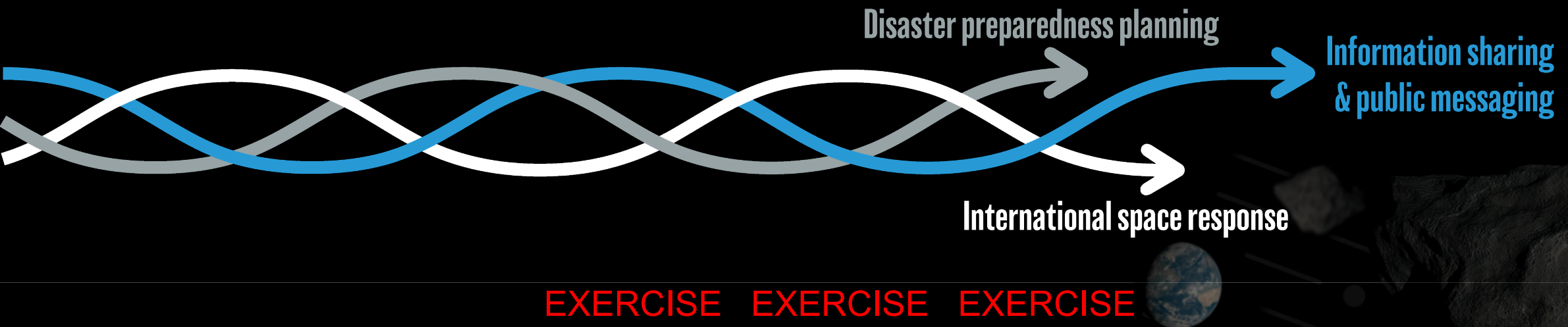




# Module 3: Recommended Courses of Action

- Technical briefs
  - Module 3a/Day 1: None
  - Module 3b/Day 2: Briefing to senior leaders (45 minutes)
- Discussion will focus on
  - International collaboration and coordination
  - Decision-making in the face of uncertainties
  - Processes for identifying recommended courses of action

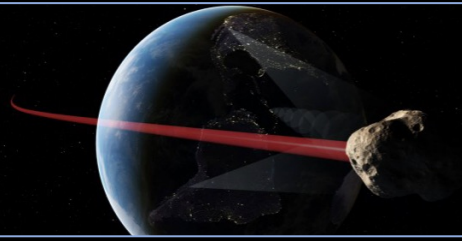


# Simulated Impact Threat Scenario

Notification by the International Asteroid Warning Network  
(IAWN)

Kelly Fast, NASA  
IAWN Coordinating Officer

5th Interagency Planetary Defense Tabletop Exercise  
April 2024

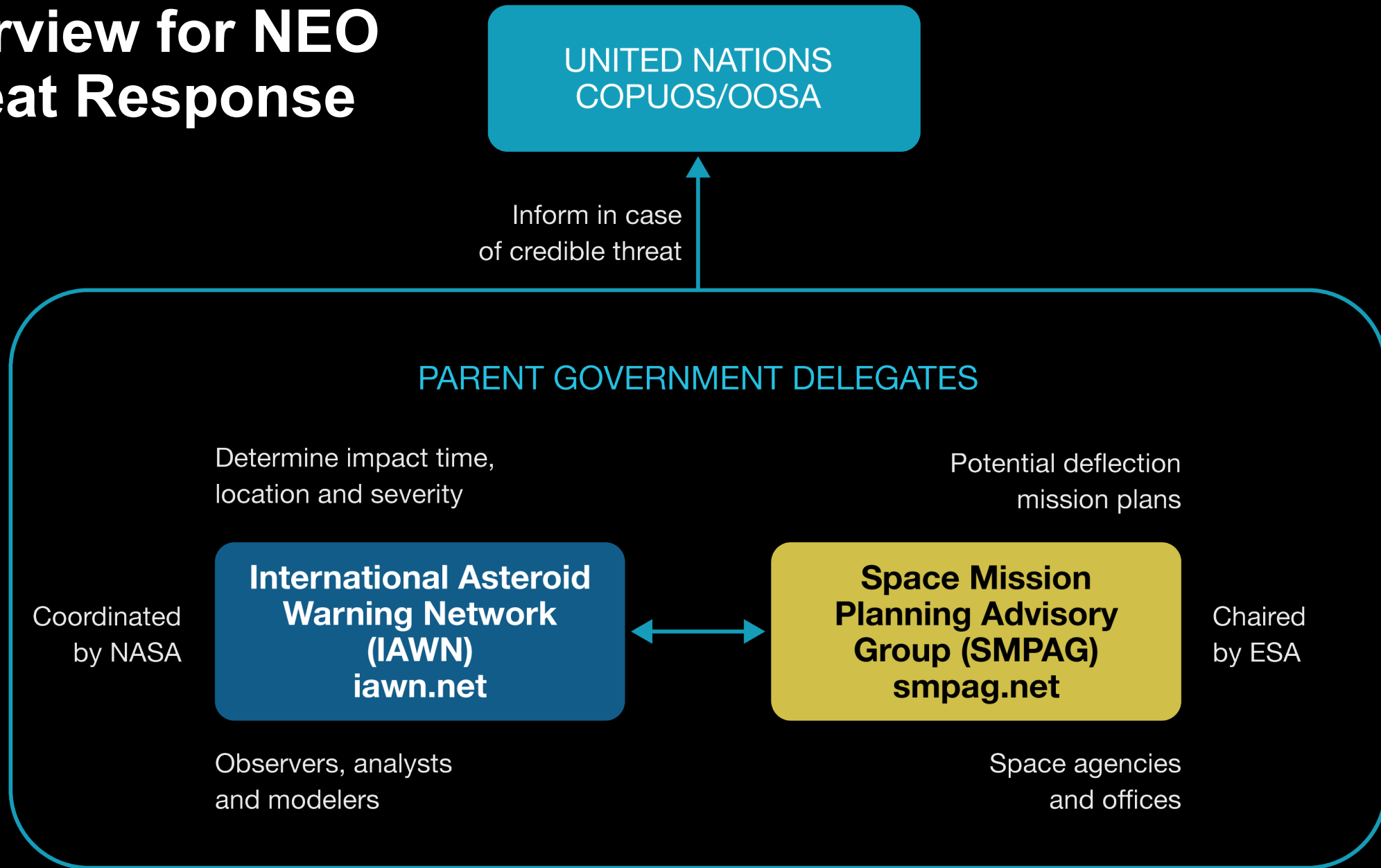


## The International Asteroid Warning Network (IAWN)

- A worldwide collaboration recommended by the United Nations to detect, track, and physically characterize near-Earth objects
- Signatories include scientific institutions, observatories, and independent astronomers involved in asteroid observations, orbit computation, and modeling
- IAWN's goal is to provide the most accurate and up-to-date information available on the impact potential and effects

**Currently 56 signatories from over 25 countries**

# Overview for NEO Threat Response





IAWN shall warn of predicted impacts exceeding a probability of **1%** for all objects characterized to be greater than **10 meters** in size\* and notify:

- Chair, Space Mission Planning Advisory Group (SMPAG)
- United Nations Office for Outer Space Affairs (UNOOSA)
  - UNOOSA will notify UN Member States

IAWN signatories will also notify and work with their own governments according to their own national policies, as applicable.

Note: NASA would follow NASA Policy Directive 8740.1 for notifying within the U.S. government

*\* Roughly equivalent to an absolute magnitude of 28 if only brightness data can be collected*



International  
Asteroid  
Warning  
Network

EXERCISE EXERCISE EXERCISE

# IAWN Notification

EXERCISE EXERCISE EXERCISE

## INTERNATIONAL ASTEROID WARNING NETWORK

### Potential Asteroid Impact Notification: Hypothetical Scenario

Date: 2 April 2024  
 From: International Asteroid Warning Network (IAWN)  
 To: Chair, Space Mission Planning Advisory Group (SMPAG);  
 United Nations Office of Outer Space Affairs (UNOOSA)  
 Title: Potential for the Impact of Near-Earth Asteroid 2023 TTX

Impact Probability	72% as calculated by NASA JPL CNEOS & ESA NEOCC
Impact Date:	12 July 2038
Impact Risk Corridor:	Potential impact locations span a corridor from the South Pacific across North America, the Atlantic, Iberian Peninsula, Mediterranean coast of Africa, Egypt, to the coast of Saudi Arabia.
Approximate Size:	Highly uncertain based on brightness and unknown surface reflectivity: most likely ~100–320 m (350–1000 ft), but potentially ~60–800 m in diameter.
Expected Damage Level if Impact Occurs:	Uncertain, but regional- to country-scale. Energy release most likely to be in the range of 6 to 750 megatons TNT, but potentially up to 15 gigatons TNT.

