

Limits on Adaptive Security for Attribute-Based Encryption

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Abstract

This work addresses the long quest for proving full (adaptive) security for attribute-based encryption (ABE). We show that in order to prove full security in a black-box manner, the scheme must be “irregular” in the sense that it is impossible to “validate” secret keys to ascertain consistent decryption of ciphertexts. This extends a result of Lewko and Waters (Eurocrypt 2014) that was only applicable to straight-line proofs (without rewinding). Our work, therefore, establishes that it is impossible to circumvent the irregularity property using creative proof techniques, so long as the adversary is used in a black-box manner.

As a consequence, our work provides an explanation as to why some lattice-based ABE schemes cannot be proven fully secure, even though no known adaptive attacks exist.

1 Introduction

An Attribute-Based Encryption scheme (ABE) [SW05, GPSW06] is one that allows fine-grained access to encrypted data by issuing multiple secret keys, each with its own permissions, and protecting the privacy of ciphertext even against colluding unauthorized parties. More explicitly, an ABE scheme consists of a global pair of “master” public-key (also known as the public parameters of the scheme) and secret-key, where the former is used to encrypt messages, and the latter is used to issue individual decryption keys. Messages are encrypted, using the public parameters, with respect to an *attribute* x (for our purposes $x \in \{0,1\}^n$). Secret keys are generated using the master secret-key, with respect to predicate functions f , so that SK_f can decrypt all ciphertexts with attributes x for which $f(x) = 1$.¹ The security requirement is *collusion resilience*. Namely, even if an attacker has as many SK_{f_i} as they want, if $f_i(x) = 0$ for all i , then the attacker cannot decrypt ciphertexts with attribute x . ABE schemes that support sufficiently rich function classes (even the class of shallow circuits or the class of boolean formulae) are known to exist only under two types of cryptographic assumptions: assumptions on groups with bilinear maps [SW05, GPSW06, OSW07, Wat11, LOS⁺10, LW12, KL15, CGKW18, KW20, GW20, LL20] and lattice assumptions [AFV11, ABV⁺12, Boy13, GVW13, BGG⁺14].

ABE proved to be a useful primitive for many purposes (see [LOS⁺10, AFV11, DDM15, GKW17, DGP21] for just a few samples among many). However, proving security for ABE is a challenging task. In the security reduction, the ABE adversary is used to violate the underlying hardness assumption. Since the ABE adversary is allowed to request multiple keys SK_{f_i} , the proof needs to be designed so that such keys can be generated and fed to the adversary, where at the same time, there is a challenge of the underlying assumption that remains unsolved in the eyes of the reduction algorithm. Therefore, in many cases, security is proved in a relaxed model, which is known as *selective security*. In this model, the adversary needs to declare, ahead

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¹The description here is for a variant known as Key-Policy ABE (KP-ABE). There is another variant known as Ciphertext-Policy ABE (CP-ABE) where encryption is with respect to f , and secret-key generation is with respect to x . The distinction is not very important for our purposes, so we adopt the KP-ABE notation throughout this manuscript.

of time, the value x^* on which it wishes to violate security [CHK03]. This allows the reduction to design the public parameters so that it is possible to generate SK_{f_i} for which $f_i(x^*) = 0$. However, this naturally restricts the attacker’s power since, in an actual attack, the adversary may be exposed to some SK_{f_i} and only then choose x^* . Protecting against the latter is known as full security, or as *adaptive security*, to emphasize the possibility of the aforementioned adaptive attacks. An intermediate notion, semi-adaptive security, allows x^* to be chosen after seeing the public parameters but before seeing any actual key. This latter notion appears to be more similar to selective than to adaptive security and can be achieved in similar ways to the selective case [BV16, GKW16].

Adaptive security is notoriously hard to prove. Intuitively, this is because the reduction needs to be prepared to “feed” the adversary with a key of their choice. For example, the reduction does not know whether the adversary will ask for a key for a function f or for its complement. Therefore, in a sense, the reduction should have the ability to decrypt any ciphertext without the adversary’s help. Indeed, until recently, fully secure ABE was *only* known to exist under assumptions on bilinear maps, mostly using the dual-system technique of Waters and its successors [Wat09].² Recently, Tsabary [Tsa19] showed an approach towards full security in the lattice regime, but only for a very restricted class of functions f (in particular, this is still not known for general shallow circuits or for the class of boolean formulae).

Nevertheless, as hard as it is to prove adaptive security, actual adaptive attacks are not so common. In fact, we are not aware of adaptive attacks against the lattice-based schemes of [GVW13, BGG⁺14]. It is, therefore, quite puzzling that we need to apply involved techniques and lose a lot of functionality (as of yet) in order to prove this property.

Lewko and Waters [LW14] tried to formalize the above intuition on the hardness of proving adaptive security. They noticed that since the reduction needs to be able to produce keys that essentially violate the security of any individual ciphertext, one can *extract from the reduction itself* information that allows violating the hardness assumption, thus trivializing the proof. This extraction is done via *rewinding*. At a high level, we consider an algorithm that runs the reduction as an adversary, asks for a key for the function f_0 , and then *rewinds* the reduction to the point before the key was asked. It then asks for a different key f_1 and claims to violate security for some x^* for which $f_0(x^*) = 1$ and $f_1(x^*) = 0$. Indeed, security is violated by using SK_{f_0} that was obtained in the rewind part of the execution. The current thread of the reduction “thinks” that only f_1 was queried and, therefore, that it is interacting with a legitimate successful ABE adversary. This should lead to the reduction of violating the hardness assumption in polynomial time.

One has to be careful when applying this argument (especially given that adaptive security via black-box reductions *is* possible to achieve in some cases). In order for it to work, the reduction should not notice that the challenge ciphertext is being decrypted by a key that was obtained in another thread. Therefore, SK_{f_0} should decrypt ciphertexts in a “canonical” manner that does not expose the origins of the key. Lewko and Waters, therefore, defined a criterion for secret keys and ciphertexts, essentially requiring that it is not possible to distinguish different decryptions of a ciphertext, even if they were obtained using different keys (so long as all secret keys and ciphertext involved pass a public validation procedure). This is a very natural property, and thus the Lewko-Waters result has the following very strong implication. In order to achieve provable adaptive security, one must forgo the ability to validate secret keys and ciphertexts to ensure that decryption is done in a consistent manner. We are not aware of a setting where this “checkability” property is explicitly required, but we imagine that the ability to validate keys and ciphertexts may be desirable in a multi-user system.

Existing methods for constructing fully-secure ABE do this by making their scheme impos-

²We note that there is a way to generically upgrade selective to adaptive security, at the cost of a 2^n factor degradation in security, by simply guessing the value of x^* . This is known as *complexity leveraging*. However, the cost is prohibitive in many cases, and furthermore, this method cannot be applied if n is not a-priori bounded.

sible to validate. This applies to Waters’s aforementioned dual-system technique, where the proof utilizes “semi-functional” keys and ciphertexts, which differ in functionality from “regular” keys, thus inherently violating the checkability property. Tsabary’s approach relies on generating a special ciphertext that some policy-agreeing honestly-generated secret keys cannot decrypt. Therefore, in the security proof, the challenge ciphertext would decrypt to different values if the attacker attempted to decrypt it with different (policy-agreeing) secret keys. Here again, it is inherently not possible to come up with a validation procedure for the scheme.

An important limitation of the Lewko-Waters result is that it does not apply when the reduction itself rewinds the adversary. Indeed, rewinding is a very common proof technique in cryptography. For example, the reduction, upon receiving a request to provide a key for f_0 , may rewind the adversary and test it on public parameters that the reduction generated by itself in order to see that it actually manages to solve ABE “dummy challenges” before actually providing it with keys with respect to the “real” public parameters.

If we then try to apply the outline above, our algorithm tries to rewind the reduction, but then the reduction, in turn, attempts to rewind the attacker. Our algorithm, therefore, needs to pretend to have been rewound and solve the dummy challenges, which again requires rewinding the reduction. Rewinding seems to complicate the above outline significantly, and indeed Lewko and Waters only applied their techniques to straight-line reductions – ones that do not use rewinding. This still leaves hope that maybe, if we are clever enough about proof techniques, we can come up with a fully secure ABE scheme that is as simple as our existing selective schemes. In fact, perhaps it is even possible to prove adaptive security for [GVW13, BGG⁺14] using a sufficiently clever reduction.

1.1 Our Results

Our main result is to extend the result of Lewko and Waters to handle rewinding reductions. Along the way, we introduce a simpler notion of *checkability*. Therefore, our result shows that the Lewko-Waters argument cannot be circumvented by clever proof techniques (so long as the adversary is used in a black-box manner), and necessarily there is a cost for the ability to prove adaptive security. Both in terms of naturalness and possibly performance.

As an implication of our main theorem, we show that (the delegatable version of)³ the celebrated lattice-based scheme of [BGG⁺14] cannot be proven adaptively secure, at least without modifications. To this end, we observe that the security definition of an ABE scheme does not involve the decryption algorithm. Indeed, an attacker receives keys and a challenge ciphertext and attempts to recover the message that has been encrypted. The entire security game is conducted without any party being “instructed” to use the decryption algorithm.⁴ We show that these schemes have an alternative decryption algorithm and that, with respect to this decryption algorithm, it is possible to validate secret keys and ciphertexts. Therefore, our result can be applied to rule out adaptive security reductions for this scheme. An important takeaway here is that in order to rule out validation, one must consider *all possible decryption circuits* (that decrypt correctly) and effectively show that it is impossible to validate secret keys and ciphertexts with respect to all of them. We then discuss some modifications to the aforementioned scheme and their impact on the ability to validate. Our conclusion is that apparently a more radical change, as per [Tsa19], maybe required in order to be able to prove adaptive security in the lattice setting.

³In the delegatable version, the secret key contains a lattice trapdoor rather than a single vector. See Section 1.2 for further explanation and discussion on the implications for the non-delegatable version.

⁴This property is not unique to ABE, and it also occurs in standard CPA secure encryption.

1.2 Technical Overview

To prove our main theorem, we consider a Turing reduction \mathcal{R} from some intractability assumption \mathcal{C} to violating the adaptive security of some ABE scheme. The assumption \mathcal{C} is stated in terms of a possibly-interactive security game (using the framework of [Nao03]). The reduction asserts that if there existed a successful ABE adversary \mathcal{A} , then \mathcal{R} could use it in a black-box manner in order to break the assumption \mathcal{C} . Following [LW14], the underlying idea of our proof is to use the reduction \mathcal{R} directly in order to break the assumption \mathcal{C} , without the help of an actual ABE attacker. In order to do so, we wish to efficiently emulate an ABE attacker for \mathcal{R} , in a way that \mathcal{R} would not be able to distinguish from a real attacker.

Adaptive security is defined by an interactive game between the reduction \mathcal{R} , i.e. the challenger, and the attacker \mathcal{A} . The game begins with the challenger declaring the public parameters of the ABE scheme. Then, the attacker can make key-queries to the challenger for secret keys of predicates of its choice. Next, it declares a challenge attribute x^* , and receives from the challenger a challenge ciphertext CT_{x^*} encrypted w.r.t. x^* (say, encrypting either the message 0 or 1). Afterward, \mathcal{A} can make more key-queries, until finally, it sends a guess of which message was encrypted in CT_{x^*} . The adversary wins the game if it has not received any secret key that accepted x^* , and the guess has been correct.

Recall that in order to emulate an attacker for \mathcal{R} , our algorithm should be able to solve possible “dummy challenges” and properly decrypt ciphertexts provided by the reduction. Naively, this could be achieved by rewinding the reduction and extracting additional secret keys. However, as we explained above, two issues arise: (1) First, the reduction may notice when the simulated attacker decrypts the challenge ciphertext using a secret key that was extracted by rewinding the reduction, and then behave unexpectedly as a result, so we would not be able to use it to violate. (2) Second, once we allow the reduction to rewind the attacker, the naive strategy could lead to many “nested” rewindings of the reduction and the attacker, which in turn may result in an exponential running time. Furthermore, when considering a general assumption \mathcal{C} , we have to ensure that the rewinding of the reduction does not affect the interaction between \mathcal{R} and \mathcal{C} , since \mathcal{C} itself is not in our control and we are unable to rewind it.

