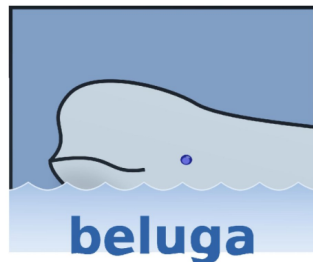


Programming logical relations proofs

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Joint work with Andrew Cave

Motivation

How to program and reason with formal systems and proofs?

- Formal systems (given via axioms and inference rules) play an important role when designing languages and more generally software.
- Proofs (that a given property is satisfied) are an integral part of the software.

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How to program and reason with formal systems and proofs?

- Formal systems (given via axioms and inference rules) play an important role when designing languages and more generally software.
- Proofs (that a given property is satisfied) are an integral part of the software.

What are good meta-languages to
program and reason with formal systems and proofs?

This Talk

Design and implementation of Beluga

- Introduction
- Example: Proof by logical relation
- Writing a proof in Beluga ...
- Conclusion and current work

"The limits of my language mean the limits of my world."

- L. Wittgenstein

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Simply Typed Lambda-calculus (Gentzen-style)

Types and Terms

Types $A, B ::=$ i
 $\quad \mid A \rightarrow B$

Terms $M, N ::=$ $x \mid c$
 $\quad \mid \text{lam } x.M$
 $\quad \mid \text{app } M N$

Evaluation Judgment:

$$\boxed{M \longrightarrow M'}$$

read as “ M steps to M' ”

$$\frac{}{\text{app } (\text{lam } x.M) N \longrightarrow [N/x]M} \text{ s/beta}$$

$$\frac{}{M \longrightarrow M} \text{ s/refl}$$

$$\frac{M \longrightarrow M'}{\text{app } M N \longrightarrow \text{app } M' N} \text{ s/app}$$

$$\frac{M \longrightarrow M' \quad M' \longrightarrow N}{M \longrightarrow N} \text{ s/trans}$$

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Typing Judgment: $\boxed{M : A}$ read as “ M has type A ” (Gentzen-style)

$$\frac{}{c : i} \text{ const} \quad \frac{\begin{array}{c} \overline{x : A}^u \\ \vdots \\ M : B \end{array}}{(\text{lam } x.M) : (A \rightarrow B)} \text{ lam}^{x,u} \quad \frac{M : (A \rightarrow B) \quad N : A}{(\text{app } M N) : B} \text{ app}$$

Simply Typed Lambda-calculus with Contexts

Types and Terms

Types $A, B ::=$ i
 $\quad \mid A \rightarrow B$

Terms $M, N ::=$ $x \mid c$
 $\quad \mid \text{lam } x.M$
 $\quad \mid \text{app } M N$

Evaluation Judgment:

$$\boxed{M \longrightarrow M'}$$

read as “ M steps to M' ”

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$$\frac{M \longrightarrow M'}{\text{app } M N \longrightarrow \text{app } M' N} \text{ s/app}$$

$$\frac{M \longrightarrow M' \quad M' \longrightarrow N}{M \longrightarrow N} \text{ s/trans}$$

Typing Judgment:

$$\boxed{\Gamma \vdash M : A}$$

read as “ M has type A in context Γ ”

$$\frac{x : A \in \Gamma}{\Gamma \vdash x : A} \quad \frac{\Gamma, x : A \vdash M : B}{\Gamma \vdash (\text{lam } x.M) : (A \rightarrow B)} \text{ lam}^x \quad \frac{\Gamma \vdash M : (A \rightarrow B) \quad \Gamma \vdash N : A}{\Gamma \vdash (\text{app } M N) : B} \text{ app}$$

Context $\Gamma ::= \cdot \mid \Gamma, x : A$ We are introducing the variable x together with the assumption $x : A$

Talking about Derivations

Typing rules

$$\frac{x : A \in \Gamma}{\Gamma \vdash x : A} \quad \frac{\Gamma, x : A \vdash M : B}{\Gamma \vdash (\text{lam } x.M) : (A \rightarrow B)} \text{ lam}^x \quad \frac{\Gamma \vdash M : (A \rightarrow B) \quad \Gamma \vdash N : B}{\Gamma \vdash (\text{app } M N) : B} \text{ app}$$

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- What kinds of variables are used? **Bound variables**, **Schematic variables**
in particular: Meta-variables, Parameter variables, Context variables

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(Structured) sequences, Uniqueness of declaration, Weakening, Substitution lemma, etc.