### Additional details:

- There is a 72% probability that asteroid 2023 TTX will impact Earth on 12 July 2038, as calculated by the NASA JPL Center for Near-Earth Object Studies (CNEOS) and the ESA Near-Earth Objects Coordination Centre (NEOCC). While there is uncertainty in whether the asteroid will impact Earth, if an impact occurs it will be on this date.
- The impact risk corridor includes Mexico, United States of America, Portugal, Spain, Algeria, Tunisia, Libya, Egypt, a slight chance of very edges of Sudan and Saudi Arabia; and small chances of Vanuatu, Tuvalu, Kiribati in Melanesia/Polynesia. Figure 1 shows the risk corridor.
- There is a high probability that if the impact occurs, tens of thousands to millions of people could be affected by the potential damage from the impact based on the latest predicted impact corridor and risk modeling.
- The potential impact effects are highly dependent on the size of the asteroid and impact location. Nearly all cases cause large blast damage areas, likely reaching unsurvivable levels near the impact/airburst with larger outlying areas of structural damage, fires, and shattered windows. For the most likely size range, serious damage (including shattering windows, some structure damage) will occur over an area between 80–180 km (50–110 mi) in radius. The largest outer damage areas could extend over a region of 300 km (180 mi) or larger in radius. An impact in coastal waters could result in a tsunami that would inundate coastline areas, though tsunami risk and damage estimates are lower than local ground damage. Figure 2 summarizes the full impact risk, including damage assessments.

EXERCISE EXERCISE EXERCISE

EXERCISE EXERCISE EXERCISE

- The asteroid 2023 TTX was discovered on 4 October 2023 by an Earth-based telescope in the southern hemisphere. The asteroid's absolute magnitude is  $21.5 \pm 0.3$ . Telescopes observed the asteroid almost daily between its discovery and 31 March 2024, when the asteroid became too close to the Sun to observe from the ground. The asteroid was identified in archival data, which helped refine the impact probability.
- Further observations will reduce the uncertainty in the asteroid's trajectory and impact probability. However, further ground-based observations will be impossible for the next seven months as the asteroid is too distant and appears too close to the Sun in the sky for telescopes to observe. Earth-based telescopes will be able to observe the asteroid again starting on 29 October 2024.
- The size of the asteroid cannot be estimated with further precision without radar observations or images from a spacecraft reconnaissance mission. The asteroid may come within radar range in July 2033 (5 years before potential impact). But, a successful detection depends on the asteroid's size and rotation period, both of which are highly uncertain at this time.

This notification is issued by the International Asteroid Warning Network (IAWN) in accordance with report SMPAG-RP-003 on "Recommended Criteria & Thresholds for Action for Potential NEO Impact Threat" that defines the threshold for issuing warnings of possible impact effects, which is a probability of impact is greater than 1% and a rough size estimated to be greater than 10 meters (33 feet).

IAWN is a worldwide collaboration of asteroid observers and modelers that was recommended by the United Nations ([iawn.net](http://iawn.net))

Point of Contact: IAWN Coordinating Officer for the IAWN Steering Committee [email]

### Graphics:



FIGURE 1. The impact risk corridor. If the asteroid is on track to impact Earth, the impact will occur at a point somewhere along the red swath. Potential impact locations span a corridor from the South Pacific across North America, the Atlantic, Iberian Peninsula, Mediterranean coast of Africa, Egypt, to the coast of Saudi Arabia.

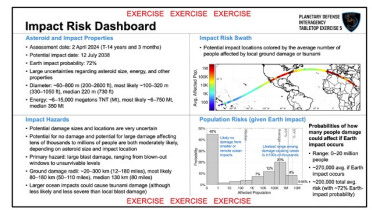


FIGURE 2. Impact risk summary, which provides a high-level overview of the asteroid threat and associated risks of impact.

EXERCISE EXERCISE EXERCISE

EXERCISE EXERCISE EXERCISE

# IAWN Notification

## INTERNATIONAL ASTEROID WARNING NETWORK

### Potential Asteroid Impact Notification: **Hypothetical Scenario**

Date: 2 April 2024

From: International Asteroid Warning Network (IAWN)

To: Chair, Space Mission Planning Advisory Group (SMPAG);  
United Nations Office of Outer Space Affairs (UNOOSA)

Title: Potential for the Impact of Near-Earth Asteroid 2023 TTX

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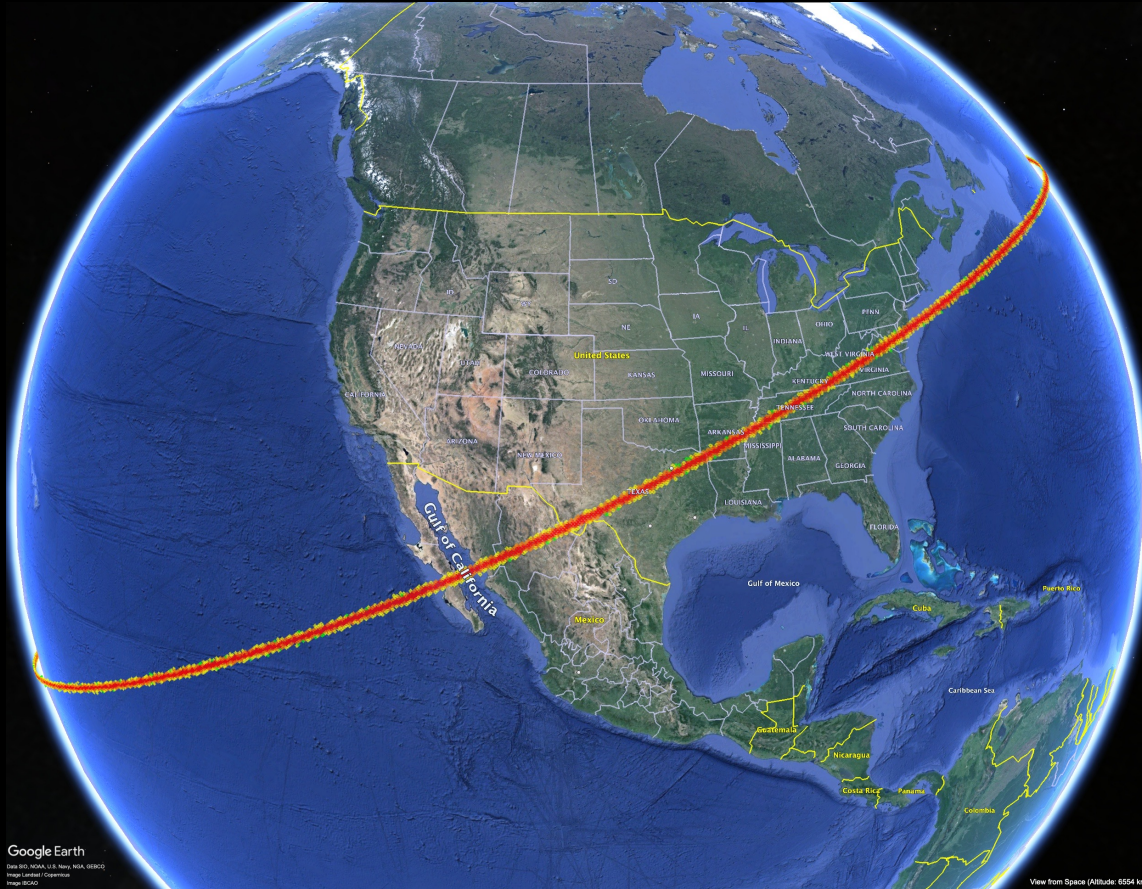
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Expected Damage Level if Impact Occurs:	Uncertain, but regional- to country-scale. Energy release most likely to be in the range of 6 to 750 megatons TNT, but potentially up to 15 gigatons TNT.

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# Impact Risk Corridor





# Impact Risk Dashboard

## Asteroid and Impact Properties

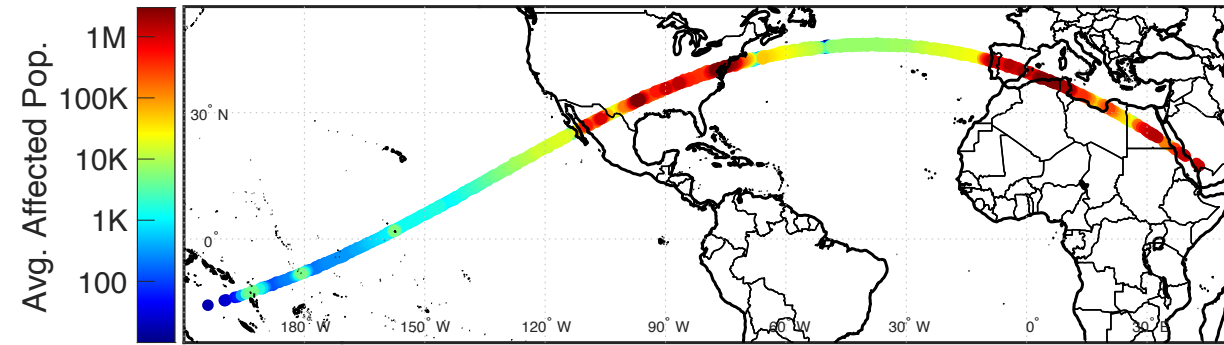
- Assessment date: 2 April 2024 (T-14 years and 3 months)
- Potential impact date: 12 July 2038
- Earth impact probability: 72%
- Large uncertainties regarding asteroid size, energy, and other properties
- Diameter: ~60–800 m (200–2600 ft), most likely ~100–320 m (330–1050 ft), median 220 m (730 ft)
- Energy: ~6–15,000 megatons TNT (Mt), most likely ~6–750 Mt, median 350 Mt