In [LW14], Lewko and Waters addressed the first obstacle and defined a *checkability* criterion for ABE schemes, which states that each secret key and each ciphertext can be validated to ensure “canonical” decryption. Namely, the checkability property requires that a valid ciphertext is decrypted to the same message using any valid secret key. This way, the simulated attacker can validate the challenge ciphertext and the secret key used for decryption, and the reduction would not detect which secret key was used. We present a looser checkability requirement, which states that each secret key can be validated and that the decryption of ciphertext using any valid secret key results in the same decryption distribution. This property is sufficient to ensure consistent decryption by applying the same attacker strategy. Note that every scheme that satisfies Lewko and Waters’s checkability requirement can be trivially transformed to satisfy our checkability requirement by validating the ciphertext at the beginning of the decryption procedure, and outputting \perp if found invalid. We further observe that the implementation of the decryption procedure of an ABE scheme does not play any role in the adaptive security proof. Therefore, if we modified the decryption procedure of an ABE scheme so it would satisfy our checkability property, and show it cannot be proved adaptively secure, then we would conclude that the original scheme could not be proven adaptively secure as well.

To overcome the second obstacle, our starting point is the more delicate rewinding technique introduced by Pass [Pas11] in the context of witness-hiding special-sound protocols for unique relations. Pass used the rewinding technique in order to obtain a sufficient amount of interaction transcripts, which are essentially proofs of some statement x with different suffixes. The transcripts are then fed to the special-soundness extractor in order to recover a witness for x . We would like to use similar tools in order to rewind an ABE challenger, obtain multiple keys with respect to the same public parameters, and use them for the purpose of decrypting the

challenge ciphertext.

Adopting Pass’s terminology, we define the notion of a *slot*, a “time window” during the execution of \mathcal{R} . This window “opens” when the emulated ABE attacker sends a key query to obtain a secret key for a predicate function, and it “closes” right after the reduction responds with one, and the attacker validates it. Intuitively, this is the shortest time frame that could be rewound in order to extract additional validated secret keys from the reduction. As previously described, due to the recursive nature of rewinding both the reduction and the simulated attacker, the reduction may rewind the attacker at some point during a slot, so another “nested” slot may open. We consider a slot to be “good” for rewinding if, between the time that it opens and the time that it closes, there is no communication between \mathcal{R} and \mathcal{C} , and in addition, \mathcal{R} does not rewind the attacker “too many times” within the slot. We also require that the extracted key was indeed a valid one. Intuitively, the first condition ensures that rewinding the slot does not disturb the interaction between \mathcal{R} and \mathcal{C} , and the second condition allows us to bound the recursion depth and limit the growth in runtime due to possible nested slots being rewound as well. Once we encounter a good slot in the execution, we rewind it several times to extract multiple secret keys. The precise criterion for a slot being good is determined with respect to the maximal running time of the reduction, which is assumed to be efficient, and according to the recursive depth of the slot. This, combined with the fact that \mathcal{R} is an efficient algorithm and cannot make “too many” queries to the attacker, allows us to ensure the existence of good slots while maintaining the bound on the running time of the emulation. Note that an ABE attacker may query the challenger (embodied by the reduction in our case) many times. It suffices for us that just one of the slots induced by these queries is good because once a slot is good, we can rewind it many times and obtain many secret keys that will allow us to decrypt the challenge ciphertext.

Being able to rewind the reduction is a necessary step toward simulating an attacker, but we must also argue that the rewinding reduction will indeed provide us with a valid secret key that will decrypt x^* . To this end, we need to design the function class for which we make queries, as well as the challenge attribute x^* . We want these values to meet the following conditions: On the one hand, the secret keys queried during the “mainline” execution of \mathcal{R} should not accept the selected challenge attribute x^* . On the other hand, to successfully decrypt the challenge, we wish to use the rewound executions to query at least one secret key that does accept x^* . Finally, we must meet these two conditions in a way such that the reduction would not be able to detect when it is being rewound, otherwise, it may abort.

We approach this challenge as follows: The challenge attribute x^* is sampled uniformly at random from the set of possible attributes, and each secret key is sampled randomly from a specific set of functions, exactly the same way during the rewound queries as during the “mainline” ones. The set of functions is constructed from a pairwise independent hash family, so the fraction of any subset of attributes covered by a uniformly random function from the set is statistically close to the mean fraction (a property also known as mixing). This is a key ingredient in our analysis to ensure any attribute has a high enough probability of being covered by the secret keys we extract by rewinding (this is true even when the reduction may choose not to disclose a fraction of the keys, as we explain shortly). The probability of a function in the set to cover some attribute is chosen to be small enough so that with high enough probability, the uniformly random challenge x^* is not covered by the functions queried during the “mainline” execution of \mathcal{R} , but large enough so that with high enough probability, x^* is covered by at least one key that was extracted during a rewound execution. By specifying the functions to be queried, we require the ABE scheme to support them as predicates. Fortunately, since pairwise hash families can be implemented by NC^1 circuits [IKOS08], the requirement is commonly satisfied.

Computing the probability of x^* being covered by a secret key that was extracted by rewinding, requires delicate care since we must not make any presumptions about the behavior of the

reduction. In particular, \mathcal{R} may refuse to provide secret keys for certain queries in an unexpected way. By assumption, the reduction, given access to an attacker, violates the \mathcal{C} with a noticeable probability, and \mathcal{C} is assumed to be impossible to violate without access to an attacker. Therefore, the reduction must rely on the attacker breaking adaptive security with a noticeable probability, and so it must cooperate according to the security game protocol with a noticeable probability as well. For the same reason, if the reduction would only accept a negligible portion of the possible challenge attributes, it would fail to successfully violate \mathcal{C} with a noticeable probability. This behavior is a generalization of a method known as *complexity leveraging*, in which the challenger guesses the challenge attribute x^* in advance and rejects any other challenge. The method is used to upgrade a selectively secure scheme to be adaptively secure generically, but at the cost of exponential degradation in security, which, as explained, makes it irrelevant to our scenario.

Implications to Lattice-Based ABE Schemes. We now turn our attention to applying our result to the lattice-based ABE scheme of Boneh et al. [BGG⁺14]. This scheme supports policy functions represented by boolean circuits of a polynomially a-priori bounded depth (the depth bound is a parameter in the initialization of the scheme), and security is based on the hardness of the Learning with Errors problem (LWE) [Reg05].

We start by outlining the high-level structure of the scheme. In particular, we consider the version that supports key delegation (see discussion on other variants and on other lattice-based ABE schemes at the end of this outline). The public parameters are designed so that each input attribute x is associated with a lattice A_x (we do not define what a lattice is since this is a high-level overview, but we will explain all properties that are relevant for our outline). This lattice can be publicly derived from the public parameters of the scheme. A ciphertext with respect to x consists of a noisy vector that is close to the lattice A_x . More explicitly, A_x is represented as a matrix in $\mathbb{Z}_q^{n \times m}$, and the ciphertext consists of a vector of the form $c = sA_x + e \pmod{q}$, where s is a uniform vector in \mathbb{Z}_q^n , and the noise e is sampled from a distribution over short vectors. The message to be encrypted is then encoded by adding an “offset” to c , which depends on the message. Importantly, being able to recover e from c suffices in order to recover the message m , so the exact encoding procedure is immaterial for our purposes. In terms of key generation, every possible predicate function f is also associated with a (public) lattice A_f . In this version of [BGG⁺14], the secret key for the predicate f consists of a *trapdoor* for the lattice A_f . A lattice trapdoor can take many forms (that are interchangeable). The simplest one, perhaps, is a “short basis” for the so-called dual lattice. The details are immaterial, but the important property is that given a trapdoor T_A for a lattice A and given a vector $c = sA + e \pmod{q}$, where $\|e\| \leq B$ (for some parameter B that relates to the “quality” of the trapdoor), it is possible to recover e (and furthermore this e is unique). We refer to this operation as *decoding* (due to the similarity of decoding in error correcting codes). Thus, secret keys allow to decode with respect to A_f , but ciphertexts are encoded with respect to different lattices A_x .

The ingenious component of the scheme is a mechanism that allows, for every f, x s.t. $f(x) = 1$, to come up with a low-norm matrix $H = H_{f,x}$ s.t. $A_f = A_x H_{f,x}$ (we do not define what we mean by a norm of a matrix, one can think about its spectral norm, for example). This means that given $c = sA_x + e \pmod{q}$, it is possible to multiply by H and obtain $c' = sA_f + e' \pmod{q}$, where e' has a possibly higher norm than e , but the degradation of the norm is bounded and respective to the norm of H . This new c' can be decoded using T_{A_f} in order to decrypt ciphertexts. (We did not explain why recovering e' suffices for decryption, but this can be done.)

In order to apply our theorem to this scheme, we require the following observation, which follows from the analysis of trapdoor properties in the literature, e.g. [MP12]. It can be shown that $H_{f,x}$ can also be used to translate a trapdoor for A_f into a trapdoor for A_x , with somewhat lower quality.⁵ This means that an alternative decryption procedure would be to deduce the

⁵This is a general property. If $A = BH$, and H is short, then given H , a trapdoor for A implies a trapdoor

trapdoor for A_x and then decode the vector c directly.

For our purposes, we wish to ensure that two different keys, with respect to f_1 or f_2 , will decrypt all ciphertext in the same way. We, therefore, take the following strategy. Given a secret key for a function f , we first check that its “quality” as a trapdoor T_{A_f} for A_f is good enough. That is, the honest key generation is guaranteed to produce trapdoors of a certain quality, and when we are given a candidate trapdoor we check that it is indeed of this quality. Then, given a vector c , we derive a trapdoor T_{A_x} for A_x , and use it to decode c . This is the end of the “standard” decryption procedure, but our “validated” decryption has additional steps. Note that it is quite possible that c is not a legitimate ciphertext at all, and perhaps the outcome e that we obtained is “garbage” and does not actually describe the difference from a nearby lattice point. In such a case, different trapdoors could lead to different “garbage” which contradicts the consistency property we are interested in. Therefore, once we recover the noise vector e , we check whether $c - e$ is indeed of the form sA_x (i.e. is a vector in the lattice A_x), and also that $\|e\|$ is sufficiently short (in this case, that its norm is consistent with the norm of a noise vector that is selected by the honest encryption procedure). If either check fails, we return \perp , otherwise, we return e (or rather, the message m that is induced by the value of e). The important observation is that any trapdoor for A_x that has been derived by taking a trapdoor for some A_f of the prescribed quality and converting it into a trapdoor for A_x using $H_{f,x}$, should be able to decode “legal” values of e . Therefore, if we get c with an “illegal” value of e , then we always output \perp , and if the value of e is “legal” then it should be correctly decoded.

This concludes our alternative decryption algorithm for the [BGG⁺14] scheme, for which every validated secret key will produce the same output on any given input ciphertext c . Therefore, by our main result, this scheme cannot have a black-box proof of security.

If we wish to construct an adaptively secure scheme, we need to eliminate the checkability property. One direction that comes to mind is to degenerate the [BGG⁺14] scheme so that it is no longer possible to obtain a trapdoor for A_x . We could try, for example, to change the secret keys of the scheme so that they no longer contain a trapdoor for A_f . Indeed, such a modification is possible, where the secret key for f is modified to only contain a single short vector from the dual lattice, rather than a basis. The non-delegatable version of the [BGG⁺14] scheme indeed works in this way. The predecessor of the [BGG⁺14] scheme, namely the [GVW13] scheme, also has a similar structure to the non-delegatable [BGG⁺14], where the secret key does not allow obtaining a full trapdoor for A_x , but only a partial trapdoor (a number of short vectors in the dual lattice, that do not form a basis).

In order for this approach to indeed allow an adaptive security proof, the scheme needs to have properties that seem quite implausible. In particular, it would still be possible to apply our result to obtain a barrier, if, instead of querying just one f , the attacker can query many different f ’s that all accept the same value x . This would allow obtaining a large number of short vectors in the dual lattice of A_x , thus obtaining a trapdoor for x , which would allow for canonical decryption as described above. Due to the convoluted structure of $H_{f,x}$, it is hard to prove that a full basis will be generated in this way, but it seems very implausible that this will not be the case. The situation that we are considering is querying the key generation process on multiple functions f , and finding out that on all of the x ’s that we consider (except a negligible fraction), if we take the dual-lattice vectors for A_x that are generated by all f ’s that accept x , it holds that they all fall into a proper subspace and do not form a full rank set. Note that the degrees of freedom of the key generation are fairly limited, and the number of x ’s we can consider is far greater than the number of vectors produced by the key generation. Still, coming up with proof is non-trivial, and we leave it as an open problem. Nevertheless, any attempt to prove adaptive security would require proving the opposite, which seems quite difficult.