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Any mechanization of proofs must deal with these issues; it is just a matter how much support one gets in a given meta-language.

Weak Normalization for Simply Typed Lambda-calculus

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Theorem

If $\vdash M : A$ then M halts, i.e. there exists a value V s.t. $M \longrightarrow^* V$.

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If $\vdash M : A$ then M halts, i.e. there exists a value V s.t. $M \longrightarrow^* V$.

Proof.

- 1 Define reducibility candidate $\mathcal{R}_A$

$$\begin{aligned}\mathcal{R}_i &= \{M \mid M \text{ halts}\} \\ \mathcal{R}_{A \rightarrow B} &= \{M \mid M \text{ halts and } \forall N \in \mathcal{R}_A, (\text{app } M N) \in \mathcal{R}_B\}\end{aligned}$$

- 2 If $M \in \mathcal{R}_A$ then M halts.
- 3 Backwards closed: If $M' \in \mathcal{R}_A$ and $M \longrightarrow M'$ then $M \in \mathcal{R}_A$.
- 4 **Fundamental Lemma:** If $\vdash M : A$ then $M \in \mathcal{R}_A$. (Requires a generalization)



Generalization of Fundamental Lemma

Lemma (Main lemma)

If $\mathcal{D} : \Gamma \vdash M : A$ and $\sigma \in \mathcal{R}_\Gamma$ then $[\sigma]M \in \mathcal{R}_A$.

where $\sigma \in \mathcal{R}_\Gamma$ is defined as:

$$\frac{}{\cdot \in \mathcal{R}.} \qquad \frac{\sigma \in \mathcal{R}_\Gamma \quad N \in \mathcal{R}_A}{(\sigma, N/x) \in \mathcal{R}_{\Gamma, x:A}}$$

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Proof.

Case $\mathcal{D} = \frac{x : A \in \Gamma}{\Gamma \vdash x : A} \text{ var}$

$\sigma \in \mathcal{R}_\Gamma$

$[\sigma](x) = M \in \mathcal{R}_A$

by assumption

by lookup in $\sigma \in \mathcal{R}_\Gamma$ and substitution property

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by lookup in $\sigma \in \mathcal{R}_\Gamma$ and substitution property

Case $\mathcal{D} = \frac{\mathcal{D}_1 \quad \mathcal{D}_2}{\Gamma \vdash \text{app } M N : B} \text{ app}$

$\sigma \in \mathcal{R}_\Gamma$

$N \in \mathcal{R}_A$

$M \in \mathcal{R}_{A \rightarrow B}$

M halts and $\forall N' \in \mathcal{R}_A. (\text{app } M N') \in \mathcal{R}_B$

$\text{app } M N \in \mathcal{R}_B$

by assumption

by i.h. $\mathcal{D}_2$

by i.h. $\mathcal{D}_1$

by definition

by previous lines ($\forall$ -elim)

Generalization of Fundamental Lemma

Lemma (Main lemma)

If $\mathcal{D} : \Gamma \vdash M : A$ and $\sigma \in \mathcal{R}_\Gamma$ then $[\sigma]M \in \mathcal{R}_A$.

Proof.

$$\text{Case } \mathcal{D} = \frac{\mathcal{D}_1 \quad \Gamma, x:A \vdash M : B}{\Gamma \vdash \text{lam } x.M : A \rightarrow B} \text{ lam}$$

$$[\sigma](\text{lam } x.M) = \text{lam } x.([\sigma, x/x]M)$$

$$\text{halts } (\text{lam } x. [\sigma, x/x]M)$$

Suppose $N \in \mathcal{R}_A$.