## Impact Hazards

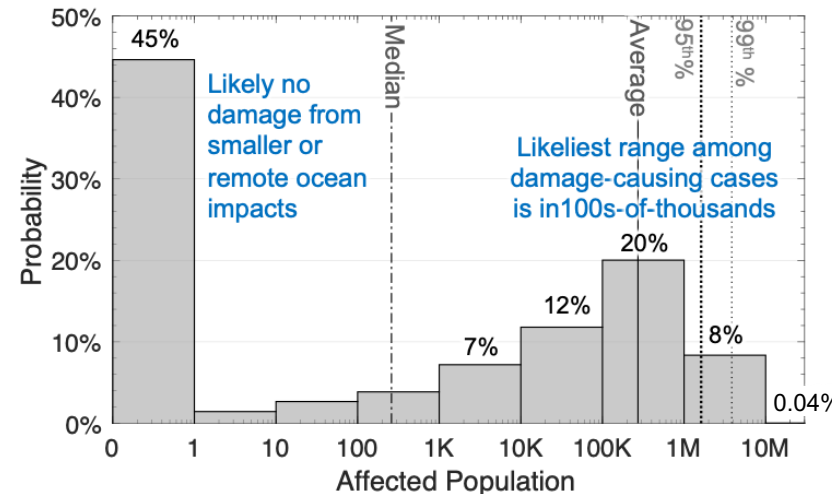
- Potential damage sizes and locations are very uncertain
- Potential for no damage and potential for large damage affecting tens of thousands to millions of people are both moderately likely, depending on asteroid size and impact location
- Primary hazard: large blast damage, ranging from blown-out windows to unsurvivable levels
- Ground damage radii: ~20–300 km (12–180 miles), most likely 80–180 km (50–110 miles), median 130 km (80 miles)
- Larger ocean impacts could cause tsunami damage (although less likely and less severe than local blast damage)

## Impact Risk Swath

- Potential impact locations colored by the average number of people affected by local ground damage or tsunami



## Population Risks (given Earth impact)



### Probabilities of how many people damage could affect if Earth impact occurs

- Range: 0–20 million people
- ~270,000 avg. if Earth impact occurs
- ~200,000 total avg. risk (with ~72% Earth-impact probability)

# Space Mission Options

Detlef Koschny  
Chair, Space Mission Planning Advisory Group  
(SMPAG)

5th Interagency Planetary Defense Tabletop Exercise  
April 2024

# Relevant Tasks of SMPAG

## From the terms of reference (available at [smpag.net](http://smpag.net)):

1. **Purpose.** The purpose of the SMPAG is to **prepare for an international response to a NEO impact threat** through the exchange of information, development of options for collaborative research and mission opportunities, and NEO threat mitigation planning activities.  
:
2. **Objectives.** The SMPAG shall have the following objectives:  
:
3. **Scope.** The SMPAG may address the following main areas:  
:
4. **Mitigation planning activities**
  - a. **Recommend operational responsibilities for a space-based NEO mitigation campaign.**
  - b. Work in coordination with the relevant actors potentially involved in the implementation of the threat response.
  - c. In the case of a credible threat, **recommend viable concepts** for a possible mitigation campaign and directly inform those governments that would coordinate and fund space mission activities and request that they in turn inform UN COPUOS, via the UN Office for Outer Space Affairs if necessary.

# Criteria for SMPAG

*SMPAG*

Action	Warning Time	Impact Probability	Object Size	Threshold Crossed?
Warn	Any	>1%	>10 m or <absolute magnitude 28	✓
Terrestrial preparedness planning	≤20 years	>10%	>20 m or <absolute magnitude 27	✓
Mission options planning	≤50 years	>1%	>50 m or <absolute magnitude 26	✓

Reference: SMPAG Recommended Criteria & Thresholds for Action for Potential NEO Impact Threat (2017)

<b><i>U.S. benchmarks for considering execution of space missions have also been crossed.</i></b>	✓
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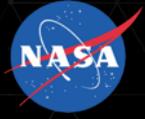
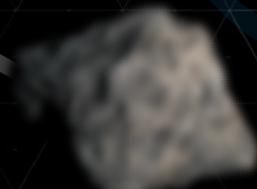
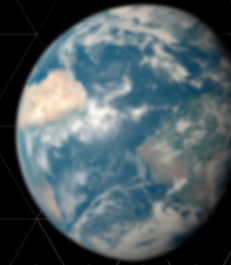
Reference: Report on Near-Earth Object Impact Threat Emergency Protocols (2021)



# Process on Day 1

- **Notified about the threat via IAWN**
- **Discussed space mission options and collaborative approaches to implementation**
- **Agreed upon courses of action to recommend for space missions in this scenario**

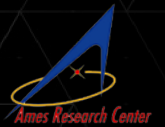
PLANETARY DEFENSE  
INTERAGENCY  
TABLETOP EXERCISE 5



FEMA



JOHNS HOPKINS  
APPLIED PHYSICS LABORATORY



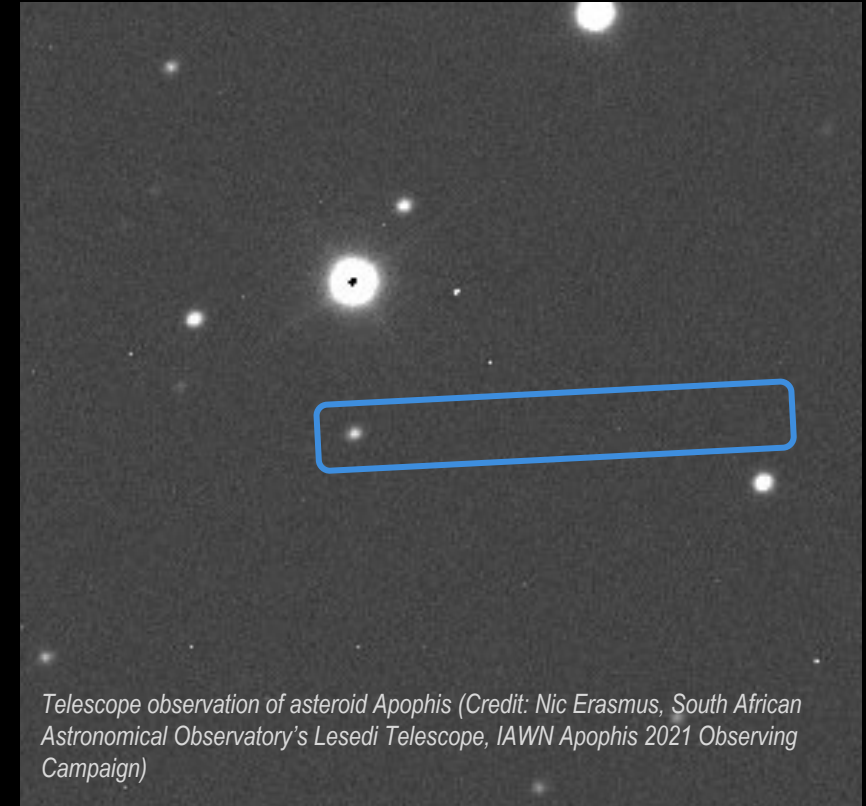
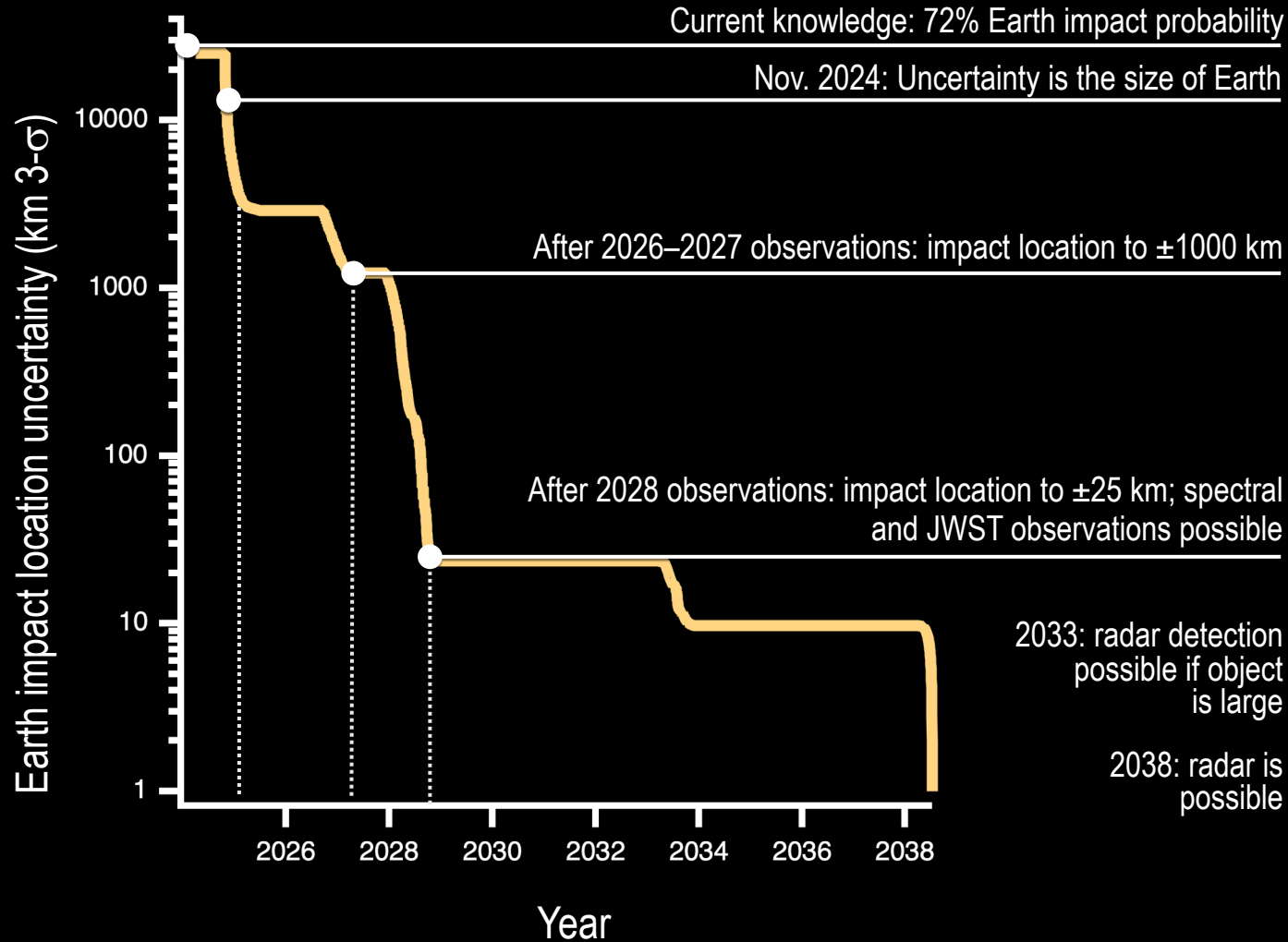
# Space Mission Options