Our conclusion, therefore (and others may draw their own), is that one has to deviate from the known methods in order to achieve adaptive security. Indeed, Tsabary’s construction [Tsa19]

for B , with the “quality” of the trapdoor degrading respective to the norm of H .

achieves this, for a very limited function class, by significantly deviating from the above blueprint so as to make it not possible to validate the decryption process.

2 Preliminaries

2.1 Basic Definitions

Let n be a natural number. We denote by 1^n the unary expansion of n , that is, the concatenation of n 1's. We also denote $[n] \stackrel{\text{def}}{=} \{1, \dots, n\}$.

Definition 2.1. (Negligible Function.) A function $f : \mathbb{N} \rightarrow \mathbb{R}_{\geq 0}$ is said to be negligible if for all c there exists N such that $f(n) < n^{-c}$ for all $n > N$. We denote by $\text{negl}(\cdot)$ a negligible function.

Definition 2.2. (Computational Indistinguishability.) Let $\{X_\lambda\}_{\lambda \in \mathbb{N}}$ and $\{Y_\lambda\}_{\lambda \in \mathbb{N}}$ be two distribution ensembles. We say they are computationally indistinguishable if for any probabilistic polynomial-time algorithm A , it holds that

$$\left| \Pr_{x \leftarrow X_\lambda} [A(x) = 1] - \Pr_{x \leftarrow Y_\lambda} [A(x) = 1] \right| = \text{negl}(\lambda) \quad (1)$$

Definition 2.3. (Statistical Distance.) Let X and Y be two random variables over a finite domain Ω , we define their statistical distance by

$$D(X, Y) \stackrel{\text{def}}{=} \frac{1}{2} \sum_{\omega \in \Omega} |\Pr[X = \omega] - \Pr[Y = \omega]| \quad (2)$$

Definition 2.4. (Statistical Indistinguishability.) Let $\{X_\lambda\}_{\lambda \in \mathbb{N}}$ and $\{Y_\lambda\}_{\lambda \in \mathbb{N}}$ be two distribution ensembles over a finite domain Ω . We say they are statistically indistinguishable if $D(X_\lambda, Y_\lambda) = \text{negl}(\lambda)$.

Definition 2.5. (Pairwise-Independent Hash Functions.) A family H of functions $h : [n] \rightarrow [m]$ is called pairwise independent if for every $i, j \in [n]$ such that $i \neq j$ and every $x, y \in [m]$ it holds that

$$\Pr_{h \leftarrow H} [h(i) = x \wedge h(j) = y] = \frac{1}{m^2} \quad (3)$$

2.2 Algorithms

The following algorithms have various alternative definitions, we present here the definitions relevant to our analysis and results, and assume familiarity with Turing machines.

Definition 2.6. (Probabilistic Algorithm.) A probabilistic algorithm is a Turing machine that receives an auxiliary random tape as input.

Definition 2.7. (Interactive Algorithm and Interactive Protocol.) An interactive algorithm, or interactive machine, is a Turing machine that has two additional communication tapes, a read-only one and a write-only one. An interactive protocol consists of two interactive machines $\pi = (A, B)$ such that A 's write-only communication tape is B 's read-only communication tape, and A 's read-only communication tape is B 's write-only communication tape. The machines take turns (also called "rounds") in being active, each turn ends with the active machine either halting or sending a message to the other machine.

Definition 2.8. (Oracle Machine.) An oracle machine is a Turing machine with access to another machine, called the oracle. The access is implemented using an additional tape, called oracle tape, and two special states, ASK and RESPONSE. The oracle machine may enter the ASK state, which invokes an execution of an oracle on the input received through the oracle

tape. The contents of the oracle tape are then replaced with the output of the oracle, and the state is changed to RESPONSE. Let A be an oracle machine and B an oracle; we denote A^B the execution of A with oracle access to B .

Remark 2.1. (Relation between Oracle Machines and Interactive Machines.) Throughout the paper we treat interactive machines and oracle machines in a similar way, according to the following equivalency: Consider an interactive protocol (A, B) . The execution of the machines interactively is equivalent to executing A as an oracle machine with oracle access to a stateless version of machine B (i.e, machine B without a state register), where every oracle query contains the partial transcript of the interactive protocol, and the output of the oracle is B 's next message according to the interactive protocol. Similarly, given an oracle machine A with oracle access to B , we can consider an interactive protocol (A, B) that contains the oracles queries made by A to B and the corresponding responses.

Remark 2.2. (Rewinding an Oracle.) Consider an interactive protocol (A, B) and the corresponding execution of oracle machine A with oracle access to B . Machine A can “rewind” B by making a query to B with input that is a strict prefix of the partial transcript of the interactive protocol, i.e. “rewind” B to a previous state in the interaction.

Definition 2.9. (Decision Problem.) A decision problem is an algorithm that outputs either 0 or 1.

Definition 2.10. (Black-box Reduction.) A black-box reduction from a decision problem A to a decision problem B is a Turing machine that solves problem A given oracle access to a machine that solves problem B .

2.3 Intractability Assumption

In the following definition, we formally describe the notion of intractability assumption to model a problem that is assumed to be “hard to solve”. The assumption \mathcal{C} is stated in terms of a possibly-interactive security game (using the framework of [Nao03]).

Definition 2.11. ($r(\cdot)$ -round Intractability Assumption with Threshold $t(\cdot)$.) An $r(\cdot)$ -round intractability assumption with threshold $t(\cdot)$ is an interactive probabilistic decision problem \mathcal{C} , called the challenger, that interacts with another algorithm \mathcal{A} , called the attacker, such that: (1) both algorithms take as input 1^λ where λ is the security parameter; and (2) the interaction is a-priori bounded by $r(\lambda)$ rounds. We define the advantage of the attacker \mathcal{A} with respect to \mathcal{C} as

$$\text{Adv}(\mathcal{A}) \stackrel{\text{def}}{=} \left| \Pr \left[\langle \mathcal{A}, \mathcal{C} \rangle \left(1^\lambda \right) = 1 \right] - t(\lambda) \right| \quad (4)$$

\mathcal{C} is associated with a computational assumption that states that for any polynomial-time attacker \mathcal{A} there exists a negligible function $\mu(\cdot)$ such that for all $\lambda \in \mathbb{N}$

$$\text{Adv}(\mathcal{A}) \leq \mu(\lambda) \quad (5)$$

We say that a polynomial-time attacker \mathcal{A} breaks the assumption \mathcal{C} with non-negligible advantage p if $\text{Adv}(\mathcal{A}) = p$.

2.4 Attribute-Based Encryption

Definition 2.12. (Key-Policy Attribute-Based Encryption Scheme.) Let \mathcal{X} be a set of objects and \mathcal{F} be a set of functions of the form $f : \mathcal{X} \rightarrow \{0, 1\}$. A key-policy Attribute Encryption (KP-ABE) scheme for attribute set \mathcal{X} and policy set \mathcal{F} is a tuple of probabilistic polynomial-time algorithms $\mathcal{S} = (\text{Setup}, \text{Encrypt}, \text{KeyGen}, \text{Decrypt})$ as follows:

- **Setup**(1^λ) \rightarrow PP, MSK The setup algorithm takes the security parameter λ as input. It outputs the public parameters PP of the scheme and a master secret key MSK.
- **Encrypt**(x, M, PP) \rightarrow CT_x The encryption algorithm takes in an attribute $x \in \mathcal{X}$, a message $M \in \{0, 1\}$ and public parameters PP. It outputs a ciphertext CT_x , which is an encryption of M under x . Assume w.l.o.g. that CT_x contains x .
- **KeyGen**(MSK, f, PP) \rightarrow SK_f The key generation algorithm takes in the master secret key MSK, a policy $f \in \mathcal{F}$ and public parameters PP. It outputs a secret key SK_f for f . Assume w.l.o.g. that SK_f contains f .
- **Decrypt**($\text{CT}_x, \text{SK}_f, \text{PP}$) \rightarrow M The decryption algorithm takes in a ciphertext CT_x , a secret SK_f and public parameters PP. It outputs a message $M \in \{0, 1\}$.

Remark 2.3. Another variant of ABE is Ciphertext-Policy ABE (CP-ABE), where ciphertexts are associated with policies and secret keys with attributes. The distinction is immaterial for our purposes, so we adopt the notation of KP-ABE scheme and simply refer to it as ABE for convenience.

Definition 2.13. ((Perfect) Correctness of KP-ABE.) Let $\mathcal{S} = (\text{Setup}, \text{Encrypt}, \text{KeyGen}, \text{Decrypt})$ be a key-policy ABE scheme for attribute set \mathcal{X} and policy set \mathcal{F} . We say that \mathcal{S} is (perfectly) correct if the following holds: Let $(\text{PP}, \text{MSK}) = \text{Setup}(1^\lambda)$, $f \in \mathcal{F}$, $x \in \mathcal{X}$ and $M \in \{0, 1\}$ and suppose $f(x) = 1$. Denote $\text{CT}_x = \text{Encrypt}(x, M, \text{PP})$ and $\text{SK}_f = \text{KeyGen}(\text{MSK}, f, \text{PP})$. It holds that

$$\text{Decrypt}(\text{CT}_x, \text{SK}_f, \text{PP}) = M \tag{6}$$

Definition 2.14. (Adaptive Security of KP-ABE.) Let $\mathcal{S} = (\text{Setup}, \text{Encrypt}, \text{KeyGen}, \text{Decrypt})$ be a key-policy ABE scheme for attribute set \mathcal{X} and policy set \mathcal{F} . We define adaptive security to be the intractability assumption that consists of the following interactive “game”:

1. **Setup Phase** The challenger runs $\text{Setup}(1^\lambda)$ and sends PP to the adversary.
2. **Key Query Phase I** The adversary makes key-queries for policies in \mathcal{F} of her choice: that is, in each key-query the adversary sends a policy of her choice to the challenger, the challenger runs the KeyGen algorithm to produce a secret key and sends it to the adversary.
3. **Challenge Phase** The adversary declares two messages M_0, M_1 and a challenge attribute $x^* \in \mathcal{X}$. The challenger samples a uniformly random bit $b \in \{0, 1\}$, computes $\text{CT}_{x^*} = \text{Encrypt}(x^*, M_b, \text{PP})$ and sends the result to the adversary.
4. **Key Query Phase II** Same as Key Query Phase I.
5. **Guess** The adversary sends a guess b' for the bit b .

The output of the game is defined as follows: If every queried policy f satisfies $f(x^*) = 0$, the output is the adversary’s guess $\tilde{b} = b'$; otherwise, the output is a uniformly random bit $\tilde{b} \xleftarrow{\$} \{0, 1\}$. The threshold of the intractability assumption is $1/2$, so the advantage of the adversary is $|\Pr[\tilde{b} = b] - 1/2|$.

Remark 2.4. We observe that the adaptive security of an ABE scheme depends only on the Setup, KeyGen, and Encrypt procedures, and not on the Decrypt procedure. Therefore, any two ABE schemes that differ only in their implementations of the decryption, must be either both adaptively secure or both not.

Next, we formally define a condition on an ABE scheme that essentially requires the policy set to include a set of policies derived from a pairwise-independent hash family. Intuitively, a random sample of policies from such a set has a significant probability of agreeing on a uniformly random attribute. This property will be used in our proof to ensure that an attacker that requests secret keys for many policies in that set, can use them to decrypt a challenge ciphertext with a large enough probability.

Definition 2.15. (Pairwise-Friendly ABE Scheme.) Let \mathcal{S} be a key-policy ABE scheme for attribute set \mathcal{X} and policy set \mathcal{F} . We say that \mathcal{S} is pairwise friendly if for every $a, b \in \mathbb{N}$ such that $a > b$ and $a = O(\log |\mathcal{X}|)$, there exists a pairwise independent hash family $H = \{h : \mathcal{X} \rightarrow [a]\}$ so that the following holds: For every $h \in H$, the function $f_h : \mathcal{X} \rightarrow \{0, 1\}$ defined by $f_h(x) = 1 \iff h(x) \leq b$ is in \mathcal{F} .

Remark 2.5. For every $n \in \mathbb{N}$ and $m \leq n$ there exists a pairwise independent hash family $H = \{h : \{0, 1\}^n \rightarrow \{0, 1\}^m\}$ such that every $h \in H$ can be computed by an NC^1 circuit [IKOS08].

Remark 2.6. Following the previous remark let \mathcal{S} be an ABE scheme with attribute set \mathcal{X} and policy set \mathcal{F} such that \mathcal{F} contains the class of functions with depth- d circuits for $d = O(\log |\mathcal{X}|)$, then \mathcal{S} is pairwise friendly.