$$[\sigma, N/x]M \in \mathcal{R}_B$$

$$[N/x][\sigma, x/x]M \in \mathcal{R}_B$$

$$\text{app } (\text{lam } x. [\sigma, x/x]M) N \in \mathcal{R}_B$$

$$\text{Hence } [\sigma](\text{lam } x.M) \in \mathcal{R}_{A \rightarrow B}$$

by **properties of substitution**
since it is a value

by I.H. on $\mathcal{D}_1$ since $\sigma \in \mathcal{R}_\Gamma$

by **properties of substitution**

by Backwards closure

by definition

Challenging Benchmark

- Model different level of bindings
lambda-binder, $\forall$ in reducibility definition $\mathcal{R}$, quantification over substitutions and contexts
- Simultaneous substitution and algebraic properties
Substitution lemma, Reason about composition, decomposition, associativity, identity, etc.

$$\begin{aligned} [\cdot]M &= M \\ [\sigma, N/x]M &= [N/x][\sigma, x/x]M \\ [\sigma_1][\sigma_2]M &= [[\sigma_1]\sigma_2]M \end{aligned}$$

a dozen such properties are needed

- Main known approaches
 - Coq/Agda lack support for substitutions and binders
 - Twelf, Delphin are too weak (to do it directly)
 - Abella allows normalization proofs but lacks support for contexts; still need to implement some substitution/context properties

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- Introduction
- Example: Proof by logical relations
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- Conclusion and current work

Beluga^μ: Two Level Approach

Level 1: Contextual logical framework LF [HHP'93, TOCL'08]

- Compact representation of formal systems and derivations
- Higher-order abstract syntax and dependent types

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 - ↪ support for α -renaming, substitution, adequate representations
- Contextual LF: Contextual types characterize contextual objects [TOCL'08]
 - ↪ support well-scoped derivations
 - ↪ abstract notion of contexts and substitution [POPL'08, LFMTP'13]

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Proof term language for first-order logic over a specific domain (= contextual LF) together with domain-specific induction principle and recursive definitions (= indexed recursive types)

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Proof term language for first-order logic over a specific domain (= contextual LF) together with domain-specific induction principle and recursive definitions (= indexed recursive types)

On paper proof	Proofs as functions in Beluga
Case analysis	Case analysis and pattern matching
Inversion	Pattern matching using let-expression
Induction Hypothesis	Recursive call

Step 1: Represent Types and Lambda-terms in LF

Types and Terms

Types $A, B ::= i$
 $\quad \quad \quad | A \rightarrow B$

Terms $M, N ::= x \mid c$
 $\quad \quad \quad | \text{lam } x.M$
 $\quad \quad \quad | \text{app } M N$

Typing rules

$$\frac{}{c : i} \text{ const} \qquad \frac{\begin{array}{c} \overline{x : A} \ u \\ \vdots \\ M : B \end{array}}{(\text{lam } x.M) : (A \rightarrow B)} \text{ lam}^x \qquad \frac{M : (A \rightarrow B) \quad N : A}{(\text{app } M N) : B} \text{ app}$$

LF representation in Beluga

```
datatype tp:type =
| i: tp
| arr: tp → tp → tp;
```

```
datatype tm: tp → type =
| c : tm i
| lam: (tm A → tm B) → tm (arr A B)
| app: tm (arr A B) → tm A → tm B;
```

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Types $A, B ::= i$
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Reducibility Candidates as Indexed Types

Reducibility candidates for terms $M \in \mathcal{R}_A$:

$$\begin{aligned}\mathcal{R}_i &= \{M \mid \text{halts } M\} \\ \mathcal{R}_{A \rightarrow B} &= \{M \mid \text{halts } M \text{ and } \forall N \in \mathcal{R}_A, (\text{app } M N) \in \mathcal{R}_B\}\end{aligned}$$

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Computation-level data types in Beluga

```
datatype Reduce : {A:[ ⊢ tp]} {M:[ ⊢ tm A]} ctype =
| I   : [ ⊢ halts M] → Reduce [ ⊢ i] [⊢ M]
| Arr : [ ⊢ halts M] →
    ( {N:[ ⊢ tm A]} Reduce [ ⊢ A] [ ⊢ N] → Reduce [ ⊢ B] [ ⊢ app M N])
    → Reduce [ ⊢ arr A B] [ ⊢ M];
```

- $[\vdash \text{app } M N]$ and $[\vdash \text{arr } A B]$ are contextual types [TOCL'08].
- Note: $\rightarrow$ is overloaded.
 - $\rightarrow$ is the LF function space : binders in the object language are modelled by LF functions (used inside $[\]$)
 - $\rightarrow$ is a computation-level function (used outside $[\]$)
- Not strictly positive definition, but stratified.