Brent Barbee and Analysis Team  
NASA Goddard Space Flight Center

Analysis Team: Justin Atchison (APL), Brent Barbee (NASA/GSFC), Rylie Bull (APL), Mary Burkey (LLNL), Wendy K. Caldwell (LANL), Paul Chodas (JPL/CNEOS), Jessie Dotson (NASA/ARC/ATAP), Davide Farnocchia (JPL/CNEOS), Kathryn Kumamoto (LLNL), Josh Lyzhoft (NASA/GSFC), Catherine Plesko (LANL), Isaiah Santistevan (LLNL), Bruno Sarli (NASA/GSFC), Megan Syal (LLNL), Matt Vavrina (NASA/GSFC)



# Potential Information from Earth-Based Telescopes



**With Earth-based optical telescopes, the asteroid always appears as a single point of light.**

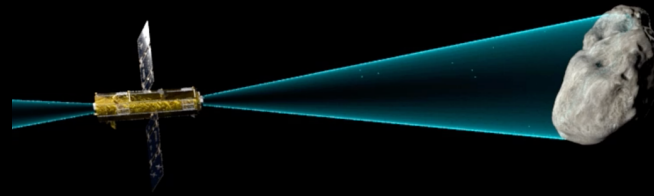




# Asteroid Impacts May Be Preventable



Kinetic Impact



Ion Beam



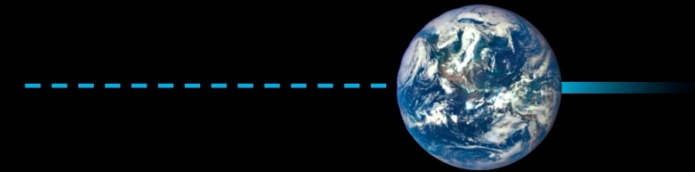
Nuclear Explosive Devices

Successful impact prevention requires **adequate warning time** and **information about key asteroid properties**.



# In This Scenario, Deflection Is Preferred

- Given what we know at this time in the scenario, disruption (breaking the asteroid into multiple smaller pieces) is impractical for ~80% of the potential asteroid masses.
- To avoid Earth impact, an asteroid can be **deflected** by changing its speed (slowing it down or speeding it up) but leaving the asteroid largely intact.
- Deflection analysis assumes the **highest deflection energy requirements** and considers up to the **90th-percentile asteroid mass** to provide high probability of mission success.



**Before  
Deflection**



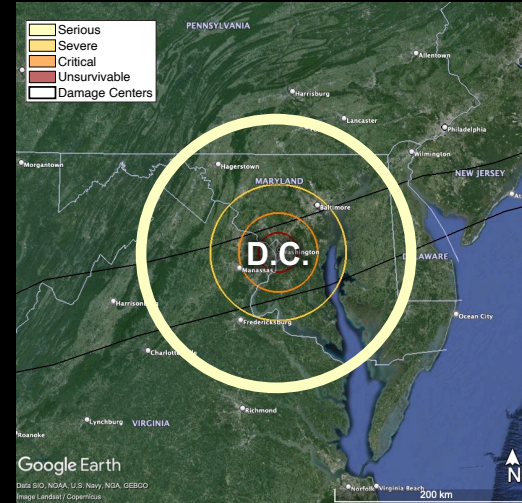
**After  
Deflection**



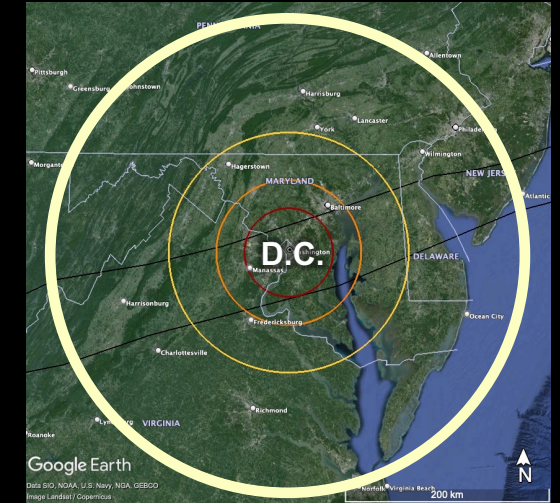


# The Asteroid's Properties Are Highly Uncertain

What would emergency management organizations face?

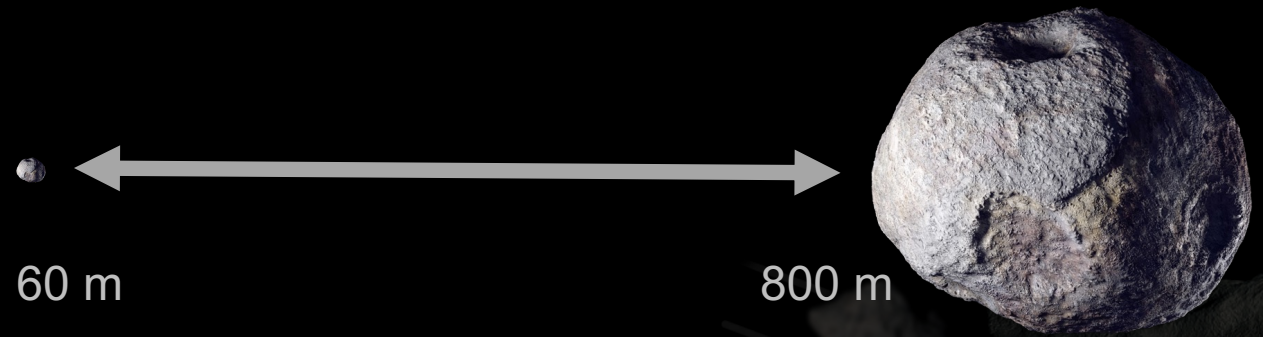


50<sup>th</sup> percentile



95<sup>th</sup> percentile

What would impact-prevention mission(s) have to deal with?