The following definitions describe our checkability criterion for an ABE scheme. Intuitively, the checkability condition requires a validation procedure for secret keys, which ensures that validated keys decrypt any ciphertext the same. In particular, it makes it impossible for a challenger to distinguish which key was used for the decryption of a challenge ciphertext. More precisely, checkability correctness requires that honestly generated keys are indeed valid (according to the validation procedure), and checkability soundness requires that any two valid keys decrypt any ciphertext the same way.

Definition 2.16. (Checkable ABE Scheme.) We say that an ABE scheme is “checkable” if it has an additional algorithm:

- $\text{SKCheck}(\text{PP}, \text{SK}, f) \rightarrow \{\text{True}, \text{False}\}$ The ciphertext checking algorithm takes in public parameters PP , a key SK and a policy $f \in \mathcal{F}$. It outputs either True or False.

Definition 2.17. (Checkability Correctness.) Let $\mathcal{S} = (\text{Setup}, \text{Encrypt}, \text{KeyGen}, \text{Decrypt}, \text{SKCheck})$ be a key-policy ABE scheme for attribute set \mathcal{X} and policy set \mathcal{F} . We say that \mathcal{S} satisfies the checkability-correctness property if the following holds: Let $(\text{PP}, \text{MSK}) = \text{Setup}(1^\lambda)$, $f \in \mathcal{F}$, and $\text{SK}_f = \text{KeyGen}(\text{MSK}, f, \text{PP})$, then $\text{SKCheck}(\text{PP}, \text{SK}_f, f) = \text{True}$.

Definition 2.18. (Checkability Soundness.) Let $\mathcal{S} = (\text{Setup}, \text{Encrypt}, \text{KeyGen}, \text{Decrypt}, \text{SKCheck})$ be a key-policy ABE scheme for attribute set \mathcal{X} and policy set \mathcal{F} . We say that \mathcal{S} satisfies the checkability-soundness property if the following holds: Let $\text{PP}, \text{SK}_1, \text{SK}_2$ and $f_1, f_2 \in \mathcal{F}$. Let CT_x , i.e. a ciphertext claimed to be encrypted w.r.t. attribute $x \in \mathcal{X}$,⁶ s.t. $f_1(x) = f_2(x) = 1$. If $\text{SKCheck}(\text{PP}, \text{SK}_1, f_1) = \text{SKCheck}(\text{PP}, \text{SK}_2, f_2) = \text{True}$, then

$$\text{Decrypt}(\text{CT}_x, \text{SK}_1, \text{PP}) = \text{Decrypt}(\text{CT}_x, \text{SK}_2, \text{PP}) \quad (7)$$

Remark 2.7. To obtain our result, it suffices to assume a looser condition on a checkable ABE scheme: Instead of requiring that any two policy keys decrypt the ciphertext exactly the same, it suffices to require that for any two policy keys, the distributions of the decryption outputs are computationally indistinguishable.

⁶Recall our convention (Definition 2.12) that ciphertexts contain their attribute as a part of their description.

3 The Main Theorem and Proof

Informally, our main theorem asserts the following: Any pairwise-friendly checkable ABE scheme cannot be proven adaptively secure by constructing a black-box reduction that reduces a possibly-interactive intractability assumption to breaking the security of the scheme, even if the reduction is rewinding. We show this by proving that in this case, it is possible to construct an efficient algorithm that violates the intractability assumption (by using the reduction alone, without requiring a successful ABE adversary).

Theorem 3.1. Let λ a security parameter, and two additional parameters $d = \theta(\log \lambda)$, $n = \text{poly}(\lambda)$. Let \mathcal{S} be a pairwise friendly and checkable ABE scheme with attribute-space $\mathcal{X} = \{0, 1\}^n$ and policy-space \mathcal{F} . Let \mathcal{C} be an $r(\cdot)$ -round intractability assumption with threshold $t(\cdot)$, where r, t are polynomials. Suppose that for every polynomial $l(\cdot)$ there exists a black-box reduction \mathcal{R} such that the following holds: If \mathcal{R} is given oracle access to an attacker \mathcal{A} that makes $l(\cdot)$ key-queries and has a non-negligible advantage in the adaptive security game of \mathcal{S} , then $\mathcal{R}^{\mathcal{A}}$ has non-negligible advantage w.r.t. the assumption \mathcal{C} .

Let $l(\lambda) = \omega(n(\lambda) + r(\lambda))$ and a corresponding reduction \mathcal{R} . Denote \mathcal{A} to be a hypothetical attacker that has a non-negligible advantage in the adaptive security game of \mathcal{S} . Then there exist a polynomial-time algorithm \mathcal{B} and a negligible function $\mu(\cdot)$ such that

$$\left| \Pr \left[\langle \mathcal{A}, \mathcal{R}, \mathcal{C} \rangle (1^\lambda) = 1 \right] - \Pr \left[\langle \mathcal{R}, \mathcal{B}, \mathcal{C} \rangle (1^\lambda) = 1 \right] \right| \leq \mu(\lambda) \quad (8)$$

In particular, $\mathcal{B}^{\mathcal{R}}$ is a polynomial-time algorithm that has a non-negligible advantage w.r.t. the assumption \mathcal{C} .

In the remainder of the section, we prove the theorem:

Proof. Let λ denote the security parameter and suppose there exist \mathcal{S} and \mathcal{C} as described in the theorem. Let $l(\lambda) = \omega(n(\lambda) + r(\lambda))$ and let \mathcal{R} be the corresponding reduction as described in the theorem. By definition of \mathcal{R} , if there were an attacker \mathcal{A} with non-negligible advantage in the security game of \mathcal{S} , and \mathcal{R} would be given oracle access to \mathcal{A} , then \mathcal{R} could use \mathcal{A} during an interaction with \mathcal{C} to gain non-negligible advantage w.r.t. \mathcal{C} . We will prove that the oracle access to \mathcal{A} can be simulated in a way that preserves \mathcal{R} 's advantage w.r.t. \mathcal{C} , even if it does not have oracle access to an actual attacker \mathcal{A} .

More explicitly, we will construct a machine \mathcal{B} that has oracle access to the reduction \mathcal{R} and simulates the interaction between \mathcal{C} and $\mathcal{R}^{\mathcal{A}}$ for properly defined \mathcal{A} so that \mathcal{B} has non-negligible advantage w.r.t. \mathcal{C} . To simulate the interaction properly, \mathcal{B} simulates for \mathcal{R} oracle access to an attacker \mathcal{A} so that \mathcal{R} observes that \mathcal{A} has a non-negligible advantage in the adaptive security game of \mathcal{S} . Moreover, the expected running time of \mathcal{B} is polynomial, and in particular, the simulation of the attacker is efficient.

First, we introduce an inefficient hypothetical attacker \mathcal{A} with a non-negligible advantage in the adaptive security game of \mathcal{S} , and that can be used by \mathcal{R} so that $\mathcal{R}^{\mathcal{A}}$ has non-negligible advantage w.r.t. the assumption \mathcal{C} . Second, we describe the algorithm \mathcal{B} that has oracle access to the reduction \mathcal{R} , but instead of giving \mathcal{R} an oracle access to an attacker, it simulates an attacker for \mathcal{R} that is indistinguishable from \mathcal{A} by \mathcal{R} . As we will show, \mathcal{B} simulates \mathcal{A} by rewinding the reduction \mathcal{R} and exploiting the fact that \mathcal{R} runs in polynomial time and cannot make too many queries to \mathcal{A} . Finally, we analyze the running time and advantage of $\mathcal{B}^{\mathcal{R}}$ to prove that there exists a polynomial-time algorithm that breaks the assumption \mathcal{C} with non-negligible advantage.

To summarize notations:

Parameter	Size	Meaning
n	$n(\lambda) = \text{poly}(\lambda)$	The dimension of $\mathcal{X} = \{0, 1\}^n$, the attribute space of \mathcal{S} on input 1^λ .
d	$d(\lambda) = \theta(\log \lambda)$	The circuit-depth of the functions in class \mathcal{F} on input 1^λ .
r	$r(\lambda) = \text{poly}(\lambda)$	The number of communication rounds in the intractability assumption \mathcal{C} on input 1^λ .
t	$t(\lambda) = \text{poly}(\lambda)$	The threshold associated with the intractability assumption \mathcal{C} on input 1^λ .
l	$l(\lambda) = \omega(n(\lambda) + r(\lambda))$	The number of key-queries made by the attacker \mathcal{A} on input 1^λ .
M	$M(\lambda) = \text{poly}(\lambda)$	The bound on the running time of \mathcal{R} on input 1^λ .
m	$m(\lambda) \geq 4l(\lambda)$	A parameter of \mathcal{B} to be defined later.
k	$k(\lambda) = \omega(l(\lambda) \log \lambda)$	A parameter of \mathcal{B} to be defined later.

Recall that \mathcal{S} is pairwise friendly, and let $H = \{h : \{0, 1\}^n \rightarrow [m]\}$ be the pairwise independent hash family such that for every $h \in H$, the function f_h defined by $f_h(x) = 1 \iff h(x) \leq \frac{m}{4l}$ is in \mathcal{F} .

3.1 Hypothetical Attacker \mathcal{A}

The hypothetical attacker algorithm performs as follows:

Algorithm $\mathcal{A}(1^\lambda)$

1. Receive PP from the challenger.
2. Initialize $F = \emptyset$ the set of functions to be queried during the key query phases and their corresponding keys.
3. Make l key-queries, for each one:
 - (a) Sample a uniformly random $h \xleftarrow{\$} H$ and make a key query by sending the policy $f \stackrel{\text{def}}{=} f_h$ to the challenger.
 - (b) Receive SK_f and update $F \leftarrow F \cup \{(f, \text{SK}_f)\}$.
 - (c) Run $\text{SKCheck}(\text{PP}, \text{SK}_f, f)$, if the output is False then abort.
4. Sample a uniformly random challenge attribute descriptor $x^* \xleftarrow{\$} \{0, 1\}^n$ and send it to the challenger. Receive a ciphertext CT_{x^*} .
5. Brute-force search to find $h' \in H$ such that $f_{h'}(x^*) = 1$. If no such h' exists, then abort.
6. Iterate over all possible secret keys and check for every key SK if $\text{SKCheck}(\text{PP}, \text{SK}, f_{h'}) = \text{True}$. If so, stop the iteration. If no such key was found, then abort.
7. Decrypt CT_{x^*} using SK and submit the result.

Success Probability. We show that \mathcal{A} breaks the adaptive security of \mathcal{S} :

Claim 3.1. \mathcal{A} wins the adaptive security game of \mathcal{S} with advantage $\geq \frac{3}{8}$.

Proof. We first observe that in the adaptive-security game of \mathcal{S} , all values (public parameters, ciphertexts and keys) are honestly generated, so due to the checkability correctness of \mathcal{S} , all the checks of SKCheck in step (3c) pass. Furthermore, if step (5) does not abort, then by the definition of the policy set of \mathcal{S} , there necessarily is a secret key SK such that $\text{SKCheck}(\text{PP}, \text{SK}, f_{h'}) = \text{True}$, so step (6) does not abort as well.

Next, we claim that there must exist $h \in H$ such that $f_h(x^*) = 1$, since by definition of pairwise independence of H , there is a non-zero probability to sample a random $h \xleftarrow{\$} H$ such that $f_h(x^*) = 1$. Therefore, step (5) never aborts.