Reducibility Candidates as Indexed Types

Reducibility candidates for substitutions $\sigma \in \mathcal{R}_\Gamma$:

$$\frac{}{\cdot \in \mathcal{R}.} \qquad \frac{\sigma \in \mathcal{R}_\Gamma \quad N \in \mathcal{R}_A}{(\sigma, N/x) \in \mathcal{R}_{\Gamma, x:A}}$$

Reducibility Candidates as Indexed Types

Reducibility candidates for substitutions $\sigma \in \mathcal{R}_\Gamma$:

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Computation-level data types in Beluga

```
datatype RedSub : ( $\Gamma$ :ctx){ $\sigma$ :  $\vdash \Gamma$ } ctype =
| Nil : RedSub [  $\vdash \sim$  ]
| Cons : RedSub [  $\vdash \sigma$  ]  $\rightarrow$  Reduce [  $\vdash A$  ] [  $\vdash M$  ]  $\rightarrow$  RedSub [  $\vdash \sigma M$  ];
```

- Contexts are structured sequences and are classified by **context schemas**
schema ctx = x:tm A.
- Substitution τ are first-class and have type $\Psi \vdash \Phi$ providing a mapping from Φ to Ψ .

Theorems as Computation-level Types

Lemma (Backward closed)

If $M \longrightarrow M'$ and $M' \in \mathcal{R}_A$ then $M \in \mathcal{R}_A$.

rec closed : $[\vdash \text{mstep } M \ M'] \rightarrow \text{Reduce } [\vdash A] \ [\vdash M'] \rightarrow \text{Reduce } [\vdash A] \ [\vdash M] = ? ;$

Lemma (Main lemma)

If $\Gamma \vdash M : A$ and $\sigma \in \mathcal{R}_\Gamma$ then $[\sigma]M \in \mathcal{R}_A$.

rec main : $\{\Gamma:\text{ctx}\}\{M:[\Gamma \vdash \text{tm } A]\} \text{RedSub } [\vdash \sigma] \rightarrow \text{Reduce } [\vdash A] \ [\vdash M \ \sigma] = ? ;$

Fundamental Lemma

Fundamental Lemma

```
rec closed : [  $\vdash \text{mstep } M \ M'$  ]  $\rightarrow$  Reduce [  $\vdash A$  ] [  $\vdash M'$  ]  $\rightarrow$  Reduce [  $\vdash A$  ] [  $\vdash M$  ] = ? ;  
rec main : { $\Gamma$ :ctx}{ $M$ : [ $\Gamma \vdash \text{tm } A$ ]} RedSub [  $\vdash \sigma$  ]  $\rightarrow$  Reduce [  $\vdash A$  ] [  $\vdash M \ \sigma$  ] =
```

Fundamental Lemma

```

rec closed : [  $\vdash$  mstep M M' ]  $\rightarrow$  Reduce [  $\vdash$  A ] [  $\vdash$  M' ]  $\rightarrow$  Reduce [  $\vdash$  A ] [  $\vdash$  M ] = ? ;
rec main : { $\Gamma$ :ctx}{M:[ $\Gamma \vdash$  tm A]} RedSub [  $\vdash$   $\sigma$  ]  $\rightarrow$  Reduce [  $\vdash$  A ] [  $\vdash$  M  $\sigma$  ] =
mlam  $\Gamma \Rightarrow$  mlam M  $\Rightarrow$  fn rs  $\Rightarrow$  case [  $\Gamma \vdash$  M ... ] of
| [  $\Gamma \vdash$  #p ... ]  $\Rightarrow$  lookup [  $\Gamma$  ] [  $\Gamma \vdash$  #p ... ] rs                                % Variable