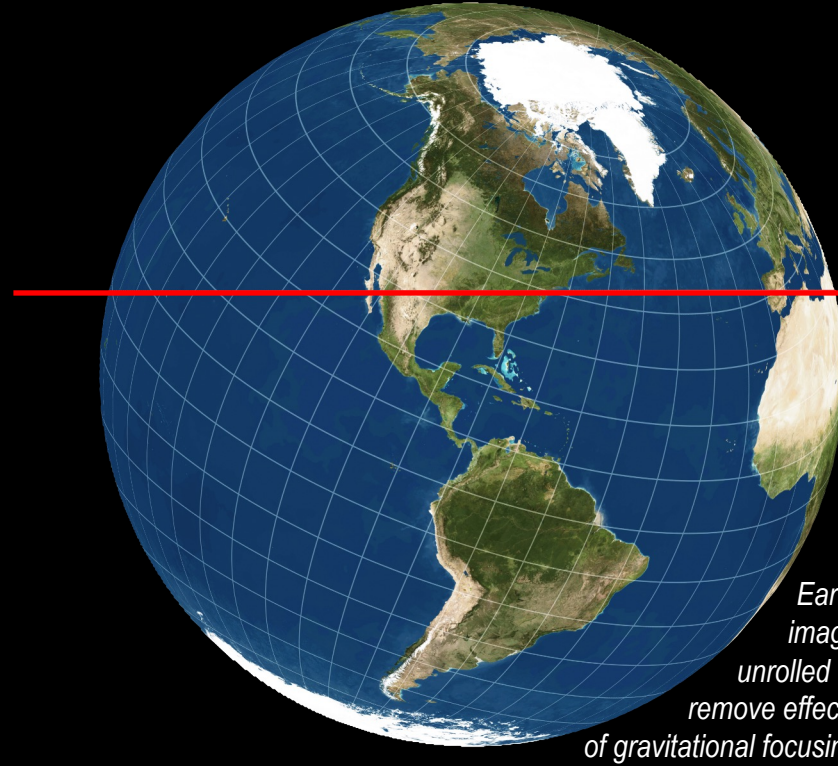




# Key Drivers for Impact Prevention Missions



**Asteroid mass**



**Earth impact location**

Uncertainty  
Region



**Time to impact**

A recon mission could reduce uncertainties in both of these.

The sooner you start,  
the easier the task



# A Spacecraft Reconnaissance Mission Is the Fastest Way to Reduce These Uncertainties

## Flyby Recon

Send a spacecraft to collect data while flying past the asteroid. Typical time from build to launch is **3 years**.

## Rendezvous Recon

Send a spacecraft to arrive at the asteroid and observe it up close for an extended period of time. Typical time from build to launch is **5 years**.



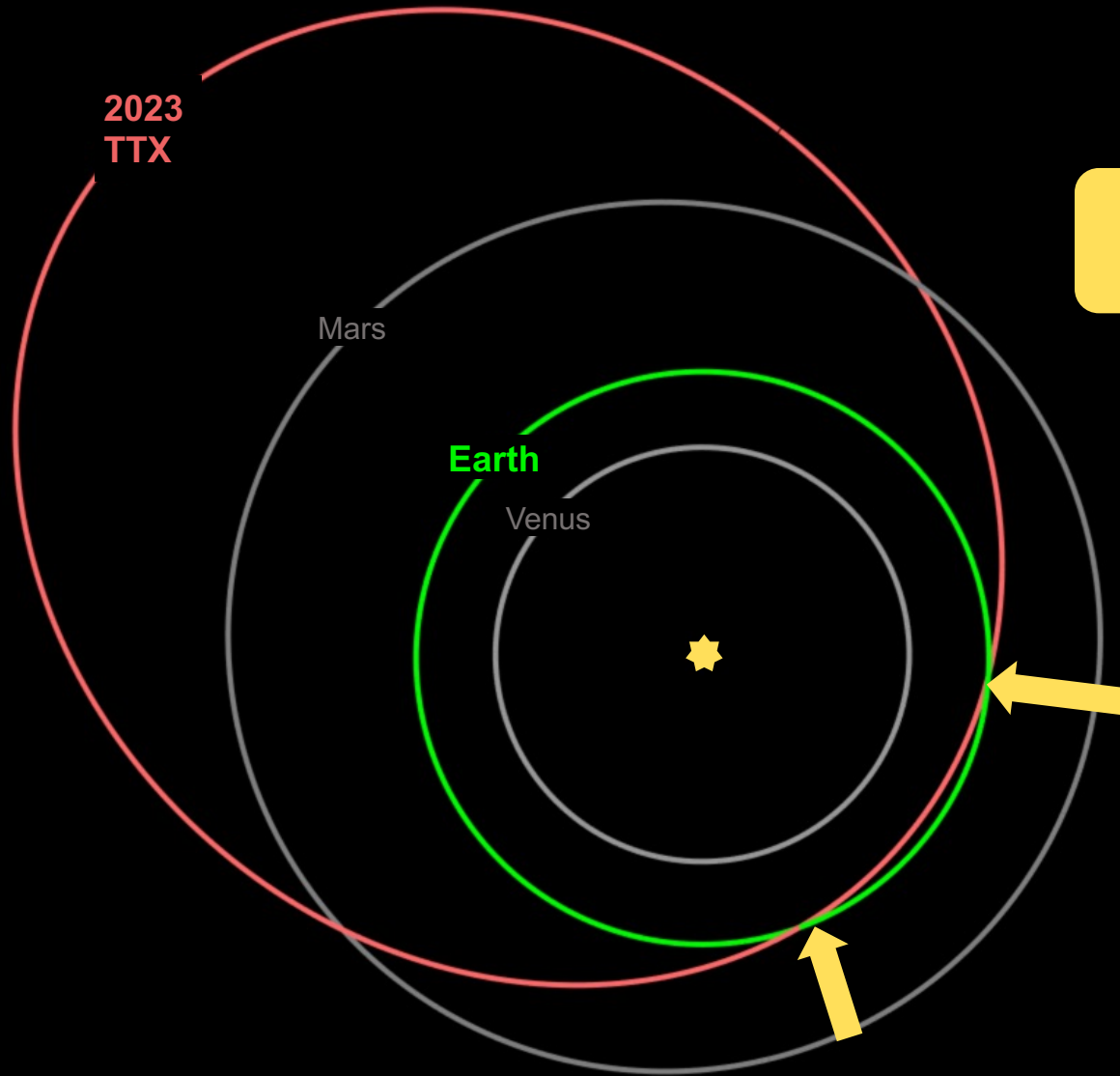
*Time for each phase varies depending on the mission; equal block size does not represent equal time.*

It is unknown how much these timelines could be compressed in an emergency.

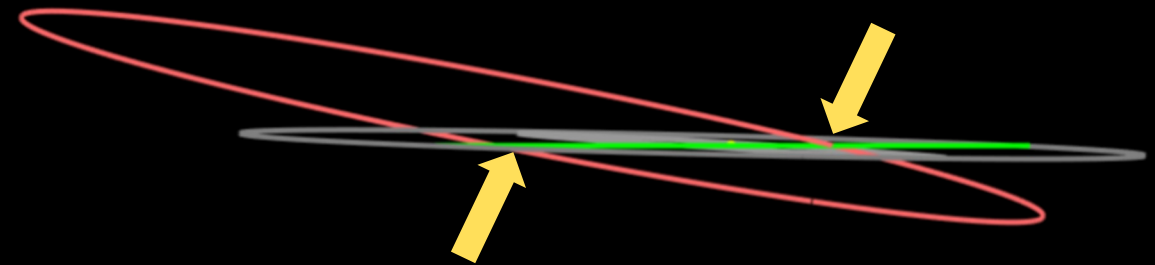




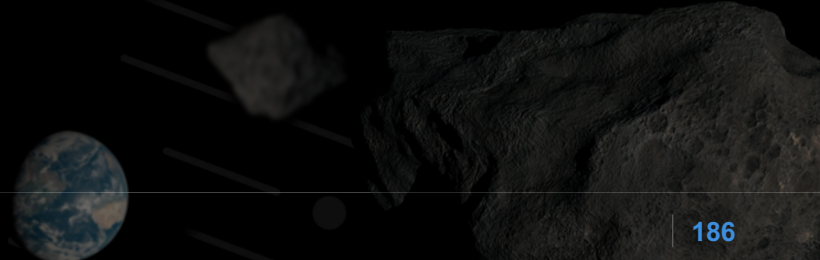
# The Asteroid's Orbit Dictates Mission Options