So far, we conclude that if \mathcal{A} samples a challenge x^* such that there is no $(f, \text{SK}_f) \in F$ for which $f(x^*) = 1$, then \mathcal{A} necessarily retrieves a secret key SK that decrypts CT_x and wins the game. We highlight the role of checkability soundness of \mathcal{S} : The retrieved key is validated using SKCheck, therefore the decryption of the ciphertext is the same as it were under any other validated secret key, so the challenger cannot distinguish which key was used to decrypt. The probability to sample a challenge x^* such that there exists $(f, \text{SK}_f) \in F$ for which $f(x^*) = 1$ is bounded by

$$\Pr_{x,F} [\exists (f, \text{SK}_f) \in F \text{ s.t. } f(x) = 1] \leq l \cdot \Pr_{x,f} [f(x) = 1] = l \cdot \Pr_h \left[h(x) \leq \frac{m}{4l} \right] \quad (9)$$

$$\leq l \cdot \frac{1}{4l} = \frac{1}{4} \quad (10)$$

When that happens, the winning probability is 1/2 by the definition of the security game. We conclude that the overall winning probability is lower-bounded by $\frac{7}{8}$, as desired. \square

3.2 Algorithm \mathcal{B}

Overview. Recall that we wish to construct \mathcal{B} so that it simulates oracle access to an attacker \mathcal{A} for \mathcal{R} , without truly having oracle access to a real attacker. In such a simulation, \mathcal{R} starts the interaction with the attacker by sending public parameters to the oracle, and then they interact according to the adaptive security game of \mathcal{S} until finally, the attacker sends a decryption to the oracle. Naively, \mathcal{B} could simulate the attacker by rewinding \mathcal{R} to a previous state during the interaction, extracting a secret key, and using it to decrypt the ciphertext. We emphasize that the extracted secret key should be validated by the attacker using the SKCheck procedure in order to ensure canonical decryption, as guaranteed by the checkability soundness of the scheme. A major problem with this naive approach is that \mathcal{R} can make “intertwined” queries to \mathcal{A} for many different public parameters. Therefore, if \mathcal{B} would rewind \mathcal{R} whenever it would be required to provide decryption, we could get an exponential blow-up in running time. Our solution is to have \mathcal{B} rewind the reduction \mathcal{R} only under certain conditions and have a more delicate rewinding process, as we will describe shortly.

Before diving into a formal description of the rewinding process, we try to provide a high-level intuition. Consider the tape of the Turing machine $\mathcal{B}^{\mathcal{R}}$, i.e. the execution of \mathcal{B} given oracle access to \mathcal{R} . At a high level, \mathcal{B} initiates an execution of \mathcal{R} , forwards all communication between \mathcal{C} and \mathcal{R} , and whenever \mathcal{R} tries to access the attacker oracle, simulates the attacker for \mathcal{R} . Under certain conditions to be specified later, \mathcal{B} “forks” the execution into two parallel executions, a process which could be thought of as “duplicating” the machine tape, and rewinds \mathcal{R} in the forked execution to a previous state. In other words, \mathcal{B} makes a copy of the current state of execution and then rewinds the copied execution so that it would continue differently from the original one. We think of the relationship between the original execution and the duplicated one as “parent” and “child”, respectively. \mathcal{B} then continues running the child execution until a certain event occurs, when it terminates it (and all its child executions if they exist), and finally continues the parent execution.

More precisely, we define the notion of a “slot”, denoted by s , to be a time window within the execution of \mathcal{R} that “opens” just before the simulated attacker sends a policy to the reduction,

and “closes” right after the reduction sends back a corresponding secret key and the simulated attacker runs SKCheck on it. Whenever a slot closes, \mathcal{B} decides whether to rewind \mathcal{R} back to the opening of the slot, depending on three conditions that determine if the slot was “good”:

1. Between the time the slot s opened and the time it closed, \mathcal{R} did not send (and thus did not receive) any external message (to or from \mathcal{C}).
2. Between the time the slot s opened and the time it closed, the number of other slots that opened is “small”, where “small” will be defined below.
3. The received key passed the check, i.e., the result of SKCheck was True.

Whenever such a slot s closes, \mathcal{B} “duplicates” the execution, rewinds \mathcal{R} in the duplicated execution back to the opening of the slot, and sends a different policy than the one sent in the original execution. \mathcal{B} runs the duplicated execution until the slot either closes or stops being “good”, and then terminates it (along with all its child executions if they exist). \mathcal{B} repeats this process of duplicating the execution and rewinding the slot several times until it finally returns to the original execution and continues running it. We highlight that the rewinding process could be recursive – recall that \mathcal{R} might make intertwined queries, thus there might be a slot that opens and closes within another slot.

Next, we describe this procedure formally.

The Algorithm. We will use the notion of a machine state, or simply a state, to formally describe the control flow of the algorithm. Intuitively, we could think of a state as a pointer to the machine tape of \mathcal{B} . W.l.o.g., we assume a state includes a record of all the messages sent and received by \mathcal{C} , \mathcal{B} and \mathcal{R} , up to the point that the state points to.

We define a state v to be d -good with respect to a previous state u if: (1) \mathcal{R} does not attempt to send messages to \mathcal{C} during the time between u and v , and (2) the number of slots that open between u and v is at most $\frac{M}{n^d}$. We say that a slot $s = (u, v)$ is d -good if the closing of s is d -good with respect to its opening, and the result of SKCheck at the end of the slot is True.

The algorithm we describe is $\mathcal{B}^{\mathcal{R}}$, that is, the algorithm \mathcal{B} given oracle access to the reduction \mathcal{R} . The formal description uses the definition of the hypothetical attacker \mathcal{A} and a recursive procedure SIM that simulates the attacker oracle for \mathcal{R} .

Recall that the interactive protocol between the reduction and the attacker oracle begins with the reduction sending public parameters to the attacker. Since \mathcal{R} can make queries to the attacker that corresponds to intertwined interactive transcripts, we associate each message with the public parameters that initiated the corresponding transcript. Similarly, we associate each slot with the public parameters that initiated the transcript that contains the policy message that “opened” the slot.

Algorithm $\mathcal{B}^{\mathcal{R}}(1^\lambda)$

1. Initialize a global set $\tilde{F} = \emptyset$.
2. Receive a message from \mathcal{C} .
3. Initiate an execution of the reduction oracle \mathcal{R} , and send the message received from \mathcal{C} to \mathcal{R} .
4. Run $\text{SIM}^{\mathcal{R}}(1^\lambda, 0, 0, 0)$.

Algorithm $\text{SIM}^{\mathcal{R}}(1^\lambda, d, u, v)$

On input the recursive depth d , a state u , and a state v , check for the following mutually exclusive conditions and perform accordingly:

1. If $d = 0$ and \mathcal{R} attempts to send a message to \mathcal{C} , forward the message and feed \mathcal{R} the response received from \mathcal{C} . Note that only at depth $d = 0$ the reduction \mathcal{R} can interact with \mathcal{C} .
2. If $d > 0$ and v is not a d -good state with respect to u , return \perp .
3. If $d > 0$ and $s = (u, v)$ is a d -good slot, then return $(\text{PP}, f, \text{SK}_f)$ where f and SK_f are the policy and secret key retrieved during the slot s and PP is the corresponding public parameters.
4. If v is the closing of a slot that opened strictly after u , and that slot is $(d + 1)$ -good: Let s be the opening of the slot; Let $i = 0$; Repeat the following until $i = k(n)$ ⁷:
 - (a) Let $r = \text{SIM}(1^n, d + 1, s, s)$.
 - (b) If $r \neq \perp$, increment i and store $r = (\text{PP}, f, \text{SK}_f)$ in \tilde{F} .

If non of the above conditions applied, check if according to the interactive protocol between \mathcal{R} and the hypothetical attacker \mathcal{A} , \mathcal{R} is expecting to receive a response message from the attacker:

1. If \mathcal{R} is expecting to receive a decryption of a ciphertext associated with public parameters PP , then perform as follows: Let CT_{x^*} be the ciphertext and x^* the challenge under which the ciphertext was encrypted;
 - (a) Search \tilde{F} for a tuple that has the same PP and also satisfies $f(x^*) = 1$.
 - (b) If there exists such a tuple, use SK_f to decrypt CT_{x^*} and send the result.
 - (c) Otherwise, send a uniformly random guess.
2. If \mathcal{R} is expecting to receive a policy, then respond in the same way as the attacker oracle; that is,
 - (a) Sample a uniformly random $h \xleftarrow{\$} H$ and send f_h .
 - (b) Receive a secret key SK . Run $\text{SKCheck}(\text{PP}, \text{SK}, f)$, if the output is `False` then return \perp .
3. If \mathcal{R} is expecting to receive a challenge attribute, then responds in the same way as the hypothetical attacker oracle; that is, sample a uniformly random $x^* \xleftarrow{\$} \{0, 1\}^n$ and send it to \mathcal{R} .

Finally, update v to be the current state (that includes all messages up to the current point), and return $\text{SIM}^{\mathcal{R}}(1^\lambda, d, u, v)$.

3.3 Running Time.

Claim 3.2. There exists some polynomial $t(\cdot)$ such that the expected running time of $\mathcal{B}^{\mathcal{R}}(1^\lambda)$ is bounded by $t(\lambda)$.

Proof. To analyze the running time of $\mathcal{B}^{\mathcal{R}}$, we use a recursion tree to describe how \mathcal{B} executes and rewinds \mathcal{R} : The root of the tree is the initial (and single) execution of \mathcal{R} at level $d = 0$. Whenever \mathcal{B} forks a child execution at recursive level d , that is, what we previously described as “duplicating the tape”, it is translated into a new node at level $d + 1$ that is a child of the node

⁷This condition could result in an endless loop.

from which it was forked at level d . Recall that this is performed whenever there we encounter a $(d + 1)$ -good slot during an execution at recursive level d , which we then rewind in the forked execution.

First, by definition of a d -good slot, the depth of the tree is bounded by a constant $c = \log_\lambda M \cdot \theta(1)$. Second, at each execution of \mathcal{R} at recursive level d , \mathcal{R} opens at most M slots, so there are at most M points from which we can fork child-executions and rewind the slot. Next, we argue that each slot is expected to be forked and rewound at most k times. Recall that k was a parameter of \mathcal{B} that was used in the rewinding process to indicate how many secret keys \mathcal{B} tries to retrieve by rewinding the same slot. The key observation is that in each of the rewindings on level $d + 1$, rewinding and sampling a new policy does not change the distribution of the state as viewed by the reduction \mathcal{R} , so it remains identically distributed as the original state (before rewinding). Therefore the probability that a slot s is $(d + 1)$ -good at level $d + 1$ is at least the probability that it is $(d + 1)$ -good on level d . Since \mathcal{B} rewinds the slot on level d until it obtains k valid keys, it is expected to be rewound (at most) k times. We prove this formally in the following lemma:

Lemma 3.1. Any slot on any recursion level d is expected to be rewound (at most) k times.

Proof. Let s be the opening of a slot at level d . Let ϵ_s denote the probability that s is $(d + 1)$ -good, where the random variables are the random coins used by \mathcal{B} and \mathcal{R} during s . As explained above, this probability does not depend on the current recursive depth. Denote G_s to be the event that s is a $(d + 1)$ -good slot, and Z_s to be the random variable that represents the number of child-executions of s on level $d + 1$, i.e., how many executions were forked from s on recursive level d . Then

$$\mathbb{E}[Z_s] = \Pr[G_s] \cdot \mathbb{E}[Z_s | G_s] + \Pr[\overline{G_s}] \cdot 0 \quad (11)$$

By definition, we have $\Pr[G_s] = \epsilon_s$. The random variable $[Z_s|G_s]$ represents the number of rewindings required to retrieve k secret keys, given that s was a $(d + 1)$ -good slot. Recall that a secret key is retrieved when the rewinding results in a $(d + 1)$ -good slot, which occurs with probability ϵ_s , thus the distribution of $[Z_s|G_s]$ is bounded by a geometric distribution with parameter ϵ_s/k . Therefore, the expected number of child-executions satisfies

$$\mathbb{E}[Z_s] = \epsilon_s \cdot \frac{1}{\epsilon_s} \cdot k = k \quad (12)$$

□

In conclusion, each execution-node in the recursion tree has at most M slots that have an expected number of $O(k)$ children each, and overall an expected number of $O(Mk)$ child-executions. By the law of total expectation, counting from bottom up inductively, we find that the expected number of nodes in the recursion tree up to level d (including the descendant executions) is bounded by

$$\begin{aligned} \mathbb{E}[\#\text{executions up to level } d] &= \\ &= \mathbb{E}[\mathbb{E}[\#\text{executions up to level } (d - 1) \mid \#\text{executions at level } d]] \\ &= \mathbb{E}[\#\text{executions up to level } (d - 1)] \cdot \mathbb{E}[\#\text{executions at level } d] \quad (13) \\ &= \mathbb{E}[\#\text{executions up to level } (d - 1)] \cdot O(Mk) \\ &= O(Mk)^{c-1-(d-1)} \cdot O(Mk) = O(Mk)^{c-d+1} \end{aligned}$$

Where the step before the last is the substitution of the induction assumption for $d - 1$. Thus the total expected running time is polynomial in n , as desired. □

3.4 Success Probability.

In order to analyze the success probability of $\mathcal{B}^{\mathcal{R}}$, we compare the transcript of the interaction between \mathcal{C} and $\mathcal{B}^{\mathcal{R}}$ with the transcript of the interaction between \mathcal{C} and $\mathcal{R}^{\mathcal{A}}$. We show that the distributions of both transcripts are indistinguishable, therefore the probability that \mathcal{C} outputs 1 is the same in both scenarios except some negligible probability. In other words, we show that there exists some negligible function $\mu(\cdot)$ such that

$$\Pr \left[\langle \mathcal{R}, \mathcal{B}, \mathcal{C} \rangle (1^\lambda) = 1 \right] \geq \Pr \left[\langle \mathcal{A}, \mathcal{R}, \mathcal{C} \rangle (1^\lambda) = 1 \right] - \mu(\lambda) \quad (14)$$

Recall that by the definition of \mathcal{B} , it forwards all messages from \mathcal{C} to the (single) execution of \mathcal{R} at recursive level $d = 0$ and vice versa. For simplicity, denote the execution of \mathcal{R} at level $d = 0$ by \mathcal{R}_0 . If \mathcal{B} would perfectly simulate the hypothetical attacker \mathcal{A} for \mathcal{R} , that is, respond to all queries from \mathcal{R} to the attacker oracle exactly the same as \mathcal{A} , then \mathcal{R}_0 would act exactly the same as $\mathcal{R}^{\mathcal{A}}$ (all other recursive calls at level $d > 0$ would be irrelevant to \mathcal{R}_0), and it would immediately follow that the transcripts in both scenarios have the exact same distribution. Although this is not necessarily the case, we show that the probability that \mathcal{B} responds differently from \mathcal{A} is negligible, so the transcripts are indistinguishable as desired.