```

Fundamental Lemma

```

rec closed : [  $\vdash$  mstep M M' ]  $\rightarrow$  Reduce [  $\vdash$  A ] [  $\vdash$  M' ]  $\rightarrow$  Reduce [  $\vdash$  A ] [  $\vdash$  M ] = ? ;
rec main : { $\Gamma$ :ctx}{M:[ $\Gamma \vdash$  tm A]} RedSub [  $\vdash$   $\sigma$  ]  $\rightarrow$  Reduce [  $\vdash$  A ] [  $\vdash$  M  $\sigma$  ] =
mlam  $\Gamma \Rightarrow$  mlam M  $\Rightarrow$  fn rs  $\Rightarrow$  case [ $\Gamma \vdash$  M ...] of
| [ $\Gamma \vdash$  #p ...]  $\Rightarrow$  lookup [ $\Gamma$ ] [ $\Gamma \vdash$  #p ...] rs                                % Variable
| [ $\Gamma \vdash$  app (M1 ...) (M2 ...)]  $\Rightarrow$                                            % Application
  let Arr ha f = main [ $\Gamma$ ] [ $\Gamma \vdash$  M1 ...] rs in
  f [  $\vdash$  _ ] (main [ $\Gamma$ ] [ $\Gamma \vdash$  M2 ...] rs)

```

Fundamental Lemma

```

rec closed : [  $\vdash$  mstep M M' ]  $\rightarrow$  Reduce [  $\vdash$  A ] [  $\vdash$  M' ]  $\rightarrow$  Reduce [  $\vdash$  A ] [  $\vdash$  M ] = ? ;

rec main : { $\Gamma$ :ctx}{M:[ $\Gamma \vdash$ tm A]} RedSub [  $\vdash$   $\sigma$  ]  $\rightarrow$  Reduce [  $\vdash$  A ] [  $\vdash$  M  $\sigma$  ] =

mlam  $\Gamma \Rightarrow$  mlam M  $\Rightarrow$  fn rs  $\Rightarrow$  case [  $\Gamma \vdash$  M ... ] of

| [  $\Gamma \vdash$  #p ... ]  $\Rightarrow$  lookup [  $\Gamma$  ] [  $\Gamma \vdash$  #p ... ] rs                                % Variable

| [  $\Gamma \vdash$  app (M1 ...) (M2 ...) ]  $\Rightarrow$                                            % Application
  let Arr ha f = main [  $\Gamma$  ] [  $\Gamma \vdash$  M1 ... ] rs in
  f [  $\vdash$  _ ] (main [  $\Gamma$  ] [  $\Gamma \vdash$  M2 ... ] rs)

| [  $\Gamma \vdash$  lam ( $\lambda x$ . M1 ... x) ]  $\Rightarrow$                                              % Abstraction
  Arr [  $\vdash$  h/value s/refl v/lam ]
  (mlam N  $\Rightarrow$  fn rN  $\Rightarrow$  closed [  $\vdash$  s/beta ]
    (main [  $\Gamma, x$ :tm _ ] [  $\Gamma, x \vdash$  M1 ... x ] (Cons rs rN)))

```

Fundamental Lemma

```

rec closed : [  $\vdash$  mstep M M' ]  $\rightarrow$  Reduce [  $\vdash$  A ] [  $\vdash$  M' ]  $\rightarrow$  Reduce [  $\vdash$  A ] [  $\vdash$  M ] = ? ;

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| [  $\Gamma \vdash$  lam ( $\lambda x$ . M1 ... x) ]  $\Rightarrow$                                                  % Abstraction
  Arr [  $\vdash$  h/value s/refl v/lam ]
  ( mlam N  $\Rightarrow$  fn rN  $\Rightarrow$  closed [  $\vdash$  s/beta ]
    (main [  $\Gamma$ , x:tm _ ] [  $\Gamma$ , x  $\vdash$  M1 ... x ] (Cons rs rN)))

| [  $\Gamma \vdash$  c ]  $\Rightarrow$  I [  $\vdash$  h/value s/refl v/c ];                                     % Constant