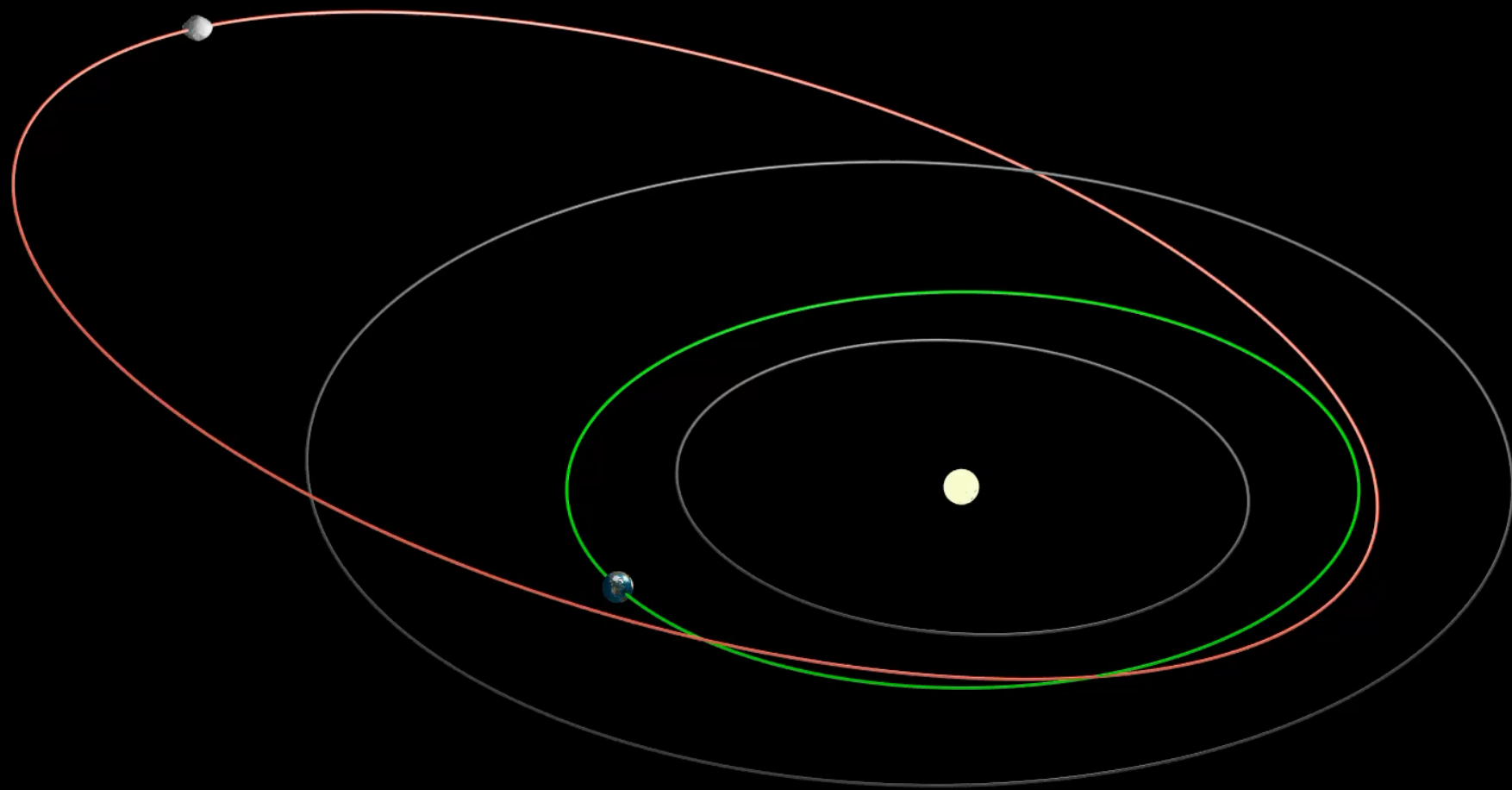
**Mission opportunities repeat every ~2.5 years**



**All viable space missions encounter the asteroid near where it crosses Earth's orbit.**

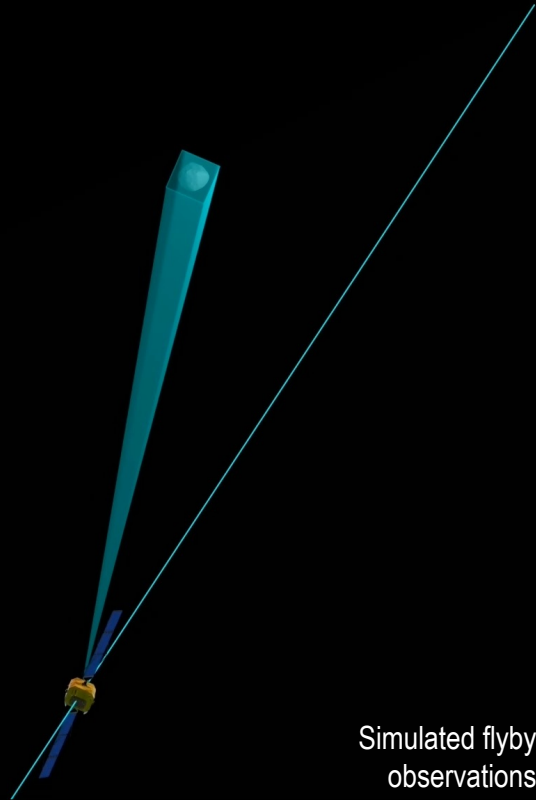


# Flyby Reconnaissance Example





# Flyby Reconnaissance Example



Simulated flyby observations



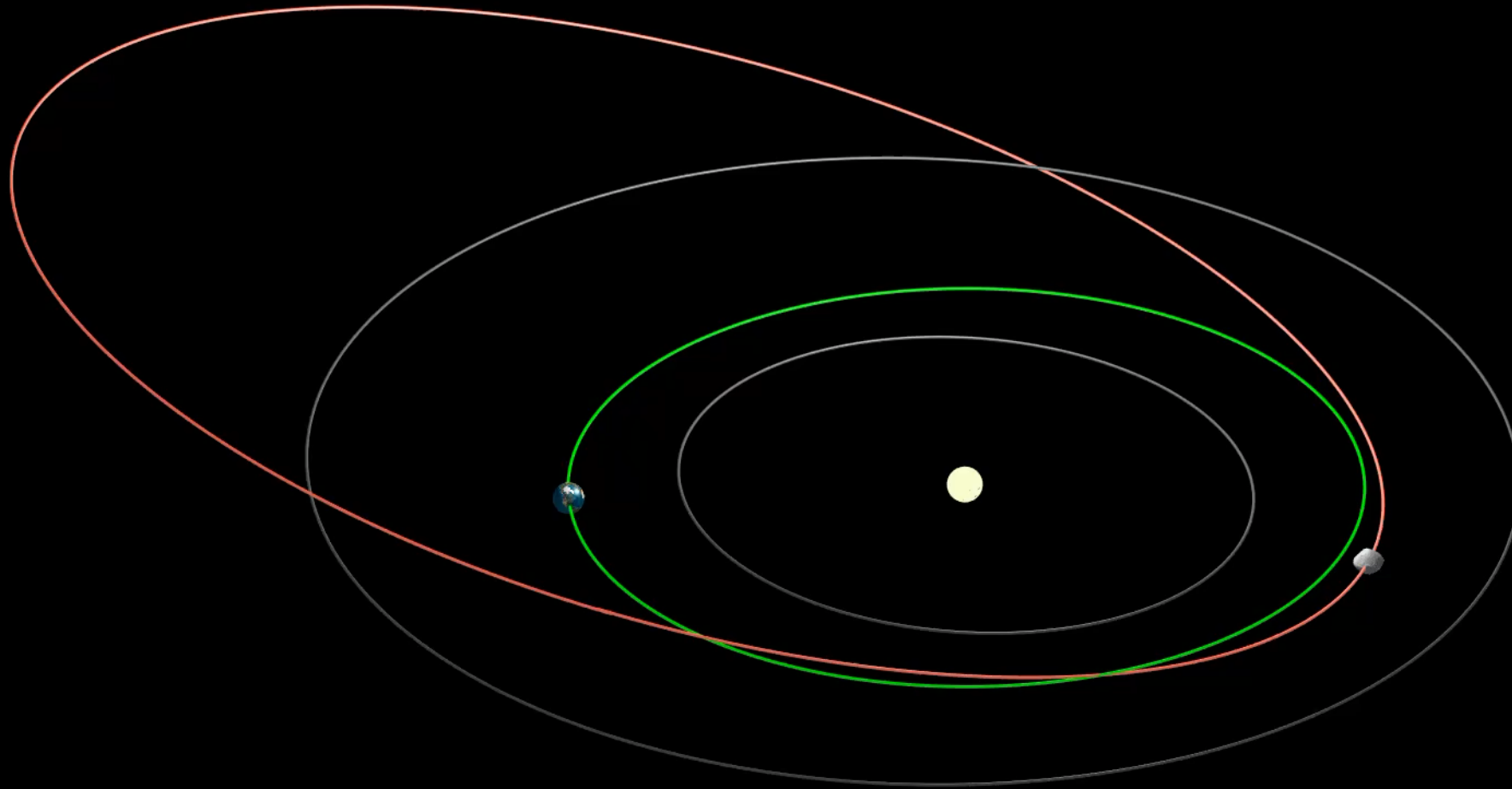
Actual images of comet Hartley 2 from EPOXI mission (2010)

Mission type	Earth impact location uncertainty	Asteroid size uncertainty	Asteroid mass uncertainty	Other asteroid information gained
Flyby recon	~100 km	~10%	~50%	Some surface images and high-level composition classification
Rendezvous recon	<10 km	<1%	<1%	Extensive surface imaging and detailed composition mapping

From analyses of previous planetary defense exercises and data from asteroid missions. Specific information gained would depend on the specific mission.