By the definitions of the hypothetical attacker \mathcal{A} and the algorithm \mathcal{B} , they respond exactly the same to all queries that \mathcal{R} makes to the attacker oracle, with the exception of queries in which \mathcal{R} sends a ciphertext for the oracle to decrypt. For those queries, if there exists a valid key that decrypts the ciphertext, then the hypothetical attacker responds with the decryption, whereas the simulated attacker might fail to decrypt. We argue that the probability that \mathcal{B} fails to decrypt a ciphertext is negligible.

Denote

- E_1 the event that \mathcal{B} is required to decrypt a ciphertext CT_x associated with public parameters PP without having previously rewound any slot associated with the same PP .
- E_2 the event that \mathcal{B} is required to decrypt a ciphertext CT_x , and some slot associated with the same PP was successfully rewound, but every $(\text{PP}, f, \text{SK}_f) \in \tilde{F}$ (with the same PP) satisfies $f(x) = 0$.

We note that if neither E_1 nor E_2 occur, then necessarily \mathcal{B} succeeds in decrypting the received ciphertext.

Claim 3.3. The probability that E_1 happens in an execution between $\mathcal{B}^{\mathcal{R}}$ and \mathcal{C} is 0.

Proof. Recall that every time \mathcal{R} sends a ciphertext to the attacker to decrypt that is associated with public parameters PP , it must have sent $l(\lambda) = \omega(n + r + 1)$ keys associated with the same PP . The recursive depth of the simulation is bounded by c , so whenever an interaction between the reduction and the attacker reaches the point where \mathcal{R} sends a ciphertext, there necessarily is some level d such that the number of those keys on level d is at least $\frac{l}{c}$. For sufficiently large λ , $\frac{l}{c} \geq n + r + 1$. Since the number of openings of slots during a slot s at level d is bounded by $\frac{M}{n^d}$, there must be at least $r + 1$ key queries that have no more than $\frac{M}{n^{d+1}}$ openings of inner slots. Since r bounds the total number of external messages, we conclude that there exists at least one $(d + 1)$ -good slot, which can then be rewound, as desired. \square

Claim 3.4. There exists some negligible function $\mu(\cdot)$ such that the probability that E_2 happens in an execution between $\mathcal{B}^{\mathcal{R}}$ and \mathcal{C} on input 1^λ is bounded by $\mu(\lambda)$.

Proof. By Claim 3.3, the probability that some slot during the interaction was rewound is 1. Let s be that slot, so that k keys were retrieved and stored in \tilde{F} . Let x be the selected challenge. We prove the claim by showing that the probability that $f(x) = 0$ for every $(\text{PP}, f, \text{SK}_f)$ that was recovered by rewinding the slot, is bounded by a negligible function. When computing this

probability, we must take into account that the reduction can behave differently in the different child-executions. More precisely, recall that in each of the child-executions, the reduction’s view is the same as in the original parent-execution, except for the policy sent by attacker (as part of the query phase of the adaptive security game). Therefore the reduction can respond with either a valid key to that policy or an invalid one, depending on the requested policy.

We use the following notation:

- Let H be the hash family defined earlier.
- Let $T = \{1, \dots, \lfloor \frac{m}{4l} \rfloor\}$, which is the set of values such that $h(x) \in T \iff f_h(x) = 1$.
- Let $W \subseteq H$ be the set of hash functions $h \in H$ such that requesting a secret key for a policy $f \stackrel{\text{def}}{=} f_h$ results in the reduction responding with a valid key SK_f , i.e. a key for which $\text{SKCheck}(\text{PP}, \text{SK}_f, f)$ outputs True.
- Let $S_\delta = \left\{ x \in \{0, 1\}^n \mid \Pr_{h \stackrel{\$}{\leftarrow} W} [h(x) \in T] < \delta \right\}$ i.e., the set of all challenges such that if we sample a uniformly random $h \stackrel{\$}{\leftarrow} W$, the probability that $h(x) \in T$ is $< \delta$.
- For all δ and all $Y \subseteq H$, let X_Y^δ be the random variable $|h^{-1}(T) \cap S_\delta|$ when sampling a uniformly random $h \stackrel{\$}{\leftarrow} Y$.

Intuitively, suppose the reduction responded with a valid key for every requested policy. In that case, we could show that the coverage of k random hash functions in H , which is a pairwise independent family, is the set \mathcal{X} except for some negligible fraction. The problem with this analysis is that \mathcal{R} can indeed refuse to send valid secret keys for some policies during the interaction, so the naive statistical argument does not hold. For this reason, we consider the coverage of random hash functions in W instead of H . As we will show formally, this will not change the final result because for the reduction to win the security game with a non-negligible advantage, W must contain all functions in H except some negligible fraction.

By definition of S_δ , this is intuitively a set of “bad challenges” – challenges that have a small probability ($\leq \delta$) to be covered by a random hash function in W . Note that the smaller δ is, the smaller the set S_δ .

Since the attacker samples random hash functions in H , which is a pairwise independent family, the fraction of S_δ that is covered by a uniformly random $h \in H$ is statistically close to the mean fraction. In other words, there is only a small fraction of functions in H whose intersection with S_δ is very small. Those are “bad functions” because their contribution to the coverage of S_δ , the set of “bad challenges”, is little. We will show that even if all those “bad functions” are in W , W must be a large enough fraction of H , so the probability to sample a “bad function” from W is small; thus, the coverage of S_δ is still sufficiently large. From this, we will further conclude that the entire set of challenges is covered with all but negligible probability.

Next, we continue with a formal analysis:

Lemma 3.2. There exists a polynomial $p(\cdot)$ such that $\frac{|W|}{|H|} \geq \frac{1}{p(\lambda)}$.

Proof. Recall that a slot is rewound only if the simulated attacker samples a hash function $h \stackrel{\$}{\leftarrow} H$ such that the reduction responds with a valid secret key; in other words, only if the sampled hash function is in W . Given that the slot s was rewound, the probability to sample a function in W must be non-negligible, therefore there exists a polynomial $p(\cdot)$ such that

$$\frac{1}{p(\lambda)} \leq \Pr_{h \stackrel{\$}{\leftarrow} H} [h \in W] = \frac{|W|}{|H|} \tag{15}$$

□

Lemma 3.3. For every δ we have

$$\delta > \frac{|T|}{2m} \left(1 - \frac{4|H|m}{|W||T||S_\delta|} \right) \quad (16)$$

Proof. By the definition of X_Y^δ , for every δ and every $Y \subseteq H$

$$\mathbb{E} \left[X_Y^\delta \right] = \mathbb{E}_{h \stackrel{\$}{\leftarrow} Y} \left[|h^{-1}(T) \cap S_\delta| \right] = \mathbb{E}_{h \stackrel{\$}{\leftarrow} Y} \left[\sum_{x \in \{0,1\}^n} 1_{x \in S_\delta} \cdot 1_{h(x) \in T} \right] \quad (17)$$

$$= \mathbb{E}_{h \stackrel{\$}{\leftarrow} Y} \left[\sum_{x \in S_\delta} 1_{h(x) \in T} \right] \quad (18)$$

By the definition of X_H^δ ,

$$\mathbb{E} \left[X_H^\delta \right] = \mathbb{E}_{h \stackrel{\$}{\leftarrow} H} \left[\sum_{x \in S_\delta} 1_{h(x) \in T} \right] = \sum_{x \in S_\delta} \Pr_{h \stackrel{\$}{\leftarrow} H} [h(x) \in T] = \frac{|T| \cdot |S_\delta|}{m} \quad (19)$$

$$\mathbb{E} \left[\left(X_H^\delta \right)^2 \right] = \mathbb{E}_{h \stackrel{\$}{\leftarrow} H} \left[\left(\sum_{x \in S_\delta} 1_{h(x) \in T} \right)^2 \right] \quad (20)$$

$$= \mathbb{E}_{h \stackrel{\$}{\leftarrow} H} \left[\sum_{x \in S_\delta} 1_{h(x) \in T} + \sum_{\substack{x, y \in S_\delta \\ x \neq y}} 1_{h(x) \in T} 1_{h(y) \in T} \right] \quad (21)$$

$$= \frac{|T||S_\delta|}{m} + \frac{|T|^2 \cdot |S_\delta| (|S_\delta| - 1)}{m^2} \quad (22)$$

$$\text{Var} \left[X_H^\delta \right] = \mathbb{E} \left[\left(X_H^\delta \right)^2 \right] - \mathbb{E} \left[X_H^\delta \right]^2 = \frac{|T||S_\delta|}{m} \left(1 - \frac{|T|}{m} \right) \quad (23)$$

Applying Chebyshev's inequality, we get

$$\Pr \left[\left| X_H^\delta - \frac{|T||S_\delta|}{m} \right| \geq \frac{|T||S_\delta|}{2m} \right] \leq \frac{|T||S_\delta|}{m} \cdot \frac{4m^2}{|T|^2 |S_\delta|^2} = \frac{4m}{|T||S_\delta|} \quad (24)$$

$$\Rightarrow \Pr \left[X_H^\delta \leq \frac{|T||S_\delta|}{2m} \right] \leq \frac{4m}{|T||S_\delta|} \quad (25)$$

In other words, the fraction of S_δ that is covered by a uniformly random $h \stackrel{\$}{\leftarrow} H$, which is $\frac{X_H^\delta}{|S_\delta|}$, is statistically close to the mean fraction $\frac{|T|}{m}$.