```

Fundamental Lemma

```

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| [  $\Gamma \vdash$  lam ( $\lambda x$ . M1 ... x) ]  $\Rightarrow$                                                % Abstraction
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  ( mlam N  $\Rightarrow$  fn rN  $\Rightarrow$  closed [  $\vdash$  s/beta ]
    (main [  $\Gamma, x$ :tm _ ] [  $\Gamma, x \vdash$  M1 ... x ] (Cons rs rN)))

| [  $\Gamma \vdash$  c ]  $\Rightarrow$  I [  $\vdash$  h/value s/refl v/c ];                                     % Constant

```

- Direct encoding of on-paper proof
- Equations about substitution properties automatically discharged (amounts to roughly a dozen lemmas about substitution and weakening)
- Total encoding about 75 lines of Beluga code

This Talk

Design and implementation of Beluga

- Introduction
- Example: Proof by logical relations
- Writing a proof in Beluga ...
- Conclusion and current work

Revisiting the Design of Beluga

- Level 1: Contextual LF

On paper proof	In Beluga [IJCAR'10]
Well-formed derivations Renaming, Substitution	Dependent types α -renaming, β -reduction in LF

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Well-scoped derivation Context Properties of contexts (weakening, uniqueness) Substitutions (composition, identity)	Contextual types and objects [TOCL'08] Context schemas Typing for schemas Substitution type [LFMTP'13]

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- Level 2: Functional programming with indexed types [POPL'08, POPL'12]

Case analysis Inversion Induction hypothesis	Case analysis and pattern matching Pattern matching using let-expression Recursive call
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Other Examples and Comparison

- Other examples using logical relations:
 - Weak normalization which evaluates under lambda-abstraction
 - Algorithmic equality for LF (A. Cave) (draft available)

⇒ Sufficient evidence that Beluga is ideally suited to support such advanced proofs
- Comparison (concentrating on the given weak normalization proof)
 - Coq/Agda formalization with well-scoped de Bruijn indices: dozen additional lemmas
 - Abella: 4 additional lemmas and diverges a bit from on-paper proof
 - Twelf: Too weak to for directly encoding such proofs; Implement auxiliary logic.

What Have We Achieved?

- Foundation for programming proofs in context [POPL'12]
 - Proof term language for first-order logic over contextual LF as domain
 - Uniform treatment of contextual types, context, ...
 - Modular foundation for dependently-typed programming with phase-distinction $\Rightarrow$ Generalization of DML and ATS
- Extending contextual LF with first-class substitutions and their equational theory [LFMTP'13]
- Rich set of examples
 - Type-preserving compiler for simply typed lambda-calculus (joint work with O. Savary Belanger, S. Monnier [CPP'13])
 - (Weak) Normalization proofs (A. Cave)
- Latest release in Jan'14: Support for indexed data types, first-class substitutions, equational theory behind substitutions

"A language that doesn't affect the way you think about programming, is not worth knowing." - Alan Perlis

Current Work

- Prototype in OCaml (ongoing - next release Aug 2014)
providing an interactive programming mode
- Structural recursion (S. S. Ruan, A. Abel)
Develops a foundation of structural recursive functions for Beluga; proof of normalization; prototype implementation under way
- Coinduction in Beluga (D. Thibodeau, A. Cave)
Extending work on simply-typed copatterns [POPL'13] to Beluga
- Case study:
 - Certified compiler (O. Savary Belanger, CPP'13)
 - Proof-carrying authorization with constraints (Tao Xue)
- Extending Beluga to full dependent types (A. Cave)
- Type reconstruction for dependently typed programs (F. Ferreira, PPDP'14)
- ORBI - Benchmarks for comparing systems supporting HOAS encodings (A. Felty, A. Momigliano, March 2014)

The End

Thank you!

Download prototype and examples at

`http://complogic.cs.mcgill.ca/beluga/`

Current Belugians: Brigitte Pientka, Mathias Puech, Tao Xue, Olivier Savary Belanger, Andrew Cave, Francisco Ferreira, Stefan Monnier, David Thibodeau, Sherry Shanshan Ruan, Shawn Otis