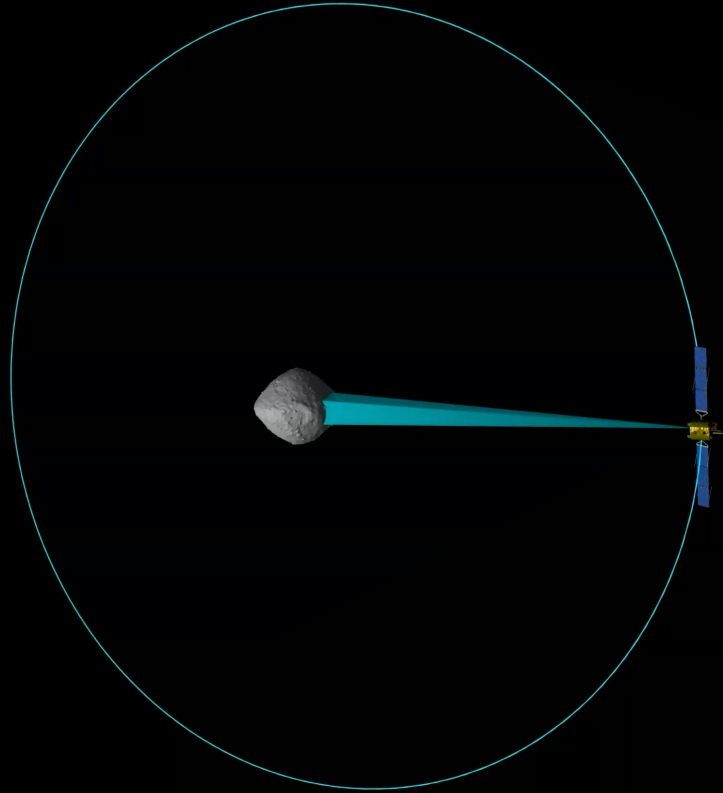


# Rendezvous Reconnaissance Example

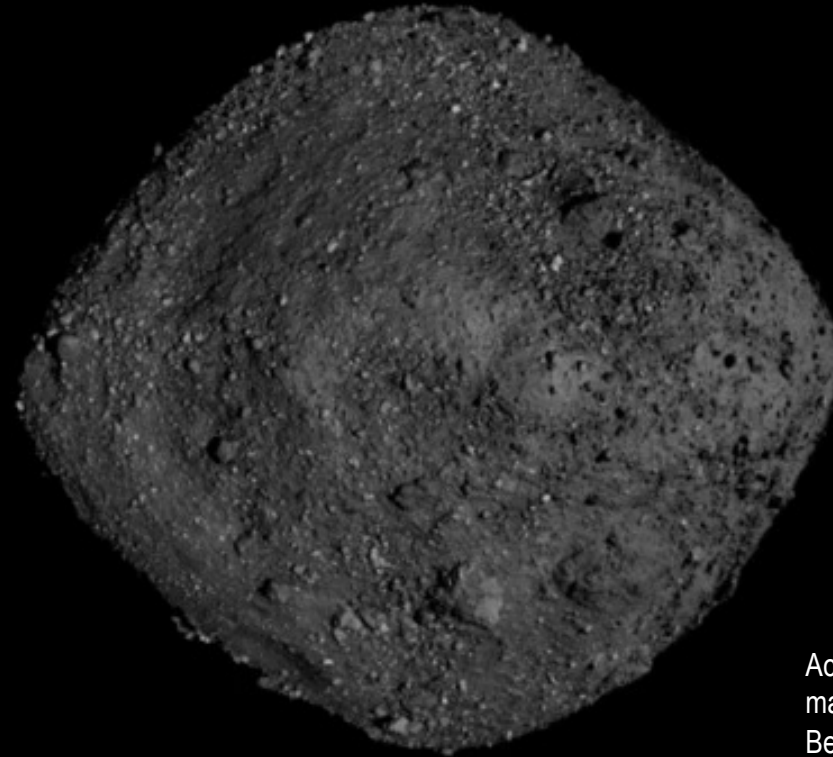




# Rendezvous Reconnaissance Example



Simulated  
rendezvous  
operations



Actual OSIRIS-REx  
map of asteroid  
Bennu (2019)

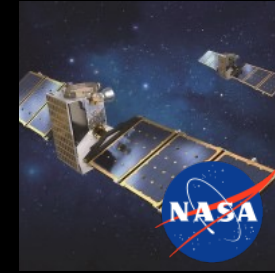
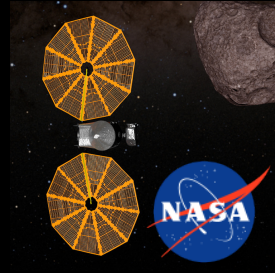
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From analyses of previous planetary defense exercises and data from asteroid missions. Specific information gained would depend on the specific mission.





# Repurposing Spacecraft for Reconnaissance



Some currently flying or in-development spacecraft could be rerouted for an asteroid flyby.

*HOWEVER:*

- A repurposed rendezvous spacecraft has limited navigation and measurement capabilities when applied to a fast flyby.
- The margins for success for a repurposed spacecraft could be much smaller than would be traditionally acceptable, leading to a higher risk of failure than something purpose-built.

**Repurposing spacecraft for activities they were not designed for increases the risk that needed measurements will not be successfully acquired.**



# Kinetic Impact (KI) Deflection

A spacecraft intercepts and rams into the asteroid at high speed, creating ejecta that provides an additional push.

## Considerations and technology needs:

- Need to be cautious of disruption. Multiple, smaller impactors co-manifested on a single launch may be needed.
- Larger and faster spacecraft than DART demonstration are useful to achieve deflection.

Previous demonstration of asteroid deflection?  
**Yes – with NASA's DART mission (2022)**





# Ion Beam (IB) Deflection

Rendezvous spacecraft fires its ion beam engines at the asteroid for many years to slowly push the asteroid.

## Considerations and technology needs:

- Higher onboard power
- Development of tightly collimated ion beam emitters
- Precision GNC operations over many years
- In-flight characterization of deflection efficiency



Previous demonstration  
of asteroid deflection?

No







# Nuclear Explosive Device (NED) Deflection

NED is deployed from a rendezvous spacecraft and detonated near the asteroid to vaporize surface material and cause recoil.

## Considerations and technology needs:

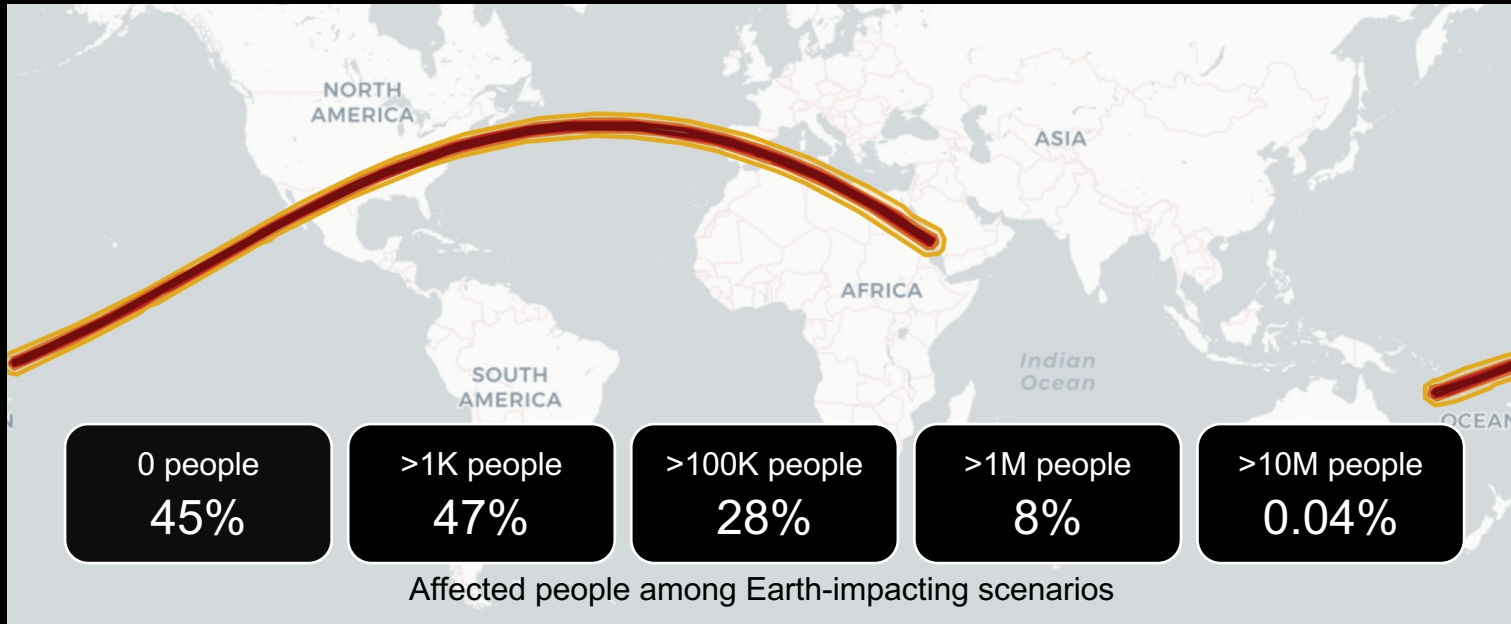
- NED/spacecraft interfaces and space qualification of hardware
- In-flight characterization of deflection efficiency
- Be cautious of disruption
- Policy and legal considerations



Previous demonstration  
of asteroid deflection?