Let V_δ be the set of hash functions $h \in H$ such that $|h^{-1}(T) \cap S_\delta| < \frac{|T||S_\delta|}{2m}$. Intuitively, this is the set of “bad functions” because their contribution to the coverage of S_δ is less than $\frac{|T||S_\delta|}{2m}$. Note that by Eq. (25)

$$\begin{aligned} V_\delta &\leq |H| \cdot \Pr_{h \stackrel{\$}{\leftarrow} H} \left[|h^{-1}(T) \cap S_\delta| < \frac{|T||S_\delta|}{2m} \right] = |H| \cdot \Pr \left[X_H^\delta \leq \frac{|T||S_\delta|}{2m} \right] \\ &\leq \frac{4|H|m}{|T||S_\delta|} \end{aligned} \quad (26)$$

By the law of total expectation,

$$\begin{aligned} \mathbb{E} \left[X_W^\delta \right] &\geq \mathbb{E} \left[X_{W \setminus V_\delta}^\delta \right] \cdot \Pr_{h \stackrel{\$}{\leftarrow} W} [h \notin V_\delta] \geq \frac{|T||S_\delta|}{2m} \cdot \left(1 - \frac{|V_\delta|}{|W|} \right) \\ &\geq \frac{|T||S_\delta|}{2m} \cdot \left(1 - \frac{4|H|m}{|W||T||S_\delta|} \right) \end{aligned} \quad (27)$$

By definition of W and S_δ , we get the following lower bound

$$\mathbb{E} \left[X_W^\delta \right] = \mathbb{E}_{h \leftarrow W} \left[\sum_{x \in S_\delta} 1_{h(x) \in T} \right] < \delta \cdot |S_\delta| \quad (28)$$

Combining equations (28) and (27), we get

$$\delta > \frac{|T|}{2m} \left(1 - \frac{4|H|m}{|W||T||S_\delta|} \right) \quad (29)$$

□

Next, we use Lemma (3.1) and Lemma (3.2) to complete the proof of the claim. Recall that $\frac{|T|}{m} \geq \frac{1}{8l}$, combining the two lemmas, we get that for every δ

$$\delta > \frac{1}{8l(\lambda)} \left(1 - \frac{4p(\lambda) \cdot 8l(\lambda)}{|S_\delta|} \right) \quad (30)$$

For simplicity, denote $l'(n) = 8l(n)$ and $p'(n) = 4p(n)$. Fix $\delta' = \frac{1}{2l'}$, substituting into (30)

$$\frac{1}{2l'(\lambda)} > \frac{1}{l'(\lambda)} \left(1 - \frac{p'(\lambda) \cdot l'(\lambda)}{|S_{\delta'}|} \right) \Rightarrow |S_{\delta'}| < 2p'(\lambda)l'(\lambda) \quad (31)$$

By the law of total expectation,

$$\begin{aligned} \Pr_{\substack{x \leftarrow \{0,1\}^n \\ h \leftarrow W}} [h(x) \in T] &\geq \Pr_{\substack{x \leftarrow \{0,1\}^n \\ h \leftarrow W}} [h(x) \in T \mid x \notin S_{\delta'}] \cdot \Pr_{x \leftarrow \{0,1\}^n} [x \notin S_{\delta'}] \\ &> \delta' \left(1 - \frac{|S_{\delta'}|}{2^n} \right) > \frac{1}{2l'(\lambda)} \left(1 - \frac{2p'(\lambda)l'(\lambda)}{2^n} \right) \end{aligned} \quad (32)$$

For sufficiently large λ it holds that $2p'(\lambda)l'(\lambda) < 2^{n(\lambda)-1}$, so we get

$$\Pr_{\substack{x \leftarrow \{0,1\}^n \\ h \leftarrow W}} [h(x) \in T] \geq \frac{1}{4l'(\lambda)} \quad (33)$$

Therefore, the probability that a uniformly random $x \leftarrow \{0,1\}^n$ is not covered by \tilde{F} (that is, $h(x) \notin T$ for every $h \in \tilde{F}$) is upper bound by

$$\begin{aligned} \Pr_{x \leftarrow \{0,1\}^n} [\forall h \in \tilde{F} : h(x) \notin T] &= \left(1 - \Pr_{\substack{x \leftarrow \{0,1\}^n \\ h \leftarrow W}} [h(x) \in T] \right)^k \\ &\leq \left(1 - \frac{1}{4l'} \right)^k \leq e^{-k/4l'} \end{aligned} \quad (34)$$

Finally, we conclude that by definition of E_2 , the probability that it happens is upper-bounded by the probability that a uniformly random $x \leftarrow \{0,1\}^n$ is not covered by \tilde{F} given that some slot was rewound, thus is upper-bounded by a negligible function $\mu_2(\lambda) = e^{-k(\lambda)/32l(\lambda)}$, as desired. □

By the two previous claims, the attacker simulated by \mathcal{B} is indistinguishable by \mathcal{R} from the hypothetical attacker \mathcal{A} , therefore the transcript of the interaction between \mathcal{C} and \mathcal{R}_0 is indistinguishable from the transcript of the interaction between \mathcal{C} and $\mathcal{R}^{\mathcal{A}}$, and so

$$\Pr [\langle \mathcal{B}^{\mathcal{R}}, \mathcal{C} \rangle (1^\lambda) = 1] = \Pr [\langle \mathcal{R}^{\mathcal{A}}, \mathcal{C} \rangle (1^\lambda) = 1] - \text{negl}(\lambda) \quad (35)$$

By claim 3.2, the expected running time of \mathcal{B} is polynomial, thus by Markov's inequality, we can truncate \mathcal{B} to run in strictly polynomial time while preserving its non-negligible advantage w.r.t. the assumption \mathcal{C} . Overall we conclude that there exists a polynomial-time machine such that, if given oracle access to \mathcal{R} , has a non-negligible advantage w.r.t. the assumption \mathcal{C} . This completes the proof. \square

4 The Case of Lattice-Based ABE

As an example of applying our framework, we consider the celebrated [BGG⁺14] KP-ABE candidate and show that its delegatable version conforms with the conditions of our main theorem. The [BGG⁺14] scheme has been proven selectively secure based on the hardness of Learning with Errors (LWE), and while we are not aware of it being conjectured adaptively secure, we do not know of concrete adaptive attacks. We consider a variant of the scheme where function secret keys consist of lattice trapdoors. This version can be adapted to our framework fairly straightforwardly.

4.1 Lattice Cryptography Background

We start by presenting a few necessary definitions of lattice cryptography on the subjects of LWE and lattice trapdoors, which are required in order to describe the ABE scheme formally.

Definition 4.1 (Decisional $\text{LWE}_{n,m,q,\chi}$). Let λ be a security parameter, $n = n(\lambda)$, $m = m(\lambda)$ and $q = q(\lambda)$ be integers, and $\chi = \chi(\lambda)$ be a noise distribution over \mathbb{Z} . The (n, m, q, χ) -LWE decision problem is to distinguish between the following two distributions: Letting $A \xleftarrow{\$} \mathbb{Z}_q^{n \times m}$, $s \xleftarrow{\$} \mathbb{Z}_q^n$, $e \leftarrow \chi^m$, $u \xleftarrow{\$} \mathbb{Z}_q^m$, the first distribution is $(A, A^T s + e)$ and the second is (A, u) .

Definition 4.2 (Gadget Matrix). We define the ‘‘gadget matrix’’ by $G = g \otimes I_n \in \mathbb{Z}_q^{n \times n \lceil \log q \rceil}$ where $g = (1, 2, 4, \dots, 2^{\lceil \log q \rceil - 1}) \in \mathbb{Z}_q^{\lceil \log q \rceil}$. We define the inverse of the gadget matrix function $G^{-1} : \mathbb{Z}_q^{n \times m} \rightarrow \{0, 1\}^{n \lceil \log q \rceil \times n}$ which expands each entry $a \in \mathbb{Z}_q$ of the input matrix into a column of size $\lceil \log q \rceil$ which is a binary representation of a , so for any matrix $A \in \mathbb{Z}_q^{n \times m}$ it holds that $G \cdot G^{-1}(A) = A$.

Definition 4.3 (Matrix Norms). Let $T \in \mathbb{Z}^{n \times m}$ be a matrix and \tilde{T} the result of applying Gram-Schmidt orthogonalization to the columns of T . We define the GS-norm $\|T\|_{\text{GS}}$ as the l_2 length of the longest column of \tilde{T} . Let $\|T\|_2$ be the operator norm of T defined as $\|T\|_2 = \sup_{\|x\|=1} \|Tx\|$.

The following are properties of lattice trapdoors, see [BGG⁺14] for references.

Lemma 4.1. Let $m, n, q > 0$ be integers with q prime.

- There is an efficient randomized algorithm $\text{TrapGen}(1^n, 1^m, q)$ that when $m = \Theta(n \log q)$, outputs a full-rank matrix $A \in \mathbb{Z}_q^{n \times m}$ along with a basis $T_A \in \mathbb{Z}^{m \times m}$ for $\Lambda_q^\perp(A) = \{z \in \mathbb{Z}^m \mid A \cdot z = 0 \pmod{q}\}$ such that A is statistically indistinguishable from a uniformly-sampled matrix and $\|T_A\|_{\text{GS}} = O(\sqrt{n \log q})$, with all but negligible probability.
- There is an efficient algorithm $\text{TrapExtend}(A, B, T_A)$ that given a full-rank matrix $A \in \mathbb{Z}_q^{n \times m}$, a basis T_A of $\Lambda_q^\perp(A)$, and $B \in \mathbb{Z}_q^{n \times m}$, outputs a basis $T_{[A|B]}$ of $\Lambda_q^\perp([A \mid B])$ such that $\|T_{[A|B]}\|_{\text{GS}} = \|T_A\|_{\text{GS}}$.

- There is a randomized algorithm $\text{SampleD}(A, D, T_A, \sigma)$ that given a full-rank matrix $A \in \mathbb{Z}_q^{n \times m}$, a basis T_A of $\Lambda_q^\perp(A)$, a matrix $D \in \mathbb{Z}_q^{n \times k}$, and $\sigma = \|T_A\|_{\text{GS}} \cdot \omega(\sqrt{\log m})$, outputs a random matrix $R \in \mathbb{Z}^{m \times k}$ such that $AR = D$, and $\|R^T\|_2 < m\sigma$ with all but negligible probability.

Lemma 4.2. Let $A \in \mathbb{Z}_q^{n \times m}$ be a full rank matrix with a basis $T_A \in \mathbb{Z}^{m \times m}$ for $\Lambda_q^\perp(A)$, and let $B \in \mathbb{Z}_q^{n \times k}$ and $C \in \mathbb{Z}_q^{k \times m}$ such that $A = BC$. Let $D \in \mathbb{Z}_q^{m \times k}$ such that $AD = B$ (which necessarily exists since A has a trapdoor T_A). Then the matrix $T_B = [CT_A \mid I - CD]$ is a basis for $\Lambda_q^\perp(B)$ such that $\|T_B\|_{\text{GS}} = \|CT_A\|_{\text{GS}}$.

Proof. We can immediately verify that $BT_B = 0$, thus $T_B \in \Lambda_q^\perp(B)$. T_B is also full-rank since

$$T_B \begin{bmatrix} T_A^{-1}D \\ I \end{bmatrix} = I \quad (36)$$

For the same reason, the GS-norm of $T_B\|_{\text{GS}}$ is the same as $[CT_A \mid I]$, which is $\|CT_A\|_{\text{GS}}$, as desired. \square

Key-Homomorphic Evaluation. Let f be a boolean circuit of depth d computing a function $\{0, 1\}^k \rightarrow \{0, 1\}$, and assume that f contains only NAND gates. We “translate” the operation of f into a computation on matrices: We associate with every input wire of f a matrix A_i , and for every other wire we assign a matrix recursively as follows: Let A_α, A_β be the matrices of the input wires, then the output wire is associated with the matrix $A_\gamma = G - A_\alpha \cdot G^{-1}(A_\beta)$. Note that for every input values $x_\alpha, x_\beta \in \{0, 1\}$,

$$\begin{aligned} [A_\alpha + x_\alpha G \mid A_\beta + x_\beta G] \cdot \begin{bmatrix} G^{-1}(A_\beta) \\ -x_\alpha I \end{bmatrix} &= A_\gamma + (1 - x_\alpha x_\beta)G \\ &= A_\gamma + \text{NAND}(x_\alpha, x_\beta)G \end{aligned} \quad (37)$$

Denote by A_f the matrix of the output wire of f . We define $\text{Eval}(f, (A_1, \dots, A_k))$ to be the procedure that takes as inputs f and $\vec{A} = (A_1, \dots, A_k)$ and outputs A_f . Note that for input wires x_1, \dots, x_k , the homomorphic evaluation satisfies

$$[A_1 + x_1 G \mid \dots \mid A_k + x_k G] \cdot H_{f, x, \vec{A}} = A_f + f(x_1, \dots, x_k)G \quad (38)$$

for some short matrix $H_{f, x, \vec{A}} \in \mathbb{Z}^{mk \times m}$ that has norm $O(n \log q)^{O(d)}$.

4.2 The [BGG⁺14] Scheme

Next, we describe the properties of [BGG⁺14] scheme and show their sufficiency for applying our theorem. For simplicity, we assume that the policies of the scheme accept attributes if and only if $f(x) = 0$ (instead of $f(x) = 1$).

Let λ denote the security parameter, the parameters of the scheme are an integer $n = n(\lambda)$, a prime $q = q(\lambda)$, an integer $m = \Theta(n \log q)$, a noise distribution $\chi = \chi(\lambda)$ over \mathbb{Z}^m , and $d = d(\lambda)$. The noise distribution is chosen to be χ_{\max} -bounded. The attribute set is $\mathcal{X} = \{0, 1\}^k$ for k which is given as input, and the policy set is the class of functions with depth- d circuits.

