of the 2022  
Election  
Cycle  
Report

The Office of Campaign Finance will produce and publish at the OCF Website and submit to the Mayor and the Council during July 2023, the Report due nine (9) months after the end of the November 8, 2022, General Election Cycle. The Report will list the names of all Candidates certified to participate in the Fair Elections Program during the 2022 Election Cycle, and describe for each, the qualified small dollar contributions received, personal funds contributed, amount of remitted funds, expenditures made, and public funds

Completed to date: Complete

The 2022 Post-Election Cycle Report of the Fair Elections Program was published at the OCF Website on September 1, 2023. The Data presented in the Report is based on information reported in the Reports of Receipts and Expenditures filed with the Agency covering the start of the campaigns of the Candidates referenced therein through December 31, 2022. The Report is presented in four parts. Part I is an overview of the 2022 Election Cycle and the Fair Elections Program; Part II is the OCF review of the national trends in public funding programs; Part III presents statistical data from the 2022 Election Cycle; and Part IV contains lists of the Qualified Small Dollar Contributors and Expenditures for all Fair Elections Certified Participating Candidates.

## 6 2023 KEY PERFORMANCE INDICATORS AND WORKLOAD MEASURES

### Key Performance Indicators

Measure	Directionality	FY 2021	FY 2022	FY 2023 Q1	FY 2023 Q2	FY 2023 Q3	FY 2023 Q4	FY 2023	FY 2023 Target	Was 2023 KPI Met?	Explanation of Unmet KPI
<b>Provide fair, effective, and timely enforcement programs and activities to increase and support the full, accurate, and complete disclosure of documents and actions relevant to the Campaign Finance Act.</b>											
Percent of expedited advice for time-sensitive election related matters issued within fifteen (15) days of request	Up is Better	100%	100%	100%	100%	100%	No applicable incidents	100%	100%	Met	
Percent of Interpretative Opinions issued within thirty (30) days	Up is Better	100%	Not Available	100%	No applicable incidents	No applicable incidents	No applicable incidents	100%	100%	Met	
Percent of informal hearings conducted and closed before the next filing deadline	Up is Better	100%	100%	Annual Measure	Annual Measure	Annual Measure	Annual Measure	100%	100%	Met	
<b>Provide high quality educational outreach services (entrance conferences, training seminars, publications, and online tutorials) to increase full disclosure and voluntary compliance with the Campaign Finance Act.</b>											
Percent of new candidates and treasurers who receive mandatory training	Up is Better	100%	100%	100%	No applicable incidents	100%	100%	100%	100%	Met	
<b>Provide fair, effective, and efficient audit programs and activities to increase and support the full, accurate, and complete disclosure of documents and actions relevant to the Campaign Finance Act.</b>											
Percent of financial reports reviewed, evaluated, and analyzed before the next filing deadline	Up is Better	100%	100%	100%	100%	100%	100%	100%	100%	Met	
Percent of periodic random audits conducted within sixty (60) days of initiation	Up is Better	100%	100%	100%	No applicable incidents	100%	100%	100%	100%	Met	
<b>Provide a high quality web-internet based public disclosure system to receive the online submission of financial reports, and to ensure the availability of campaign finance data and information in a manner that is easy to navigate, search, sort, and retrieve at the OCF Website.</b>											
Percent of financial reports filed electronically	Up is Better	100%	100%	100%	98.6%	100%	100%	99.3%	100%	Nearly Met	

Key Performance Indicators (continued)

Measure	Directionality	FY 2021	FY 2022	FY 2023 Q1	FY 2023 Q2	FY 2023 Q3	FY 2023 Q4	FY 2023	FY 2023 Target	Was 2023 KPI Met?	Explanation of Unmet KPI
<b>Provide a fair, effective, and efficient public financing program for candidates who qualify to participate in the program and agree to abide by its requirements</b>											
Percent of matching payments directed for disbursement to participating candidates within five (5) business days after the receipt of financial reports	Up is Better	100%	100%	Annual Measure	Annual Measure	Annual Measure	Annual Measure	100%	100%	Met	
Percent of candidates determined to meet requirements for certification by OCF within ten (10) business days of filing the affidavit declaring compliance with the Fair Elections Program.	Up is Better	100%	100%	Annual Measure	Annual Measure	Annual Measure	Annual Measure	100%	100%	Met	
Percent of Base Amount and Matching Payments authorized for disbursement within five (5) business days of the certification of a candidate into the Fair Elections Program	Up is Better	100%	100%	Annual Measure	Annual Measure	Annual Measure	Annual Measure	100%	100%	Met	
<b>Create and maintain a highly efficient, transparent, and responsive District government.</b>											
Percent of investigative matters closed within ninety (90) days of opening	Up is Better	100%	No Applicable Incidents	Annual Measure	Annual Measure	Annual Measure	Annual Measure	100%	100%	Met	

Workload Measures

Measure	FY 2021	FY 2022	FY 2023 Q1	FY 2023 Q2	FY 2023 Q3	FY 2023 Q4	FY 2023
<b>Enforcement Program</b>							
Total number of informal hearings conducted	197	110	17	51	31	27	126
Total number of investigations completed within 90 days	1	Not Available	3	1	No applicable incidents	No applicable incidents	4
Total number of Interpretative Opinions and Expedited Advice issued	35	33	8	4	4	No applicable incidents	16
<b>Educational Outreach Services</b>							
Total number of mandatory training conferences conducted	36	158	6	No applicable incidents	8	9	23
<b>Audit Programs</b>							
Total number of financial reports reviewed, evaluated, and analyzed for the reporting period	878	584	274	437	118	101	930
Total number of periodic random and full field audits completed by the Audit Division	18	17	4	No applicable incidents	4	3	11
<b>Public Information collection and dissemination online and onsite</b>							
Total number of financial reports filed	1,012	739	278	483	60	131	952
Total number of financial reports filed electronically	1,012	739	278	476	60	131	945
<b>Fair Elections Program</b>							
Total number of candidates certified as participating candidates in the Fair Elections Program	5	38	Annual Measure	Annual Measure	Annual Measure	Annual Measure	40
Total number of pre-election and post election audits completed by the Fair Elections Division	12	7	Annual Measure	Annual Measure	Annual Measure	Annual Measure	27