No





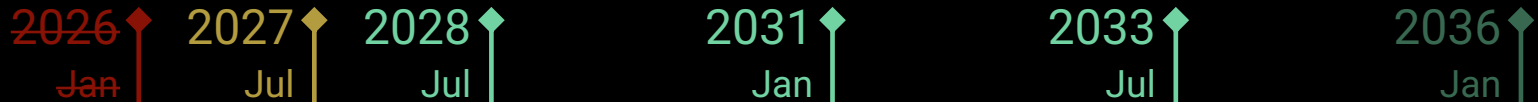
72%  
probability of Earth impact

14.25 years  
from today

Many  
uncertainties

**Flyby**

KI deflection options



**Rendezvous**

IB and NED deflection options



**Potential Telescopic Information**

Nov 2024: Impact location uncertainty is the size of Earth.

2028: Earth impact location  $\pm 25$  km. Spectral and JWST possible. Uncertainties remain in key asteroid properties.

2033: Radar detection is possible if the object is large.

Today  
2 Apr 2024

Potential  
Earth impact  
12 Jul 2038



# Potential Courses of Action

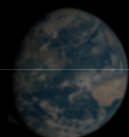
Recommendations based on Day 1 discussions

1. Wait until additional telescopic observations of the asteroid become available in November 2024.
2. Immediately begin development of a U.S.-sponsored flyby mission.
  - ROM LCC ~\$200M – \$400M
  - Work toward a November 2025 launch (accelerated timeline) with a July 2027 asteroid encounter.
  - Option to fallback to September 2027 launch (typical timeline) with a July 2028 asteroid encounter.
  - 2a. Encourage international partners to develop their own asteroid flyby mission(s), for redundancy and robust international response.
3. Start development today of a purpose-built rendezvous reconnaissance spacecraft to provide more detailed and precise information about the asteroid threat.
  - ROM LCC ~\$800M – \$1B
  - 3a. Decide at a later time to develop the mission as a hybrid (reconnaissance + Earth impact prevention) mission for an additional \$200M – \$300M.





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- What, if any, additional information might be helpful for your decision-making needs at this stage?
- How would you approach deciding whether to pursue one or more of these courses of action?





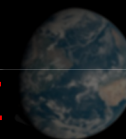
- Which course(s) of action would you support at this time?
  1. Wait until additional telescopic observations of the asteroid become available in November 2024.
  2. Immediately begin development of a U.S.-sponsored flyby mission.
  3. Start development today of a purpose-built rendezvous reconnaissance spacecraft to provide more detailed and precise information about the asteroid threat.

*Option 1 would delay options 2 and 3. Options 2 and 3 are not mutually exclusive.*



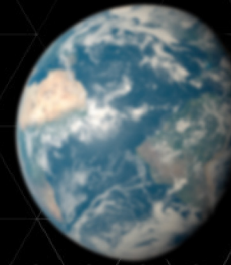


- What are your thoughts about resource prioritization for the potential impact vs. other needs?
- What role do you see for international collaboration on space missions in this scenario?





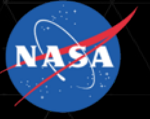
PLANETARY DEFENSE  
INTERAGENCY  
TABLETOP EXERCISE 5



# Initial Emergency Preparedness Actions

## Respond Today?

Leviticus A. "L.A." Lewis  
FEMA Detailee/TTX Coordinator  
Planetary Defense Coordination Office  
[Leviticus.lewis@fema.dhs.gov](mailto:Leviticus.lewis@fema.dhs.gov); [Leviticus.a.lewis@nasa.gov](mailto:Leviticus.a.lewis@nasa.gov)



FEMA



JOHNS HOPKINS  
APPLIED PHYSICS LABORATORY



Lawrence Livermore  
National Laboratory





# Disaster Preparedness for Asteroid Impacts



Earthquake



Volcanic eruption



Flood



Hurricane



Wildfire



Asteroid impact







# Disaster Preparedness for Asteroid Impacts

Should a plan or checklist be considered?

**Southern California Catastrophic Earthquake Plan (SCCEP)**

M7.8 >

California Governor's Office of Emergency Services  
U.S. Department of Homeland Security  
Federal Emergency Management Agency Region IX

Capability Based  
Outcome Driven

Cal OES  
GOVERNOR'S OFFICE OF EMERGENCY SERVICES

FEMA  
FEDERAL EMERGENCY MANAGEMENT AGENCY

October 2022

For Official Use Only

UNDRR  
UN Office for Disaster Risk Reduction

Early Warnings  
& All

WORLD METEOROLOGICAL ORGANIZATION

**Global Status of Multi-Hazard Early Warning Systems**  
2023

**California Cascadia Subduction Zone Earthquake and Tsunami Response Plan**

US Department of Homeland Security  
Federal Emergency Management Agency Region IX  
California Governor's Office of Emergency Services

September 2013

Public Version

FEMA

Cal OES  
GOVERNOR'S OFFICE OF EMERGENCY SERVICES

**?**

Asteroid impact



# Possible International Organizations for Asteroid Impact Response Coordination and Planning

- The International Charter Space and Major Disasters
  - satellite data to support disaster response worldwide
- United Nations Platform for Space-based Information for Disaster Management and Emergency Response (UN-SPIDER)
- United Nations Office for Disaster Risk Reduction (UNDRR)
  - Sendai Framework for Disaster Risk Reduction (2015–2030)
  - United Nations Early Warnings for All initiative
- United Nations Disaster Assessment and Coordination System – 2022 – Office for the Coordination of Humanitarian Affairs (UNOCHA)





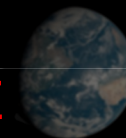
# Multi-Hazard Early Warning System (MHEWS): A Possible Way Ahead for a Planetary Defense Scenario

- To address the glaring disparity in the coverage of early warning systems (EWSs), in March 2022, the UN Secretary-General set an ambitious new goal: By 2027, everyone on Earth should be protected by EWSs against increasingly extreme weather and climate change.
- The World Meteorological Organization (WMO) and the UN Office for Disaster Risk Reduction (UNDRR) are leading the UN “Early Warnings for All” initiative.
- A similar program could be developed for a planetary defense scenario.
- Future investments over the five years would be used to advance the four key pillars of a MHEWS.
- Progress across four pillars.
- The comprehensiveness of a MHEWS is determined by countries’ self-assessment across four interconnected pillars:
  1. risk knowledge
  2. observations and forecasting
  3. warning dissemination and communication
  4. preparedness to respond





- What disaster preparedness actions would you recommend at this time?







# Hot Wash

- Goal is to gather quick comments and impressions
- One representative from each organization to provide:
  - One lesson learned
  - One best practice
- Two areas of interest for comments:
  1. Preparedness, including policy, technology, or capability gaps
  2. Comments on this exercise: strengths, opportunities, and ideas for future exercises
- Please limit responses to **2–3 minutes** so that many organizations can share
- Remember, you can post comments and responses to comments in the chat, too

**Your comments and discussions are the data that will help this TTX culminate in an impactful after-action report.**



# Participant Feedback Forms

- See link posted in XLeap

PLANETARY DEFENSE  
INTERAGENCY  
TABLETOP EXERCISE 5



Thank you for participating in the Planetary Defense Tabletop Exercise 5. Your observations, comments, and input are greatly appreciated, and provide invaluable insight that will enable better preparation against asteroid threats. The goal of this written feedback is to ensure we capture the views of all participants. Any comments provided will be treated in a sensitive manner and all personal information will remain confidential.

Your written feedback is an essential part of this exercise and will be used to create an after-action report (AAR). The AAR will capture lessons learned that can then be used to help international planning, preparedness and response to an asteroid threat with >10 years warning time. Please respond to all questions and provide as much detail as possible with specific and constructive comments.

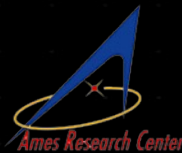
Thank you for your time.

# PLANETARY DEFENSE INTERAGENCY TABLETOP EXERCISE 5



JOHNS HOPKINS  
APPLIED PHYSICS LABORATORY

FEMA



Lawrence Livermore  
National Laboratory



Break