The public parameters of the scheme are matrices $A_0, A_1, \dots, A_k, D \in \mathbb{Z}_q^{n \times m}$. Every attribute x is associated with a public key $A_x \in \mathbb{Z}_q^{n \times m}$ computed by

$$A_x \stackrel{\text{def}}{=} [A_0 \mid A_1 + x_1 G \mid \dots \mid A_k + x_k G] \in \mathbb{Z}_q^{n \times m(k+1)} \quad (39)$$

Every predicate f is also associated with a public key $A_f \in \mathbb{Z}_q^{n \times m}$, computed by $\text{Eval}(f, (A_1, \dots, A_k))$.

At high-level, the encryption procedure of the scheme is a variant of dual Regev encryption [Reg05], so a ciphertext encrypted under a public key $A \in \mathbb{Z}_q^{n \times l}$ is essentially a noisy vector close to the lattice spanned by A , and has the form $c^T = s^T A + e^T$ where $s \stackrel{\$}{\leftarrow} \mathbb{Z}_q^n$ is a uniformly random vector, and $e \in \mathbb{Z}^l$ is a noise vector sampled from a distribution over short vectors. A message is encoded into the ciphertext by adding an “offset” that depends on the message. A secret key SK for A is a lattice trapdoor T_A , i.e., a low-norm basis for the dual lattice $\Lambda_q^\perp(A)$. The trapdoor can be used to decrypt a ciphertext so long as its noise vector $\|e\|$ is small enough w.r.t. $\|T_A\|_{\text{GS}}$ and q .

Formally, the encryption of a message $M \in \{0, 1\}^m$ under public key A_x is

$$\begin{aligned} \text{CT}_x^T &= [c_0^T \mid c_1^T \mid \cdots \mid c_k^T \mid c_{\text{out}}^T] \\ &= s^T [A_0 \mid x_1 G + A_1 \mid \cdots \mid x_k G + A_k \mid D] \\ &\quad + [e_0^T \mid e_1^T \mid \cdots \mid e_k^T \mid e_{\text{out}}^T + \lceil q/2 \rceil M^T] \end{aligned} \quad (40)$$

where $s \stackrel{\$}{\leftarrow} \mathbb{Z}^n$ and $e_0, \dots, e_k, e_{\text{out}} \leftarrow \chi$.

To decrypt a ciphertext CT_x using a key SK_f for which $f(x) = 0$, one first homomorphically evaluates the ciphertext by applying a publicly known low-norm matrix $H_{f,x,\vec{A}} \in \mathbb{Z}^{mk \times m}$ (described in the key-homomorphic evaluation) such that

$$[A_1 + x_1 G \mid \cdots \mid A_k + x_k G] H_{f,x,\vec{A}} = A_f + f(x)G \in \mathbb{Z}_q^{n \times m} \quad (41)$$

The result of evaluating a ciphertext with respect to policy f is an encryption of the original message under the public matrix $[A_0 \mid A_f + f(x)G]$ as follows:

$$\begin{aligned} c_f^T &= [c_1^T \mid \cdots \mid c_k^T] H_{f,x,\vec{A}} \\ &= s^T [x_1 G + A_1 \mid \cdots \mid x_k G + A_k] H_{f,x,\vec{A}} + [e_1^T \mid \cdots \mid e_k^T] H_{f,x,\vec{A}} \\ &= s^T A_f + [e_1^T \mid \cdots \mid e_k^T] H_{f,x,\vec{A}} \end{aligned} \quad (42)$$

It holds that $\|H_{f,x,\vec{A}}^T\|_2 \leq \Delta$ where Δ is a parameter of the scheme.

The secret key for predicate f is a trapdoor T_f for $[A_0 \mid A_f]$, whose GS-norm is $\rho = O(\sqrt{n \log q})$. The trapdoor is used to sample a matrix $R \in \mathbb{Z}_q^{2m \times m}$ such that $[A_0 \mid A_f]R = D$ and $\|R^T\|_2 < 2m\rho\sigma$ for σ that is a parameter of the scheme. Decryption is computed by

$$\begin{aligned} c_{\text{out}}^T - [c_0^T \mid c_f^T] R &= s^T D + e_{\text{out}}^T + \lceil q/2 \rceil M^T \\ &\quad - [s^T A_0 + e_0^T \mid s^T A_f + [e_1^T \mid \cdots \mid e_k^T] H_{f,x,\vec{A}}] R \\ &= \lceil q/2 \rceil M^T + e_{\text{out}}^T + [e_0^T \mid [e_1^T \mid \cdots \mid e_k^T] H_{f,x,\vec{A}}] R \end{aligned} \quad (43)$$

The parameters of the scheme are chosen such that the norm of the noise vector

$$e_{\text{out}}^T + [e_0^T \mid [e_1^T \mid \cdots \mid e_k^T] H_{f,x,\vec{A}}] R,$$

which depends on $\chi_{\text{max}}, \Delta, k, m, \rho$ and σ , is small enough compared to $q/4$, so one can round the result to extract M .

4.3 Applying Theorem 3.1

First, following Remark 2.6, the parameters of the scheme can be chosen to satisfy pairwise friendliness. Second, we claim that there exists an alternative decryption procedure, denote

Decrypt'), and a procedure SKCheck, such that the ABE scheme equipped with (SKCheck, Decrypt') satisfies the checkability property. Proving the claim is sufficient to apply our theorem to the scheme equipped with (SKCheck, Decrypt'), and by Remark 2.4 we conclude that the original scheme cannot be proved adaptively secure as well.

The implementation of SKCheck is relatively straightforward: Given the public parameters, a secret key SK, and a predicate f , verify that SK is a basis for $\Lambda_q^\perp([A_0 \mid A_f])$ and that its GS-norm is smaller than ρ . By definition of the scheme, we immediately get that any honestly-generated secret key passes check, as desired.

Our strategy for the alternative decryption procedure is the following. Let SK_f be a (valid) secret key for predicate f and let CT_x be a ciphertext such that $f(x) = 0$. Note that

$$A_x \begin{bmatrix} I & 0 \\ 0 & H_{f,x,A} \end{bmatrix} = [A_0 \mid A_f] \quad (44)$$

So by Lemma 4.2, the secret key, which is a trapdoor T_f for $[A_0 \mid A_f]$, can be used to obtain a trapdoor T_x such that $\|T_x\|_{\text{GS}} \leq \Delta\rho$. We use the "secret key" $\text{SK}_x = T_x$ for A_x to "decode" and decrypt the ciphertext CT_x according to the following procedure. The procedure takes in a ciphertext, a secret key and public parameters.

- **Decode**($\text{CT}_x, \text{SK}_x, \text{PP}$): Denote the components of the ciphertext by $c_0, \dots, c_k, c_{\text{out}}$ as defined in (40). Denote ρ' the GS-norm of SK_x . Use $T_x = \text{SK}_x$ to obtain a matrix $R \in \mathbb{Z}^{m(k+1) \times m}$ such that $A_x R = D$ and $\|R^T\|_2 \leq (k+1)m\rho'\sigma$. Compute $c_{\text{out}}^T - [c_0^T \mid \dots \mid c_k^T] R$ and round the result to extract $M \in \{0, 1\}^m$ such that

$$\lceil q/2 \rceil M^T = \text{round} \left(c_{\text{out}}^T - [c_0^T \mid \dots \mid c_k^T] R \right) \quad (45)$$

Use T_x again to obtain a basis R' for $\Lambda_q^\perp([A_x \mid D])$ such that $\|R'\|_{\text{GS}} \leq \rho'$. Compute the vector

$$y^T = [c_0^T \mid c_1^T \mid \dots \mid c_k^T \mid c_{\text{out}}^T - \lceil q/2 \rceil M^T] R \quad (46)$$

Lift y to its canonical representative $\tilde{y} \in [-\frac{q}{2}, \frac{q}{2}]^{m(k+2)}$, compute R'^{-1} over the rationals, and compute $z^T = \tilde{y}^T R'^{-1}$. Let $z_0, z_1, \dots, z_k, z_{\text{out}} \in \mathbb{Z}^m$ such that $z = (z_0, z_1, \dots, z_k, z_{\text{out}})$. Check that the norms of $z_0, z_1, \dots, z_k, z_{\text{out}}$ are smaller than χ_{max} . If not, output \perp , otherwise, output M .

Finally, we prove the following lemma to conclude the checkability of the alternative decryption:

Lemma 4.3. Let T_1, T_2 be two trapdoors for A_x such that $\|T_1\|_{\text{GS}}, \|T_2\|_{\text{GS}} \leq \Delta\rho$. For any ciphertext CT_x it holds that $\text{Decode}(\text{CT}_x, T_1, \text{PP}) = \text{Decode}(\text{CT}_x, T_2, \text{PP})$.

Proof. Denote $c_0, c_1, \dots, c_k, c_{\text{out}}$ as before. For $i = 1, 2$ let R_i, R'_i be the low-norm matrices sampled during $\text{Decode}(\text{CT}_x, T_i, \text{PP})$ such that $A_x R_i = D$ and R'_i is low-norm basis for $\Lambda_q^\perp([A_x \mid D])$. Let M be the binary vector extracted by $\text{Decode}(\text{CT}_x, T_1, \text{PP})$, and let

$$y_1^T = [c_0^T \mid c_1^T \mid \dots \mid c_k^T \mid c_{\text{out}}^T - \lceil q/2 \rceil M^T] R_1 \quad (47)$$

If both decodings output \perp , the claim follows immediately. Therefore, assume w.l.o.g. that $\text{Decode}(\text{CT}_x, T_1, \text{PP}) \neq \perp$. Let $\tilde{y}_1 \in [-\frac{q}{2}, \frac{q}{2}]^{m(k+2)}$ be the canonical representative of y_1 , and let $R_1'^{-1}$ be the inverse of R_1' over the rationals. Let $z^T = \tilde{y}_1^T R_1'^{-1}$ and $z_0, z_1, \dots, z_k, z_{\text{out}} \in \mathbb{Z}^m$ such that $z = (z_0, z_1, \dots, z_k, z_{\text{out}})$. By the assumption that $\text{Decode}(\text{CT}_x, T_1, \text{PP}) \neq \perp$ we get that the norms of $z_0, z_1, \dots, z_k, z_{\text{out}}$ are smaller than χ_{max} . Since R_1' is a basis for $\Lambda_q^\perp([A_x \mid D])$ we conclude that there exists some vector $s \in \mathbb{Z}_q^n$ such that

$$[c_0^T \mid \dots \mid c_k^T \mid c_{\text{out}}^T - \lceil q/2 \rceil M^T] = s^T [A_x \mid D] + [z_0^T \mid \dots \mid z_k^T \mid z_{\text{out}}^T] \quad (48)$$

We get that

$$\begin{aligned} c_{\text{out}}^T - [c_0^T \mid \cdots \mid c_k^T] R_2 &= s^T D + [q/2] M^T + z_{\text{out}}^T - s^T A_x R_2 + [z_0^T \mid \cdots \mid z_k^T] R_2 \\ &= [q/2] M^T + (z_{\text{out}}^T + [z_0^T \mid \cdots \mid z_k^T] R_2) \end{aligned} \quad (49)$$

By the requirement on the parameter of the scheme, the vector $z_{\text{out}}^T + [z_0^T \mid \cdots \mid z_k^T] R_2$ is small enough compared to $q/4$, therefore the binary vector M' extracted by $\text{Decode}(\text{CT}_x, T_2, \text{PP})$ satisfies

$$[q/2] M'^T = \text{round} \left(c_{\text{out}}^T - [c_0^T \mid \cdots \mid c_k^T] R_2 \right) = [q/2] M^T \quad (50)$$

i.e., $M' = M$. It remains to prove that $\text{Decode}(\text{CT}_x, T_2, \text{PP})$ outputs the extracted vector M' and not \perp . We have

$$y_2^T = [c_0^T \mid \cdots \mid c_k^T \mid c_{\text{out}}^T - [q/2] M'^T] R'_2 = [z_0^T \mid \cdots \mid z_k^T \mid z_{\text{out}}^T] R'_2 \quad (51)$$

Lifting y_2 to its canonical representative and applying $R_2'^{-1}$ results in

$$z'^T = \tilde{y}_2^T R_2'^{-1} = [z_0^T \mid z_1^T \mid \cdots \mid z_k^T \mid z_{\text{out}}^T] \quad (52)$$

Therefore, the checks on the norms of $z_0, \dots, z_k, z_{\text{out}}$ pass the same, and so

$$\text{Decode}(\text{CT}_x, T_1, \text{PP}) = M = M' = \text{Decode}(\text{CT}_x, T_2, \text{PP}) \quad (53)$$

□

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